





MISCELLANEOUS INFORMATION






23. Dress Code

The dress codes for the racecourses are shown in the table below:

Dress code categories	Applications	Descriptions
Business	Stewards Box Voting Members Boxes Sha Tin : Box D708	<p><u>Minimum Standard</u></p> <ul style="list-style-type: none"> - Blazers or sports jackets, with a tie* - Zhong Shan suits, Cheongsam and Min Ou are also acceptable - Ladies are required to dress to a comparable standard  <p>* Option of not having to wear a tie from May to October</p> <p><u>Unacceptable Attire</u></p> <p>Blue denim jeans, T-shirts, tracksuits, singlets, shorts, overalls, shoes for sports activities and flip-flops</p> 

Dress code categories	Applications	Descriptions
Business Casual	<p>Owners Boxes <i>Owners Pavilion</i></p> <p>Parade Ring / Winners' Corner</p> <p>Jockey Club Box</p> <p>Happy Valley Box D101-D104 & E414</p> <p>Happy Valley Voting Members Box (cross- betting)</p> <p>The Racing Club (Race days)</p> <p><i>Putonghua VIP Box</i></p>	<p><u>Minimum Standard</u></p> <ul style="list-style-type: none"> - Blazers or sports jackets over shirts, sports shirts with collars, or turtle necks; or shirt and tie - Zhong Shan suits, Cheongsam and Min Ou are also acceptable - Ladies are required to dress to a comparable standard <div style="display: flex; flex-wrap: wrap; justify-content: space-around;"> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> </div> <p><u>Unacceptable Attire</u></p> <p>Blue denim jeans, T-shirts, tracksuits, singlets, shorts, overalls, shoes for sports activities and flip-flops</p> <div style="display: flex; flex-wrap: wrap; justify-content: space-around;"> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> <div style="margin: 5px;"></div> </div>



Dress code categories	Applications	Descriptions
<p>Club Casual</p>	<p>All Members Boxes (except Pak Hop & Box B501)</p> <p>Happy Valley Private Box D505</p>	<p><u>Minimum Standard</u></p> <ul style="list-style-type: none"> - Shirts, sports shirts with collars, or turtle necks - Ladies are required to dress to a comparable standard  <p><u>Unacceptable Attire</u></p> <p>Blue denim jeans, T-shirts, tracksuits, singlets, shorts, overalls, shoes for sports activities and flip-flops</p> 
<p>Casual</p>	<p>Betting Halls in Members Enclosures</p> <p>Owners Turf / Member Turf</p> <p>Cross-Betting Members Facilities</p> <p>Pak Hop</p> <p>Members Box B501</p> <p>The Racing Club (non-Race Days)</p> <p>Loges / Private Booths</p> <p>Private Boxes: 3/F, 4/F and 7/F, Happy Valley Stand; 6/F Pavilion Stand; 6/F Sha Tin Grandstand II</p>	<p><u>Minimum Standard</u></p> <p>Jeans, T-shirts, tracksuits, overalls and shoes for sports activities</p>   <p><u>Unacceptable Attire</u></p> <p>Singlets, shorts and flip-flops</p> 

24. Livery and Veterinary Charges

The current livery charges for keeping a horse in a racing stable is \$21,000 per month while the livery charges for keeping a horse in spelling stable is HK\$11,000. In addition to either one of these charges, there can be veterinary charges if a horse becomes sick or injured and for any additional supplement or procedure that the trainer requests. There are also charges for bedding, horse feeds and miscellaneous items plus compulsory vaccinations, de-wormings, farriery and dental care. The Club is able to keep these charges relatively low since it employs its own veterinary surgeons.

The Club has entered into a General Liability Insurance policy on the owners' behalf covering liability claims arising out of their race horses. It is to indemnify the owners shall they become legally liable for injury to third parties or other race horses and damage to third parties' properties caused by their race horses. The indemnity is limited to HK\$10 million for any one occurrence arising out of each horse and the insurance cost, currently HK\$50 per horse per month, has been incorporated in the basic monthly livery charges.

25. Trainer's Fee

The current trainer's fee is \$600 per horse per month.

