

**BLOODSTOCK AGENT DECLARATION OF INTEREST**

To: \_\_\_\_\_ (the "Principal")

\_\_\_\_\_  
\_\_\_\_\_

Date: \_\_\_\_\_

**1. HORSE DETAILS**

Horse Name: \_\_\_\_\_ Country of Export: \_\_\_\_\_

Sire: \_\_\_\_\_ Dam: \_\_\_\_\_

(the "Horse")

Agreed Purchase Price of the Horse : \_\_\_\_\_ (currency) \_\_\_\_\_ (amount)<sup>1</sup>

(fully paid by the Principal / partly paid by the Principal (state the payment arrangement if the purchase price is only partly paid)\*)

or\*

The Horse is a replacement horse for \_\_\_\_\_ (the "Replaced Horse")  
for no or nominal consideration for the following reason:\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I agree to provide the relevant supporting documents (including, but not limited to, any purchase document of the Replaced Horse, document evidencing the circumstances leading to the replacement of the Replaced Horse, and agreement evidencing the replacement arrangement) if requested by the Club.

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<sup>1</sup> The declaration of the purchase price of the Horse is voluntary, but the Club may require an agent to provide such information as required (including, but not limited to, any information relating to the purchase price) from time to time for the purposes of any investigation into a complaint or otherwise any matter relating to the sale and purchase or otherwise the ownership of the Horse pursuant to paragraph 4.2 of the Code.

**2. DECLARATION OF INTEREST** *(you may tick one or more of the boxes)*

2.1. I / We\* declare that my / our\* role in the sale or purchase of the Horse (the "Sale") is/are\*:-

☐ Bloodstock agent (including, but not limited to, any role as consignor, bloodstock consultant, racing or stud manager or other person or entity who represents and/or advises the Owner in the sale or purchase of bloodstock, whether or not paid by way of retainer, commission, as part of ongoing or future financial arrangement, or other forms of remuneration). In this regard:-

☐ I / We\* act for the Principal only;

☐ I / We\* act for both the Principal and \_\_\_\_\_ in connection with the Sale.

☐ Vendor or part vendor, and my share or interest in the Horse was \_\_\_\_\_ % immediately prior to the Sale.

I / we\* declare that except for the role(s) as set out above, I / we\* did not and do not advise, represent or act for any other person / entity who has any interest in the Sale or otherwise in connection with the Sale.

2.2. I / We\* declare that my financial or other interest arising from or in connection with the Sale is as follows:-

☐ As a bloodstock agent, I / we\* received / will receive\* \_\_\_\_\_ from \_\_\_\_\_.

☐ As a vendor or part vendor, I / we\* received / will receive\* \_\_\_\_\_ from \_\_\_\_\_.

Details of any contingency payment or arrangements, if any:-

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I / we\* declare that other than the financial interests as declared above, I / we\* have not and will not receive any financial or other interests from any other party arising from or in connection with the Sale, including but limited to monetary or other forms of remuneration or any ongoing or future financial arrangements such as share of prize money, contingency payments, lease, leaseback, option to repurchase, or return or transfer of the Horse when it retires from racing.

**3. DECLARATION**

3.1. I / We\* confirm that all information provided in this form is true, accurate and complete in all respects. I / We\* undertake to inform the Principal of any change in circumstances forthwith.

- 3.2. I / We\* understand and agree that the Principal may submit this form and all information I / we\* otherwise provided to the Principal in relation to the Sale, including any personal data, to The Hong Kong Jockey Club and/or its associated entities (the “Club”) for the purposes of processing the application for registration of the Horse concerned, for investigation into compliance with the Rules of Racing and Bye-laws of the Club and/or other racing administration matters.
- 3.3. I / We\* acknowledge that in the event that any information listed above is not true, accurate or complete, the Club may refuse the registration of the Horse and/or the Principal and/or the Club may refer the matter to any racing authority and/or industry organisation for investigation and/or sanction.
- 3.4. I / We\* also acknowledge that in providing bloodstock services to the Principal as aforesaid, I / we\* shall be deemed to have agreed to be bound by the Rules of Racing and applicable Bye-laws of the Club.
- 3.5. By providing bloodstock services to the Principal, I / we\* shall also be deemed to have agreed to be bound by and comply with the Club’s Bloodstock Code of Practice (as may be amended and/or supplemented from time to time, available at: [https://member.hkjc.com/member/english/horse-owner/forms/Racing-Bloodstock\\_Code-of-Practice.pdf](https://member.hkjc.com/member/english/horse-owner/forms/Racing-Bloodstock_Code-of-Practice.pdf)) (the “Code”) which sets out the conduct expected of agents involved in the sale and purchase of bloodstock that is intended to be registered for racing with the Club to ensure that the interest of Members of the Club are protected. I / We\* also acknowledge that any failure to comply with the Code will subject me / us\* to, among other things, penalty and/or other consequences under the Rules of Racing and Bye-laws of the Club.
- 3.6. I / We\* undertake to keep the personal data of the Principal confidential and handle the same in compliance with the standards required under the applicable law or regulation on personal data protection and in any event no less than the standards required under the Personal Data (Privacy) Ordinance (Cap 486, Laws of Hong Kong).

Signed by / Signed for and on behalf of\*:

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Name:

Title:

\* delete if inapplicable