



30 August 2019

All Owners

## OWNERS' HANDBOOK

1. Your attention is drawn to the following important changes from last season's Handbook. For ease of reference, all changes in this Handbook are shown in *italics*.

a. **Section 2.f. – Owners Privileges**

This section has been revised.

b. **Section 7 – Arrival Procedures**

An import administration fee of \$1,000 will be levied.

c. **Section 11 – Barrier Trials and Stalls Tests**

This section has been revised.

d. **Section 15 – Terms Races**

This section has been updated.

e. **Section 21 – Winning**

A winning Owner will receive an unedited DVD and a USB thumb drive with an edited video clip of the race.

f. **Section 23 – Prize Money**

This section has been updated.

g. **Section 24 – Dress Code**

This section has been updated.

h. **Section 25 – Livery and Veterinary Charges**

Monthly livery charges for keeping a horse in training has been increased to \$33,000 and livery charges for keeping a horse in the spelling stable has been increased to \$14,860 this season. A monthly horse transportation fee of \$800 has been introduced this season.



- i. **Section 27 – Stables Visits**  
This section has been revised.
  - j. **Section 30.c. – Movement of Horses between Trainers**  
The re-registration of Authority-to-Act following a change of stable will be charged at \$3,535 this season.
  - k. **Section 31 – Rehabilitation Station**  
Rehabilitation therapies in Conghau Racecourse have become available.
  - l. **Section 33 – Retirement of Horses**  
This section has been revised.
  - m. **Section 34.b. – Replacement Permit**  
The Hong Kong rating of a Previously Raced Horse (PP) has been changed to 68 or higher at time of import.
2. Other than the above, only minor editorial changes have been made to the Handbook which hopefully you will find useful.
  3. In this Handbook, the singular shall include the plural and vice versa and the masculine shall include the feminine and/or neuter and vice versa.
  4. If you have any queries regarding this Handbook please refer them to the Manager, Racing Registry or to a member of the Racing Registry staff.

Eugene Kwok  
Manager, Racing Registry



## PREFACE

The contents of this booklet are advisory in nature. Whilst every care has been taken in its preparation, the Club's Rules of Racing and Instructions by the Stewards and the Club's Bye-laws remain the sole authorities for the provisions which are explained.

Complete sets of the Club's Rules of Racing and Instructions by the Stewards and Bye-laws are available for inspection in all dining rooms, membership and recreation offices, and the Members' badge offices at the racecourses.

Andrew Harding  
Executive Director, Racing



## CONTENTS

	PAGE
<b>Ownership Obligations and Privileges</b>	
1. Obligations	4
2. Owners' Privileges	6
<b>Ownership Dos and Don'ts</b>	
3. Ownership Control	11
4. Race Tips and Privileged Information	12
5. Betting	14
<b>Racing Your Horse</b>	
6. Pre-Import Veterinary Examination	15
7. Arrival Procedures	18
8. Declaration Form on Purchase of a Horse	19
9. Declaration on Agent	19
10. Trackwork	19
11. Barrier Trials and Stalls Tests	20
12. Authorised Representatives	21
13. Entries	21
14. Handicaps	24
15. Terms Races	25
16. Jockeys and Apprentice Jockeys	27
17. Official Pre-Race Veterinary Inspection	29
18. Declarations	30
19. Anti-doping Measures	30
20. The Race and Paddock Procedures	31
21. Winning	32
22. Owners Presence at Objection Hearings	32
23. Prize Money	33



## Miscellaneous Information

24. Dress Code	38
25. Livery and Veterinary Charges	42
26. Trainer's Fee	42
27. Stable Visits	42
28. Gratuities	45
29. Allocation of Rating	46
30. Movement of Horses between Trainers	48
31. Rehabilitation Station	49
32. Spelling Station	49
33. Retirement of Horses	50
34. Replacement Permit	51
35. Contributions to Retired Racehorse Programme	55
36. Travel Subsidy for Export of Retired Racehorse	56
37. Rules and Instructions for Sale of Horses	56
38. Racing Publications	57
39. Trainer Syndicate	58



## OWNERSHIP OBLIGATIONS AND PRIVILEGES

### 1. Obligations

- a. Ownership brings with it both privileges and obligations. The regulations surrounding horse ownership are contained in the Club's Ownership Bye-laws. The following regulations are extracted from the Bye-laws for your attention:

#### Change of Ownership

It should be noted that no alterations to ownership arrangements are normally permitted with the exceptions of the death of an Owner or the inclusion of the Owner's spouse and/or children.

Once the horse has arrived it will be the Owner's responsibility until it is retired from racing. It should also be noted that an Owner is not allowed to sell his/her horse except with the approval of the Stewards of the Jockey Club; this is normally only given in exceptional circumstances.

- b. Handling of accounts

- (i) Hang Seng manages all Members' accounts and Syndicates' accounts. All account holders must settle the accounts in accordance with the Standard Terms and Conditions specified by Hang Seng. If an Owner fails to settle his/her account and has become an account defaulter, his/her horse will not be allowed to be entered for or start in any race.



(ii) Hang Seng will inform the Club of any Owner whose account remains unpaid by the period prescribed by Hang Seng, and the Owner's membership will be suspended in accordance with General Bye-law 21.2. Consequently, disciplinary proceedings will be convened by the Stewards of the Jockey Club and may result in termination of membership.

### c. Category of Ownership

There are four types of horse ownership in Hong Kong. They are:

- (i) Sole Owners.
- (ii) Partnerships - May comprise two, three or four partners.
- (iii) Syndicates (including Trainer Syndicates) - Must comprise not less than five and not more than fifty members.
- (iv) Racing Club - Solely owned by The Racing Club.