26. Stable Visits

- a. Owners are not permitted to enter the quarantine stables in the Hong Kong SAR.
- b. When a horse is "in training" it may be visited at the stables. Visitors are required





to “sign-in” and they then receive visitor badges. Owners and/or their respective registered Authorised Representatives and their accompanied guests may visit the Sha Tin stables compound:-

- (i) On all days (other than race days, Saturdays and Sundays) between 5:30 am and 9:00 am and 3:30 pm and 6:30 pm.
 - (ii) On Saturdays (excluding race days) between 3:30 pm and 6:30 pm. It is a requirement though that owners and/or their respective registered Authorised Representatives, on the day prior to their visit to the Sha Tin stables compound, make an appointment directly with the trainer, or his allocated assistant trainer, of the stable they will visit.
 - (iii) On Sundays (excluding race days) between 3:30 pm and 6:30 pm. It is a requirement though that owners and/or their respective registered Authorised Representatives, on the day prior to their visit to the Sha Tin stables compound, make an appointment directly with the trainer, or his allocated assistant trainer, of the stable they will visit.
- c. Owners and their guests should visit only their own horse(s) and may only visit the stables of other trainers by invitation. Members who do not own horses or who have not been authorised by an owner to look after their interests during periods of absence, may not visit the stables unless accompanied by an owner or with the permission of the *Executive Manager, Stables*. Visitors on race days shall be accompanied by their trainer and be issued with visitor badges.
- d. In the interests of security, only the following may be admitted to the stables on a day preceding a race day:

- (i) Owners of horses which have been declared as runners for the following day (limited to a maximum of two in the case of a partnership or syndicate).
 - (ii) The Authorised Representatives of owners, provided these owners have horses which have been declared as runners for the following day.
- e. On the day preceding a race day, the usual visiting times apply.
- f. Safety Reminders for Stable Visitors
- (i) Always be accompanied by your Trainer or his representative throughout the visit.
 - (ii) Always check with your Trainer or his representative to ensure that the food you brought to the stable is suitable for feeding your horse (no feeding is allowed on the day preceding a race day).
 - (iii) Always follow the safety rules below when feeding and handling your horse:

Proper Way To Approach a Horse

Always speak to your horse with a gentle voice before approaching

It is safe to approach the horse from the left side of its shoulder.

Always try to position yourself on the same side where the handler stands.





Never approach the horse from the back or stand right in front of it.



Never stand close to its flanks or hindquarters as the horse can cow-kick that may injure you.

Don't make any sudden movements or noise as the horse is sensitive to it and may become restless.

Try not to enter any horse stall to watch or touch your horse as it is not recommended.

Feeding a Horse with Titbit

Put a titbit, i.e. piece of carrot or a lump of sugar etc., on the palm of your hand. The horse will pick up the titbit from your hands by moving its upper and lower lips.



Don't close your hand when the horse is nipping the food. This will minimise the risk of your fingers being bitten.



27. Gratuities

There is no requirement for Owners to give gratuities to Trainers, Jockeys or any members of stable staff *and the Club does not encourage the same*. Solicitation of gratuities is strictly forbidden.

The Club is a public body under the Prevention of Bribery Ordinance and has an obligation to impose a clear policy on acceptance of gratuities and advantages by its employees. If an Owner wishes to give gratuity to the stable staff, *who are employees of the Club*, the suitable occasions shall be restricted to Chinese New Year or if the horse has won a race. In such circumstances, the relevant Owner is requested to submit a payment record form to the Executive Manager, Stables setting out the recipient(s) and details of the gratuities given to the stable staff, *regardless of whether such gratuities were paid directly to the relevant stable staff or to the relevant Trainer for distribution to stable staff*. Owners are also strongly advised to notify the *Executive Manager, Stables* of any solicitation of gratuities by stable staff.

The Declaration for Gratuities to Stable Staff form can be obtained from Stables Office at 2966 6217 or, the Trainer's Office and can be downloaded from the Club's website:

http://member.hkjc.com/pdf/DeclarationForGratuitiesToStableStaff_eng.pdf



28. Allocation of Rating

Allocation of ratings for the 2011/2012 imports will be as follows:

Privately Purchased Previously Raced Imports (PPs)

Ratings will be allocated based on the Hong Kong Jockey Club Handicappers' assessment of overseas performance.

Privately Purchased Griffins (PPG) and International Sale Griffins (ISG)

- a. PPG and ISG which are 3 years old on 31st December will be allocated a rating of 57 (Northern Hemisphere-bred) or 52 (Southern Hemisphere-bred) on arrival.*
- b. Southern Hemisphere-bred PPG and ISG which are 2 years old on 31st December will race in Griffin races.*
- c. Northern Hemisphere-bred PPG and ISG which are 2 years old on 31st December will also be eligible to race in Griffin races. In addition, from 1st January, they will be eligible to race in standard class handicaps. A Northern Hemisphere PPG or ISG which is entered for a handicap will be allocated a handicap rating at the time of entry. The initial rating will be 57 if the horse has not raced, but may be amended if the horse has raced. Once allocated a rating, these Northern Hemisphere-bred horses are not eligible for Griffin races, unless otherwise specified in the conditions of the race.*
- d. A winner of two Griffin races will be allocated a rating immediately.*

- e. *After 1st June, a trainer has the option to request that a rating be allocated to a horse that has won a Griffin race.*
- f. *No horse allocated a rating is eligible for Griffin races unless otherwise specified in the conditions of the race.*
- g. *The initial minimum rating allocated to a Griffin winner will be 60 for Northern Hemisphere 3-year-olds and 55 for Southern Hemisphere 2-year-olds .*
- h. *The maximum initial rating for a maiden Griffin that has been placed second, third or fourth will be 60, and that for a raced but unplaced Griffin will be 57.*
- i. *All unrated Griffins will be allocated a rating at the end of the season.*
- j. *Griffins which are unraced at the end of the season will be allocated an initial rating of 57 (Northern Hemisphere-bred) or 52 (Southern Hemisphere-bred).*

29. Movement of Horses between Trainers

- a. Owners are strongly discouraged from moving their horses to another trainer unless they have good and proper grounds for doing so. It has been suggested to the Stewards that some owners may have removed horses from a trainer for reasons connected entirely with betting losses on those particular horses. The Stewards deplore such action which is totally contrary to the interests of horse racing. The Stewards may therefore require owners to explain and justify their reasons for wishing to change their trainers.
- b. An explanation of the system contained in Stewards' Instruction 33 "Transfers" is shown below:



- (i) The transfer of a horse will not be allowed without the written permission of, and following an examination by, the Veterinary Surgeon. A sample of a horse's urine will be collected immediately prior to its transfer.
 - (ii) Unless it is under any other restriction, a horse is entitled to resume racing after the expiration of 14 days of the date of the official notification of its transfer.
 - (iii) No horse may be transferred without the prior written approval of the Stewards of the Jockey Club at which time any existing entry to race, other than an early closing entry, will be cancelled and the horse will not be permitted to run until new Authority-to-Act forms are completed.
 - (iv) A horse that is subject to a request for stables transfer will be barred from using any training facility until written approval to the change is issued.
 - (v) A fee to be determined by the Stewards of the Jockey Club shall be payable upon stables transfer.
 - (vi) No horse may be transferred to another stable without the approval of the Stewards.
- c. An owner who wishes to have his horse transferred to another stable needs to fill in a "Request for Stables Transfer" form, which is obtainable from the Stables Office or the Registry Office. Under normal conditions, approval for transfer will be granted within two working days after submitting the duly completed form. A fee will be levied on every stable change and in the 2011/2012 season the fee will be \$2,750.

30. Rehabilitation Station

The rehabilitation station at Beas River is capable of accommodating up to 67 horses at any one time and there is no maximum stay period. The rehabilitation station is intended to provide horses with injuries a long rest break facility. No horse shall be declared to run within 14 days of its return from the rehabilitation stables.