## 2. Owners' Privileges

- a. Badges and car park labels (for Sole Owners, Partnerships & Syndicates whose horse is in training)

Each Owner whose horse is in training is issued with a seasonal car park label allowing access to the Grandstand II car park at Sha Tin. All partners will each receive a car park label and Syndicates are issued with at least three car park labels. Distribution of owner's badge(s) is as follows:

Owner type	No. of badges	No. of car park labels
Sole Owner	1 set	1
Partnership (2 partners)	2 sets	2
Partnership (3 partners)	3 sets	3
Partnership (4 partners)	4 sets	4
Syndicate	3 sets*	3*



- \* Syndicates who have been Owners since the 2000/2001 season will continue to enjoy their existing race day privileges so long as they have, on a continuous basis, at least one horse in training or been granted an import permit.

Sole Owners can now enjoy enhanced parking privileges when attending a Sha Tin race meeting. In addition to their normal car park labels, a total of 20 car park vouchers (for Sha Tin race meetings only) in the entire season will be issued to each sole Owner, and 40 vouchers to each Sole Owner with two or three horses in training. Vouchers will be sent every three months to Sole Owners for exchanging daily car park labels at the entrance of the Sha Tin Grandstand II car park. These vouchers are not valid on major racedays, as published by the Club.





- b. Badges and car park labels (for Sole Owners, Partnerships & Syndicates whose horses have been retired)

Any Owner whose horse dies or is retired during the season may retain the owner's badge(s) for the balance of the season in which his/her horse is retired plus the ensuing season. However, such Owner may retain the car park label(s) only for the balance of the season in which his/her horse is retired. Once an Owner sells his/her horse, he/she will lose his/her entitlement to owner's badge(s) and car park label(s).

The racing season period is, subject to the official racing fixtures, published by the Club from time to time.

- c. Facilities for Owners during race meetings (Not applicable to Racing Club Members)



- (i) Owners with Starters Boxes - Located on 2/F (OB2) at Members Stand I, Happy Valley Racecourse and 3/F (OB3) at Grandstand I, Sha Tin Racecourse. These boxes offer Owners with starters and their guests an exclusive unique racing experience. Tables are allocated on a first-come, first-served basis. The relevant table reservation procedures and schedule are set out in the Members' Facilities Directory.



(ii) Owners Boxes - At the Happy Valley Racecourse, there are three Owners Boxes: 3/F (OB3), 6/F (OB6) & 7/F (OB7) at Members Stand I. At the Sha Tin Racecourse, the Owners Boxes are located on 1/F (OB1) and 6/F (OB6, which is divided into three sections) of Grandstand I.



(iii) Owners Pavilion (OP) - Including Owners Betting Hall and Owners Lounge. The OP area is located adjacent to the Parade Ring. The casual ambience makes it particularly ideal for those Owners without a table booking to enjoy the races. The Owners lounge is available on a first-come, first-served basis. Lounge will be opened two hours before the first race until one hour after the last race on every race meeting. No admission will be allowed before the official opening time and seat allocation will only start at this point. Due to the limited capacity, each Owner may take up one table only, with a maximum of 4 seats.

(iv) Owners Bar – Adjacent to the Owners with Starters Box (OB3) at Sha Tin. Same as the Owners Pavilion (OP), table reservation is not available. Each Owner may take up one table only, with a maximum of 2 seats.

(v) A number of exclusive private rooms at the Owners Boxes on 4/F (OB4), 6/F (OB6) & 7/F (OB7) at Members Stand I of Happy Valley Racecourse and 3/F (OB3) & 6/F (OB6 Section I) at Grandstand I of Sha Tin Racecourse will provide a cozy yet stylish environment with panoramic view of the track.



These Owners Boxes are normally open two hours before the first race until one hour after the last race. Each Owner, barring the use of a private room, may reserve one table only.

Bookings may be made at the reception counter of the box or through our telephone booking hotline. For details, please refer to the Race Day Booking Schedule at Members' Facilities Directory.

For Happy Valley race meetings, only Owners with table reservations and Owners with Starters will be offered one parking space at the basement of the Jockey Club Headquarters building.

#### d. Facilities for Racing Club Members

The Racing Club owns several Club horses which offer its Members a unique horse ownership experience. The Racing Club Members can enjoy access to its exclusive premises at both racecourses located at The Racing Club 7/F & 8/F at Happy Valley Racecourse Members Stand II, 9/F Pavilion Stand and at Box D510 and D710 at Sha Tin Racecourse Grandstand I.



#### e. Access to the Parade Rings and Owners Pavilion (OP)

Owners with Starters in the race in question and their guests are allowed in the Parade Ring during the parade of horses.



f. Services for Owners

- (i) All winning Owners will be presented with a bottle of exclusive champagne, an unedited DVD capturing the winning moments and a photo album on the day of the race. *A USB thumb drive with a fully edited video clip of the race* will be mailed to the winning Owners within one week.
- (ii) Short messages about their horses' entry, declaration, change of horse rating and entry for barrier trials will be sent to Owners' mobile phone provided that their mobile phone numbers are registered with the Club.
- (iii) Horse Owners' Website  
A dedicated website is available to Owners as a convenient and easy platform to look for relevant information on horse ownership related matters and to download forms.