31. Spelling Station

The spelling station at Beas River is capable of accommodating up to 20 horses at any one time and the normal maximum period of stay is three weeks. The spelling station is intended to provide horses with a short break from the Sha Tin routine. No horse shall be declared to run within 14 days of its return from the spelling stables.



32. Retirement of Horses

- a. All horses which have reached the age of 11 years and horses which are rated 20 or below at the end of each racing season have to be retired. Horses may also be voluntarily retired, regardless of the horses' age, or rating. Details of retirement policy are contained in Stewards' Instruction 1.
- b. Horses often have to be retired for veterinary reasons e.g. a leg injury from which a horse does not recover properly. Exercise induced pulmonary haemorrhage or 'bleeding' can also eventually cause a horse's compulsory retirement. If a horse 'bleeds' from the nose with the blood originating from its lungs, it will be barred from racing for a period of three months. During the first month of the ban, the horse will be restricted to using the horse walkers, lungeing ring, and the trotting rings. During the second



and third month of the ban, the horse will be allowed to use all the above facilities, plus the swimming pool, the aquatred, grass tracks, the small all weather track and the large all weather track. If the horse ‘bleeds’ a second time, it will be barred from racing for a further three months. If the horse ‘bleeds’ a third time it will be compulsorily retired. This is for important safety reasons and there can therefore be no exceptions to the rule. Very occasionally the Head of Veterinary Regulation & International Liaison or Veterinary Officer will recommend compulsory retirement on safety grounds of a horse that ‘bleeds’ very severely, the first time. Horses that have suffered three episodes of irregular heart rhythm will also be compulsorily retired.

- c. On retirement, all horses are inspected by the Club’s Veterinary Surgeons and sound horses which are suitable for leisure riding will go to Beas River Country Club, or to one of the other Jockey Club supported or private riding establishments, where they are reschooled and ridden for pleasure. Owners can also export their retired horses to overseas. The Club will inform the owners if their horses are not suitable for export or for riding schools.
- d. Some retired racehorses may be selected for export to Jockey Club approved establishments overseas. The owner will be notified in advance if required as indicated on the return slip of the horses’ retirement notification letter.



33. Replacement Permit

- a. Normal Replacement Permit (for a Normal PP)

An owner may apply for a Normal Replacement Permit provided that the retired racehorse to be replaced fulfils the following conditions:

- It was NOT imported under a Normal Replacement Permit issued on or after 1 July 2005 or under a Special Replacement Permit;
- It was retired within 12 months upon arrival in the Hong Kong SAR; and
- It did not have more than 5 starts in the Hong Kong SAR.

If approved, the owner is allowed to import a PP that must fulfill the following import criteria:

(i) Age and Starts Conditions

- Horses must be imported into Hong Kong before they turn five years old.
- The restrictions on the maximum number of starts for different age groups are detailed in the following table:

Age(at time of arrival)	2-year-olds	3-year-olds		4-year-olds		
Maximum No. of Starts	10	As a 2yo 10	As a 3 yo 15	As a 2 yo 10	As a 3 yo 15	As a 4yo 15
Maximum Career Starts	10	17		17		

(Note: Horses aged five (5) or above will not be permitted to be imported into HK.)

(ii) Performance Qualifications

Europe (France, Germany, Great Britain, Ireland and Italy), South Africa and United Arab Emirates

- Horses must have an International Rating of 80 or higher agreed by The Hong Kong Jockey Club Handicappers at the time of import.



Australia

- Horses must have won a race at a Metropolitan track;
- Or won a race of an advertised value of at least AUS\$15,000;
- Or have been placed 2nd or 3rd in a Group or Listed race or in a race of an advertised value of at least AUS\$50,000.

Metropolitan Tracks in Australia:

New South Wales	- Randwick - Rosehill	- Warwick Farm - Canterbury
Victoria	- Flemington - Sandown	- Caulfield - Moonee Valley
Queensland	- Eagle Farm	- Doomben
South Australia	- Morphettville - Victoria Park	- Cheltenham - Oakbank (at Easter-2 days)
Western Australia	- Ascot	- Belmont
Tasmania	- Elwick	- Mowbray

New Zealand

- Horses must have won a race of an advertised value of at least NZ\$8,000;
- Or have been placed 2nd or 3rd in a Group or Listed race or in a race of an advertised value of at least NZ\$40,000.