Website: <http://member.hkjc.com/member/english/horse-owner/index.aspx>



## OWNERSHIP DOs AND DON'Ts

### 3. Ownership Control

- a. Owning a racehorse registered by the Hong Kong Jockey Club (“Club”) is a privilege as there are many more aspiring Owners than there are horses available. It is incumbent upon all Owners to understand and abide by the Club’s Rules regarding ownership, and to exercise proper control over their own horses.
- b. When a Member submits an application to import a horse or a griffin he/she undertakes to be responsible for the management of that horse during its racing life. The Owner is required to delegate certain responsibilities to his/her Trainer as the “Authorised Agent” under the “Authority-to-Act Rule” (Rule 163 and Rule Definitions) so that the trainer can enter the horse for races and engage a jockey. The Owner may not wish to be consulted in these processes, but he/she would be breaking the Rules if he/she allowed any person other than the trainer to make or control entries or engage a jockey unless he/she has received approval for the appointment of that person as his/her “Authorised Representative” under Rule 44. It is the Owner’s responsibility, under the Rules of Racing, to ensure that his/her trainer instructs the jockey to ride the horse in such a manner as to obtain the best possible placing. This is done by means of a written instruction to the trainer in the Authority-to-Act form which every Owner must sign.
- c. Owners are responsible for meeting all livery and training charges themselves. To permit another individual to pay these charges would indicate that control had been passed to that party.



d. An Owner may seek the advice and assistance of his/her trainer, his/her jockey or his/her friends in the purchase and import of his/her horse. Thereafter, the responsibility for the horse's general control and management rests squarely with the individual Owner himself. Partners are regarded as though they were Sole Owners - they share equal responsibility. In the case of a Syndicate, every syndicate manager is held to be responsible.

#### 4. Race Tips and Privileged Information

##### a. By a Trainer

(i) The Rules do not prohibit an Owner from seeking tips from his/her own or, indeed, from any trainer in respect of any runners in any race. However, an Owner has no entitlement to "privileged information" about any horse, except his/her own, and it is entirely up to the trainer whether or not he provides information about other horses. A trainer has a right, and a duty, to maintain confidentiality regarding the performance of other Owners' horses in his stable. "Privileged information" means information about the likely performance of a horse in a race which is not publicly available and consists of matters which are not readily observable or known by the public.

(ii) The Rules prohibit an Owner from offering any reward, including an interest in a bet, to induce a trainer to give him/her tips concerning horses other than his/her own.

(iii) The Stewards of the Jockey Club consider it improper for an Owner to try to obtain information by means of any threat, such as the removal of a horse from the stable.



b. By a Jockey

(i) The giving of tips by jockeys is a very different matter.

(ii) Naturally, each Owner will be interested in the state of fitness of his/her own horse as well as its chances in any race in which it is to run. The Owner of a horse is fully entitled to ask the jockey who has been riding the horse in work about its state of fitness and about its race chances; if a different jockey is to ride the horse in the race the Owner is of course also entitled to ask the race jockey.

(iii) It is equally permissible for a jockey, like any other individual, to express his general views and opinions on the chances of any horse in any race. However, that is very different from passing privileged information privately to an individual, and this is not permitted. It must be appreciated that a jockey will frequently be in possession of privileged information about horses, and he is explicitly forbidden by the Rules to pass on any such information to anybody except the Owners and trainers of those horses. This means that no person, Owner or otherwise, should try to persuade or even ask a jockey to provide tips or privileged information, except for the Owner of the horse which the jockey has been riding or is about to ride. If a jockey provides such tips or privileged information in breach of the Rules, he is liable to disciplinary action and so is the person who persuaded the jockey to divulge such information, whether for reward or otherwise. All jockeys have been warned about this and have been told that they should refer any such requests to the trainer.



## 5. Betting

### a. By a Trainer

A trainer is allowed to bet and to have an interest in a bet, provided that the bet is placed with licensed operators and such interest is not by way of inducement. However, the placing of a bet on a horse to lose a race or to be beaten by any other horse or horses in a race is strictly prohibited.

### b. By a Jockey

(i) Jockeys are not allowed to bet nor are they allowed to have any interest in a bet under any circumstances. All jockeys are fully aware of such prohibition and that they will be subject to disciplinary action, which may lead to disqualification, if they breach this Rule. Any person who places a bet on behalf of a jockey also commits an offence under the Rules.

(ii) The reason why jockeys are more tightly controlled than trainers is very simple. If a jockey bets in a race or passes on privileged information, he is more likely to be tempted or pressurised into riding according to his bets or tips rather than on the merits of his horse and the risk of the jockey engaging in a dishonest and corrupt manner is higher.





## RACING YOUR HORSE

### 6. Pre-Import Veterinary Examination



Every racehorse is required to undergo a pre-import veterinary examination prior to export to Hong Kong to confirm that it is suitable for racing purposes. This examination must be carried out by a suitably qualified veterinary surgeon selected by the Owner or Prospective Owner during the 30 days prior to the horse entering pre-export quarantine.

The process by which horses are approved for importation is multilayered. First, the examining veterinary surgeon must complete an examination in accordance with the Hong Kong Jockey Club Veterinary Pre-Import Examination Protocol. Second, the documentation and all associated diagnostic images must be assessed by a Club nominated veterinary surgeon. And thirdly, the examining and nominated veterinary surgeon reports must be forwarded to the Hong Kong Jockey Club's Veterinary Departments for final review, classification and approval.



A copy of the Hong Kong Jockey Club Veterinary Pre-Import Examination Protocol and detailed guidelines on specific conditions and their potential significance for suitability for racing in Hong Kong are available through the Club.

Prospective Owners (or Agents) are advised not to make any commitment to purchase a horse until they have been informed, in writing, of the veterinary classification of the horse by Hong Kong Jockey Club Dual Site Stables Operations and Owners Services Department.

The HKJC reserves the right to refuse the importation of any horse deemed to be unsuitable.

### **Objectives of the Pre-Import Veterinary Examination**

The objectives of the Hong Kong Jockey Club pre-import examination are to direct and support the thorough examination of racehorses in an effort to identify and assess aspects of a veterinary nature that may affect a horse during training and racing in Hong Kong.

The examination protocol has evolved over time, and is aimed at providing Prospective Owners and Owners with a multilayered veterinary evaluation of each horse.

Despite thorough clinical examination, diagnostic imaging and testing, it will never be possible to detect all defects, abnormalities or potential problems. For this reason, the export examination in no way represents a guarantee that a horse will remain free of health issues or that it will be useful or have competitive success as a racehorse.



The certificates of examination provide information on the suitability of a horse on the day of examination. This veterinary information should form only part of the information that must be considered – along with other aspects such as performance, appearance and the opinions of other professionals, such as trainers – before deciding whether or not to purchase or import any horse into Hong Kong.

The HKJC does not recommend, accredit or endorse any examining veterinarians and as a result, cannot accept responsibility for the services performed or the accuracy, completeness or opinions offered by them (or their employees). Accordingly, Prospective Owners and Owners should choose an examining veterinary surgeon either known or recommended to them and must satisfy themselves that the veterinarian they select has the necessary skills, knowledge and experience in the examination of Thoroughbred racehorses (intended to race in Hong Kong).

While every effort has been taken to cover common aspects of the veterinary examination of racehorses, the HKJC examination protocol is only a guideline, and each examining veterinarian must rely on his or her professional knowledge and judgment in the examination and assessment of any individual horse.

The Hong Kong Jockey Club and their nominated veterinarians serve to provide Prospective Owners, Owners and the Club with an independent, balanced professional opinion as to whether any condition or aspects identified during the examination process may affect the suitability or preclude a horse's entry into Hong Kong as a racehorse.



The final certification/interpretation offered by the Club is provided as a free service to assist Prospective Owners and Owners in reaching an informed decision. Accordingly, the Club cannot be held liable for any loss, damage, cost or expense arising out of, or in connection with, the purchase or importation of a horse.

The purchase and importation of any horse involves risk – and at best, the Hong Kong Jockey Club pre-import examination process will help to identify, assess and quantify that risk.



## 7. Arrival Procedures

Prior to arrival in Hong Kong, all horses must undergo a 21 days pre-export quarantine in their home country. However, horses from South Africa, must undergo a 21 days quarantine at Kenilworth Quarantine Station in the African Horse Sickness Free Zone in Cape Town, prior to completing a 90 day residency (including 40 days pre-export quarantine for Europe) in Mauritius, followed by a further 60 day residency period (including 21 days pre-export quarantine) in the UK. After arrival, all horses must complete a minimum period of 14 days post-arrival quarantine in Hong Kong before being placed with trainers at Sha Tin. These periods may be extended for veterinary reasons. Each Owner should nominate the trainer of his/her choice prior to his/her horse's arrival in Hong Kong.

*Upon arrival, an import administration fee of \$1,000 will be levied.*



## 8. Declaration Form on Purchase of a Horse

Owners are required, under Rule 41(3), to declare to the Club whether or not their new horse is bought with contingencies, leased or is the subject of any other joint arrangements. If there are such contingencies, leases or other arrangements, a document stating the names, addresses of all the parties interested and full details of the terms of such sale shall be signed and lodged with the Club on the horse's arrival in Hong Kong. However, such declaration will not be deemed as the Club's approval and the Stewards of the Jockey Club may refuse to register such sale.

## 9. Declaration on Agent

Owners wishing to import a horse are required to make a declaration to the Club if any person has acted as their agent in connection with the purchase of their new horse. Such declaration shall be made in a prescribed form and lodged at the Racing Registry prior to importation of the new horse into Hong Kong.

## 10. Trackwork

Morning trackwork at Sha Tin starts every morning at 4:45 am and is normally completed by around 8:45 am. Owners may watch their horses from the trainers' stand, situated on the edge of the main training track. Owners attending must wear their owner badges and obtain visitor badges for accompanying guests from the security post at the track entrance gate.

Trackwork hours at Conghua Racecourse are from 6:00 am to 9:00 am.





## 11. Barrier Trials and Stalls Tests

- a. In addition to morning track work, Owners may also attend barrier trials. These trials are run on the large all weather track at Sha Tin on Tuesdays and Fridays at 8:15 am. All weather barrier trials are held at 9:00 am on Thursdays at Conghua Racecourse. Owners watching from the trainers' stand must wear their owner badges and obtain visitor badges for accompanying guests from the security post at the track entrance gate. Grass trials at Sha Tin are held on *designated Tuesdays*, starting at 7:00 am and grass trials at Conghua Racecourse are held on *designated Thursdays at 8:30 am and designated Fridays at 7:00 am*. There are also periodic barrier trials at Happy Valley. *Notice of all grass trials* is published well in advance.
- b. Stalls tests are held to show that a horse has been schooled by the trainer to load into, stand in and jump out of starting stalls and is therefore ready to race. These are held on Mondays and Thursdays (except public holidays) at the 1800 metres chute at 7:30 am. If a general holiday falls on a Monday, stalls tests may be moved to Tuesday if necessary provided that no grass trials are scheduled on that day. Stall tests at Conghua Racecourse are held on Thursdays at 7:30 am. Each griffin must pass a stall test and a barrier trial before it can be entered to run.
- c. Barrier trials are conducted both as an aid to training and as an official means of testing a horse's tractability, soundness and suitability to race or continue to race. For example, both







griffins and privately purchased horses (those that have raced overseas before being imported) must pass one barrier trial before being entered to run in a race.