South America (Argentina, Brazil, Chile and Peru)

- Horses must have been placed 1st, 2nd or 3rd in a Graded Race.

Canada and USA

- *Horses must have won a race with a purse of at least CAN\$35,000 for a race in Canada or at least US\$35,000 for a race in the USA.*

- Or have been placed 1st, 2nd or 3rd in a Graded or Listed race.

Japan

- *Horses must have an International Rating of 80 or higher agreed by The Hong Kong Jockey Club Handicappers at the time of import.*
- *Or horses have been placed 1st, 2nd or 3rd in a Graded or Listed race.*

N.B. For horses racing in Japan, only those which have accumulated more than 5 million yen in “win” prize money would generally be likely to have reached an International Rating of 80.

India, Malaysia and Singapore

- *Horses must have won a Group 1, Group 2 or Group 3 race.*

(iii) In all cases, National Hunt Flat Races, Selling Races and Walk Overs are excluded from consideration for performance qualifications.

(iv) For horses that have raced in more than one country, their qualification can be achieved by meeting the criteria of any one of the countries in which they have raced.

(v) “International Rating” referred to herein means a Rating based on the World Thoroughbred Rankings rating scale and agreed by The Hong Kong Jockey Club Handicappers.

(vi) Permit Holders and/or Trainers must obtain written confirmation from the Hong Kong Jockey Club Handicappers that a horse is eligible for import in accordance with the Import Criteria prior to purchase of a horse from Europe, Japan, South Africa and the United Arab Emirates where the performance



qualifications are based on International Ratings. It is the sole responsibility of the Permit Holder that such horse satisfies the Import Criteria and all other requirements for import into the Hong Kong SAR.

b. Special Replacement Permit

In addition to the above policy on Normal Replacement Permit, current owners are allowed, if they so wish, to retire one of their existing horses in any season and apply for Special Replacement Permits. However, owners are allowed to apply for a Special Replacement Permit only once in every season. If approved, the owner is allowed to import a replacement horse that must fulfill the following import criteria:

(i) Age and Starts Conditions

- Horses must be imported into Hong Kong before they turn five years old.
- The restrictions on the maximum number of starts for different age groups are detailed in the table below:

Age(at time of arrival)	2-year-olds	3-year-olds		4-year-olds		
Maximum No. of Starts	10	As a 2 yo 10	As a 3 yo 15	As a 2 yo 10	As a 3 yo 15	As a 4yo 15
Maximum Career Starts	10	17		17		

(Note: Horses aged five (5) or above will not be permitted to be imported into HK.)

(ii) Performance Qualifications (Special Replacement Permit)

To be eligible, a previously raced horse (PP) aged 2, 3 or 4 (at time of arrival) must:

- have a confirmed Hong Kong rating of 85 or higher at the time of import;
- won a race or been placed 2nd or 3rd in a Group or Listed race; and
- be imported from one of the countries listed in 33.a.ii.

(iii) Hong Kong Ratings are provided by The Hong Kong Jockey Club Handicappers upon request of Permit Holders and/or Trainers. Any other ratings supplied and/or published by foreign racing jurisdictions do not determine eligibility.

(iv) Permit Holders and/or Trainers must obtain written confirmation from The Hong Kong Jockey Club Handicappers that a horse is eligible for import in accordance with the Import Criteria prior to purchase. It is the sole responsibility of the Permit Holder that such horse satisfies the Import Criteria and all other requirements for import into the Hong Kong SAR.

(v) The contacts of the Club Handicappers are listed below:

Nigel Gray	(+852) 2966 8337	nigel.c.gray@hkjc.org.hk
Walter Kwok	(+852) 2966 8387	walter.tw.kwok@hkjc.org.hk
James Ross	(+852) 2966 8456	james.ross@hkjc.org.hk
Patrick Kwan	(+852) 2966 8440	patrick.cp.kwan@hkjc.org.hk

c. The successful applicant of all Replacement Permit is also required to export the original horse at his/her own costs, unless such horse is certified by the Club's Veterinary Surgeons being too ill to travel and due to that reason have to be humanely put down.