*Note: For safety reasons, access to the sand mounting area is restricted to licensed persons, stables staff, accredited guests and HKJC officials. Owners wishing to enter the area must be accompanied by a stable representative. Children under 12 years of age are prohibited from entering the sand mounting area at all time.*

## 12. Authorised Representatives

- a. An Owner may seek the approval of the Stewards of the Jockey Club to the appointment of an Authorised Representative to represent the Owner when the Owner is to be absent from Hong Kong and during any such absences the Authorised Representative may exercise all rights with respect to the Owner's horse in all dealings with the Owner's authorised agent.
- b. If an Owner is unable to manage his/her horse, his/her Authorised Representative must be a member, or a body corporate which, having previously been approved by the Stewards of the Jockey Club.

## 13. Entries

- a. To facilitate entries and planning, the monthly programme will be published about two months in advance. It includes such information as prize money, distance, rating band, names of cups, etc. for each meeting. A prospectus for each meeting carrying the latest details will be issued normally two weeks in advance.

To participate in a race a horse must first be "entered". Entries for Saturday/Sunday meetings normally close at 10:00 am on Monday. Entries for Wednesday meetings normally close at 10:00 am on Thursday in the preceding week. In the event that races are re-opened due to insufficient entries, entries for those races will close at 11:30 am on the same day. Handicaps are usually published by 4:00 pm on entries closing days.



- b. Horses are entered to run and those entered cannot be withdrawn except under the following circumstances:
- (i) On veterinary grounds. (Veterinary Surgeon's confirmation is required)
  - (ii) If the race entered is a terms race (except griffin races).
  - (iii) If they are set to carry 7 lbs or more out of the handicap before declarations.
  - (iv) If the race conditions allow the withdrawal of entered horses.
  - (v) Stable change.
  - (vi) With the permission of the Stewards.
  - (vii) If the horse is promoted from the standby reserve list after being entered for the subsequent race meeting, the trainer may elect for such a horse to be withdrawn prior to the closing time of declarations for the subsequent race meeting. After the closing time of declarations for the subsequent race meeting, horses may only be withdrawn in accordance with the provisions as detailed above in (i), (v) and (vi).
- c. A trainer is allowed to indicate on the entry form an order of preference for his horses entered in the same race. He also has the option to indicate, in each race meeting, up to two of his first preference horses which should be given priority to run, i.e. trump cards.
- d. Priority to run (Protection) will be granted to horses ranked as 1st preference by the Trainers provided that in their last entry in the current season they were:
- Declared starters of an abandoned race; OR
  - Standby declared starters published at the time of declaration which subsequently failed to obtain a run; OR
  - Ranked as 1st preference but were balloted out and could not obtain a run.





In the event of a horse failing to obtain a start or being a standby declared starter in a race in which priority to run is determined by special conditions, this race entry will not be taken into account in respect of the horse's priority to run status at its subsequent race entry. Rather, its priority to run status shall be determined as that which it held following its race entry which immediately preceded the special conditions race entry.

e. In general, priority to run is determined in the following order:

- 1st: horses with protection and balloted out in their previous entry with protection (double protection)
- 2nd: horses with protection and trump card
- 3rd: horses with protection
- 4th: horses with trump card
- 5th: horses indicated as trainers' 1st preference
- 6th: horses indicated as trainers' 2nd preference
- 7th: horses indicated as trainers' 3rd preference and so on

Balloting will take place, if necessary, for horses of equal status. However, for horses of equal status entering for Class 1, 2, 3, 4 and 5 races, priority will be given to horses which have:

- (i) won their last start in the current season.
- (ii) placed 2nd, 3rd or 4th in their last start in the current season in that order and within 45 days of this race.

In respect of a previously abandoned race(s), for horses with same protection status, priority to run will be given to the declared starters first and then the two standby declared starters of such abandoned race(s).

f. Protection, trump cards and preferences will not be applicable to races in which priority to run is determined by special conditions published in a relevant prospectus or notice.



In the event that a race is re-opened for a further period, priority to run will be given to entries received prior to the original advertised time of close of entry except for races involving a selection panel.

- g. An entry fee is levied on all entries. The entry fee for a race is calculated on a percentage basis of prize money; the current rate is 0.3%. Subject to the race conditions, entries on the standby reserve list not getting a run will get a refund. Further, entries in a subsequently abandoned race will also get a refund. Entry fee is exempted for races open to overseas runners but a declaration fee will be levied as specified in the Race Prospectus.
- h. The details of every race are contained in a race meeting prospectus which is normally produced two weeks in advance of the race by the Racing Registry. All trainers receive copies of the prospectus for each meeting and Owners, who may want their own copies, may obtain them free of charge from the Racing Registry.

#### 14. Handicaps

- a. About 97 per cent of all races in Hong Kong are handicaps. A handicap is one in which the weights are adjusted by the Handicapper in order to equalise horses' chances of winning.
- b. Handicap races are currently divided into five race classes from Class 1 down to Class 5. In addition, all Group 3 and some Group 2 races in Hong Kong are handicaps. In Hong Kong each horse is allocated a handicap rating as soon as practicable. This is simply the expression in lbs (weight) of the Handicapper's assessment of a horse's relative ability. Horses are continually reassessed during the season.





- c. In all handicaps, the maximum allocated weight shall be 133 lbs and the minimum allocated weight shall be not less than 113 lbs.
- d. Northern Hemisphere and Southern Hemisphere 2-year-olds (as at 31 December) will receive a 5 lbs weight-for-age allowance in all handicaps of that season.

## 15. Terms Races

- a. A Terms Race is one in which weights are allocated according to a horse's age and sex, and/or according to his racing record. All Group 1 and Some Group 2 races are terms races. Terms Races for the *2019/2020* season are:

### Group One



LONGINES Hong Kong Cup



LONGINES Hong Kong Mile



LONGINES Hong Kong Sprint



LONGINES Hong Kong Vase



*FWD QEII Cup*



*FWD Champions Mile*



*Chairman's Sprint Prize*



*Stewards' Cup*



*Citi Hong Kong Gold Cup*



*Standard Chartered Champions & Chater Cup*



*Centenary Sprint Cup*



*Queen's Silver Jubilee Cup*



### Group Two

Chairman's Trophy

BOCHK Jockey Club Cup

BOCHK *Wealth Management* Jockey Club Mile

BOCHK *Wealth Management* Jockey Club Sprint

Sprint Cup

### 4-Y-O Classic Series

BMW Hong Kong Derby 2020

Hong Kong Classic Cup

Hong Kong Classic Mile

### Other Races

Griffin Trophy

Griffin races

- b. A chart showing the Hong Kong Scale of Weight-for-Age is contained in the Rules of Racing.

## 16. Jockeys and Apprentice Jockeys

- a. There are five different categories of jockey: freelance jockeys, stable retained jockeys, Club retained jockeys, visiting jockeys and apprentice jockeys. A trainer will normally advise an Owner and make arrangements for his/her horse to be ridden by a particular jockey.
- b. Some Trainers, with larger number of horses, may have a stable retained jockey who is contracted to ride for that stable; 85% Owners with those trainers are required to support the stable retained jockey. A stable retained jockey may not normally be declared to



ride outside his retaining stable if his retaining stable has a runner ridden by another stable's retained jockey. The Stewards may, however, give such permission in exceptional circumstances. Freelance jockeys ride on a freelance basis. For more information, please refer to Stewards' Instruction 41.

- c. The Club may employ Club retained jockeys. These jockeys are primarily available to trainers and Owners without a retained jockey.
- d. An apprentice jockey, normally referred to as an apprentice, is a young "learner jockey" indentured to the Club and allocated to a trainer. The current apprentice jockey allowance to claim in any flat races on the sliding scale are shown below:-

If he has not ridden 20 winners	- 10 lbs
If he has ridden 20 winners but has not ridden 45 winners	- 7 lbs
If he has ridden 45 winners but has not ridden 70 winners	- 5 lbs
If he has ridden 70 winners but has not ridden 95 winners	- 3 lbs
If he has ridden 95 winners	- 2 lbs

- e. Freelance jockeys can claim weight allowances to compensate for their lack of experience on a downwards sliding scale dependent upon the number of wins they achieve. The current allowances applicable in Hong Kong are shown below:-





If he has not ridden 20 winners	- 10 lbs
If he has ridden 20 winners but has not ridden 45 winners	- 7 lbs
If he has ridden 45 winners but has not ridden 70 winners	- 5 lbs
If he has ridden 70 winners but has not ridden 95 winners	- 3 lbs
If he has ridden 95 winners but has not ridden 250 winners	- 2 lbs

## 17. Official Pre-Race Veterinary Inspection

a. All horses, which are entered for a race, must pass an official veterinary inspection before they race. The objectives of this inspection are to protect horse and rider safety, to provide for the welfare and humane treatment of racehorses and to assist in maintaining consistent racing performances. A Veterinary Officer from the Department of Veterinary Regulation, Welfare and Biosecurity Policy will perform these inspections in the morning on the day before racing and the decision of this Department will be final.



b. The inspection requires the horse to be trotted in-hand free of any bandages, rugs, etc. on a firm flat surface away from and back towards the Veterinary Officer. The Veterinary Officer may then perform any other procedures at his discretion, which are required to assist him in making a decision on a horse's veterinary suitability to race.



## 18. Declarations

Declarations normally take place two or three days before a race. Declarations normally do not incur any fee or charge except otherwise specified in the Prospectus. Once the horse has been declared it may not be withdrawn unless under exceptional circumstances, or for veterinary reasons only. For example, a horse may become lame or suffer an illness or injury in the period between close of declarations and the running of the race. If this were to happen, the trainer would inform one of the Club's Veterinary Officers who would then examine the horse and if found to be not suitable to race, would advise the Stewards to withdraw it.

## 19. Anti-doping Measures

- a. On the day a horse races, its urine (or blood if it does not produce a urine sample in time) will be analysed for prohibited substances prior to taking part in the race, by the Club's Racing Laboratory. Additional samples may be taken at any time, as required. Horses that do not provide a urine sample pre-race will be required to provide urine and blood samples after the race. This procedure has proved to be a highly effective deterrent against doping. A horse placed either first or second in its race will have further urine and blood samples taken for analysis immediately after the race. Should a horse's performance be unusual in comparison with previous races, it may be selected, at the discretion of the Stipendiary Stewards, for further urine and blood sample analysis immediately after its race. These horses may also be selected for official post-race veterinary inspections by a Veterinary Officer of the Club.





- b. Samples may be taken for analysis at any time from any horse registered in training. This is to ensure that horses receive only those medications prescribed by the Club's Veterinary Surgeons.

## 20. The Race and Paddock Procedures

- a. All runners are brought, already saddled, into the parade ring prior to each race. This provides an opportunity for Owners to meet their trainers and jockeys, to assess prospects for the race, to judge a horse's condition and, importantly, to discuss the riding instructions and anticipated tactics. Stewards' Instruction 29 covers these points in detail and it is reproduced below, in full. An Owner should be familiar with its contents even though he/she will have signed an Authority-to-Act form instructing his/her trainer to comply with the Instruction's provisions (See Item 3).



- b. Each trainer is required to instruct the jockey of any horse for which he is responsible to ride that horse in such a manner as to ensure that the best possible placing is obtained. Each Owner who wishes to give additional tactical instructions to his jockey should do so through the trainer. Should an inquiry be held involving the running and/or riding of a horse the trainer and the Owner will be asked to repeat their respective riding instructions.