- d. All Replacement Permit holders are eligible to bid at the Hong Kong International Sale and retain their sale purchase in Hong Kong to race.
- e. Granting of a Replacement Permit is at the sole discretion of the Stewards of the Jockey Club based on the merits of each application. All Replacement Permits will be valid for 12 months from the date of issue.

34. Contributions to Retired Racehorse Programme

A fee of \$40,000 will be collected from Owners on the arrival of their new horses as a contribution to share the cost of the retired racehorse programme. The fee will also apply to owners who successfully purchase a horse at the 2012 Hong Kong International Sale and retain it to race in Hong Kong. Nevertheless, Owners can apply for a travel subsidy from the Club up to a maximum of HK\$40,000 or the actual shipping costs, whichever is the lower, when they export the retired horse to overseas provided that the horse in question is imported under this scheme.

35. Travel Subsidy for Export of Retired Racehorse

An owner may apply to the Stewards of the Jockey Club to export his retired racehorse. The standard procedure for such an application is available from the Registry Office. Successful applicants may, subject to the approval of the Stewards of the Jockey Club, be granted a travel subsidy of up to HK\$40,000 towards the airfreight cost, or the actual shipping costs, whichever is the lower.

36. Rules and Instructions for Sale of Horses

a. The following extracts from the Ownership Bye-laws and the Horse Ballot Bye-laws are quoted for ease of reference:

(i) “Each Member, whether in his own right or as a member of partnership or syndicate, who has imported a PP or PPG pursuant to an Import Permit shall be deemed to have given an express undertaking to the Club to keep that horse in training throughout its natural racing career.”

(ii) “Any proposal for any change in the ownership of any category of horse must be submitted in writing, with reasons, for the approval of the Stewards of the Jockey Club, whose determination of such application shall be final and binding on the applicant. The Stewards of the Jockey Club shall not be obliged to give any reason for refusing any such application.”

(iii) “Application to sell will not normally be granted unless for one or more of the following reasons:

(1) the death of an owner; and

(2) by express permission of the Stewards of the Jockey Club which will only be granted in exceptional circumstances.”

(iv) “The disposal of horses through sale by tender will render the selling Member, partnership or syndicate ineligible to take part in further ballots for horses unless the Stewards of the Jockey Club, in their absolute discretion, shall determine otherwise.”

b. (i) All horses proposed for sale by tender must undergo a thorough veterinary examination which includes a testing



gallop to assess their suitability for sale. As a result of this examination it may be determined that a horse whilst suitable for continued racing under current Ownership in the Hong Kong SAR is not fit for sale to another owner.

(ii) Horses passed fit for sale may well have existing health problems; a prospective purchaser must take thorough note of these problems before submitting a tender.

37. Racing Publications

- a. The following official racing publications are available on sale from the Registry Office:

Racing Calendar (a quarterly summary of racing information)
\$160

Annual Record (an annual summary of races in the season)
\$460

List of Horses (for identifying horses in training at morning trackwork)
\$100

Race Results Book (including comments on running and racing incidents)
\$60

- b. The official programme (race card), which displays racing colours, costs \$15. It is on sale at selected Off-Course Betting Branches and at both racecourses during race meetings.

38. Trainer Syndicate

A Trainer Syndicate is administered by a Trainer who initiated the Trainer Syndicate. The Trainer's responsibilities include but not limited to keeping the register of the members and accounts, making contribution calls, liaising with the Club and purchasing horse(s).

The Trainer's Syndicate scheme was discontinued at the end of the 2010/2011 season. However, the Club will offer the Owners of the horses that are still racing under the discontinued scheme the opportunity to apply for a "one-time replacement permit", subject to the usual replacement criteria but on the condition that a minimum of 80% of the current Syndicate members remain in the Syndicate. The 3-year ban on stable transfer will still apply to the new replacement horses.

1 September 2011

**Enquiries for Racing Matters and Horse Ownership,
please dial 2966 8476/8349.**