## 21. Winning

The crowning moment of every Owner's hopes and dreams comes when his/her horse wins a race. The winner is taken to the Winners' Enclosure to allow



the Owner to lead in his/her horse and to pose for photographs with the trainer and jockey. The maximum number of guests a winning Owner can bring to take a winning photo is 50. Should an Owner be lucky enough to win a trophy, then this is usually presented in the unsaddling enclosure immediately after the jockey has weighed in. To enhance the ownership experience, the Club will provide each winning Owner with a photo album capturing the winning moments, *an unedited DVD, a USB thumb drive with an edited video clip of the race* and a bottle of exclusive premium champagne.

## 22. Owners Presence at Objection Hearings

In the event that an objection is being lodged, Owners of the concerned horses are allowed, if they so wish, to be present at the objection hearing. If the horses in question have more than one Owner, only one of them is allowed to be present at this hearing. When Owners wish to exercise the above right of attendance, it is their sole responsibility to ensure that they present themselves in time at the inquiry room for the hearing in order not to cause delay in the conduct of the race meeting.



## 23. Prize Money

a. The distribution of prize money is as follows:

### PRIZE MONEY

CLASS 1.....	\$2,800,000
CLASS 2.....	\$2,100,000
CLASS 3.....	\$1,450,000
CLASS 4.....	\$967,000
CLASS 5.....	\$725,000
GRIFFINS .....	\$850,000

### GROUP RACES

#### Group One

LONGINES Hong Kong Cup.....	\$28,000,000
LONGINES Hong Kong Mile .....	\$25,000,000
<i>FWD</i> QEII Cup.....	\$25,000,000
<i>FWD</i> Champions Mile .....	\$20,000,000
LONGINES Hong Kong Sprint.....	\$20,000,000
LONGINES Hong Kong Vase.....	\$20,000,000
@ Chairman's Sprint Prize.....	\$18,000,000
* Stewards' Cup .....	\$10,000,000
* Citi Hong Kong Gold Cup .....	\$10,000,000
* Standard Chartered Champions & Chater Cup ....	\$10,000,000
@ Centenary Sprint Cup.....	\$10,000,000
@ Queen's Silver Jubilee Cup .....	\$10,000,000

#### Group Two

Chairman's Trophy .....	\$4,250,000
BOCHK Jockey Club Cup .....	\$4,250,000
BOCHK <i>Wealth Management</i> Jockey Club Mile.....	\$4,250,000
BOCHK <i>Wealth Management</i> Jockey Club Sprint .....	\$4,250,000
Premier Bowl .....	\$4,250,000



Oriental Watch Sha Tin Trophy .....	\$4,250,000
<i>Kwoon Chung Bus</i> Sprint Cup .....	\$4,250,000

### Group Three

Bauhinia Sprint Trophy.....	\$3,250,000
Celebration Cup .....	\$3,250,000
Centenary Vase .....	\$3,250,000
Chinese Club Challenge Cup.....	\$3,250,000
January Cup.....	\$3,250,000
Sa Sa Ladies' Purse .....	\$3,250,000
Lion Rock Trophy.....	\$3,250,000
National Day Cup .....	\$3,250,000
Premier Cup .....	\$3,250,000
Premier Plate.....	\$3,250,000
Queen Mother Memorial Cup .....	\$3,250,000
Sha Tin Vase .....	\$3,250,000

### 4-Y-O Classic Series

BMW Hong Kong Derby 2020 .....	\$20,000,000
Hong Kong Classic Cup .....	\$10,000,000
Hong Kong Classic Mile.....	\$10,000,000

<i>Griffin Trophy</i> .....	\$2,000,000
-----------------------------	-------------

### SPECIAL BONUS

* Triple Crown.....	\$10,000,000
---------------------	--------------

@ Hong Kong Speed Series.....	\$5,000,000
-------------------------------	-------------

<i>Happy Valley</i> Million Challenge.....	\$1,000,000
--	-------------

For any horse which wins both the Hong Kong Macau Trophy and the Macau Hong Kong Trophy in the same season, while remaining in the same ownership, and in the stable of the same trainer..... \$1,000,000



50% Bonus Prize Money to the Owners of any ISG which finishes in the first five placings in the Griffin Trophy.

### High Achievement Bonus

For any horse with a minimum rating of 80 which gains its first win in Class 2 before reaching the age of 5 ..... *\$1,000,000*  
 (A horse which had been awarded an ISG Bonus will receive a top-up bonus of *\$400,000*)

For any horse with a minimum rating of 80 which gains its first win in Class 1 or above before reaching the age of 5 ..... *\$1,500,000*  
 (A horse which had been awarded a Class 2 High Achievement Bonus will receive a top-up bonus of *\$500,000*. A horse which had been awarded a Class 2 High Achievement Bonus before the 2019/2020 season will receive a top-up bonus of *\$650,000*. A horse which had been awarded an ISG Bonus but not a Class 2 High Achievement Bonus will receive a top-up bonus of *\$900,000*.)

### ISG BONUS

For any ISG which gains its first win in Class 3 before the end of its first full season after year of purchase ..... *\$600,000*

b. The normal distribution of prize money is:

1st	57%	4th	6%
2nd	22%	5th	3.5%
3rd	11.5%		

Prize money for Group One Races is paid down to the sixth place and the distribution is laid down in accordance with prospectus of the relevant races.



c. From the prize money won by the first five placed horses (or six when applicable), the Club will deduct payments for trainers, jockeys and stable staff as shown below.

Trainer	9.2%
Hong Kong Assistant trainer & Hong Kong stable staff	10.8%
Jockey	10% for a winning horse, 5% for a placed horse (2nd-5th, 6th when applicable)

In other words, the Owner(s) will receive 70% of the prize money for a winning horse and 75% for a placed horse (2nd-5th, 6th when applicable)

d. Riding fees are as follows:

Jockey (Except for stable retained jockey).....	\$2,200 per ride (of which \$1,000 is payable to the Club)
Stable retained jockey riding for supporting Owner & horses trained by other trainers.....	\$2,200 per ride (of which \$1,000 as contribution towards the retainership of the concerned Stable Jockey)
Stable retained jockey riding for non-supporting Owner in the stable.....	\$6,000 per ride (of which \$5,000 as contribution towards the retainership of the concerned Stable Jockey)
Apprentice Jockey.....	\$1,200 per ride (of which \$600 payable to the Club)



e. The Club shall render, subject to a laboratory test clearance issued by the Head of Racing Laboratory, an account within three weeks after each meeting and pay over all prize and added money, after deduction of any overdue payments in the account, to the persons entitled unless there is a protest/objection pending.



f. Owners can choose to receive all prize money and added money at their designated bank account by auto-pay. This arrangement is more convenient and can expedite the payment process. If you want to enjoy this service, please complete the “Stakes Money Direct Credit Authorization Form” and return the completed form to the Club’s Accounts Payable Section. The form can be downloaded from the Club’s website: <http://member.hkjc.com/member/english/horse-owner/owners-information/download-forms.aspx>





## MISCELLANEOUS INFORMATION

### 24. Dress Code

#### a. Happy Valley Racecourse

Facilities	Minimum Dress Code	Unacceptable Attire
Stewards Boxes Voting Members Box	<ul style="list-style-type: none"> <li>• Blazers or sports jackets, with a tie</li> <li>• Zhong Shan suits, Cheongsam and Min Ou</li> <li>• Ladies are required to dress to a comparable standard</li> <li>• Ties are optional from May to October</li> </ul>	<ul style="list-style-type: none"> <li>• Blue denim jeans, T-shirts, tracksuits, singlets<sup>1</sup>, shorts<sup>2</sup>, overalls, shoes for sports activities<sup>3</sup> and flip-flops</li> </ul>
Voting Members Box (cross-betting) Owners Boxes Owners Pavilion Parade Ring / Winners' Corner Jockey Club Box <i>Parade Enclosure Suites</i> <i>Private Box E414</i>	<ul style="list-style-type: none"> <li>• Blazers or sports jackets over shirts, sports shirts with collars, or turtle necks; or shirt and tie</li> <li>• Zhong Shan suits, Cheongsam and Min Ou</li> <li>• Ladies are required to dress to a comparable standard</li> </ul>	<ul style="list-style-type: none"> <li>• Blue denim jeans, T-shirts, tracksuits, singlets<sup>1</sup>, shorts<sup>2</sup>, overalls, shoes for sports activities<sup>3</sup> and flip-flops</li> </ul>
The Racing Club (Race Days) Y Box The Chalk	<ul style="list-style-type: none"> <li>• Blazers or sports jackets over shirts, sports shirts with collars, or turtle necks; or shirt and tie</li> <li>• Zhong Shan suits, Cheongsam and Min Ou</li> <li>• Ladies are required to dress to a comparable standard</li> </ul>	<ul style="list-style-type: none"> <li>• T-shirts, tracksuits, singlets<sup>1</sup>, shorts<sup>2</sup>, overalls, shoes for sports activities<sup>3</sup> and flip-flops</li> </ul>





Facilities	Minimum Dress Code	Unacceptable Attire
MB3 Members Box 3/F (Home Straight) MB7 Members Box 7/F (Winning Post) MB7 Members Box 7/F MB8 Members Box 8/F Provincial	<ul style="list-style-type: none"> <li>• Shirts, sports shirts with collars, or turtle necks</li> <li>• Ladies are required to dress to a comparable standard</li> </ul>	<ul style="list-style-type: none"> <li>• T-shirts, tracksuits, singlets<sup>1</sup>, shorts<sup>2</sup>, overalls, shoes for sports activities<sup>3</sup> and flip-flops</li> </ul>
MB5 Members Box 5/F Loges / Private Booths Moon Koon Restaurant The Racing Club (non-Race Days) Betting Halls in Members' Enclosures Cross-betting Members Facilities	<ul style="list-style-type: none"> <li>• Jeans, T-shirts, tracksuits, overalls and shoes for sports activities<sup>3</sup></li> </ul>	<ul style="list-style-type: none"> <li>• Singlets<sup>1</sup>, shorts<sup>2</sup> and flip-flops</li> </ul>

1. Singlets include sleeveless T-shirts.
2. Shorts refer to pants or trousers that do not cover the knee-caps. Ladies may wear shorts of an appropriate length.
3. Examples of shoes for sports activities: trainers, tennis, basketball and badminton shoes.



b. Sha Tin Racecourse / Sha Tin Clubhouse (Race Days)

Facilities	Minimum Dress Code	Unacceptable Attire
<p>Stewards Boxes Voting Members Box</p>	<ul style="list-style-type: none"> <li>• Blazers or sports jackets, with a tie</li> <li>• Zhong Shan suits, Cheongsam and Min Ou</li> <li>• Ladies are required to dress to a comparable standard</li> <li>• Ties are optional from May to October</li> </ul>	<ul style="list-style-type: none"> <li>• Blue denim jeans, T-shirts, tracksuits, singlets<sup>1</sup>, shorts<sup>2</sup>, overalls, shoes for sports activities<sup>3</sup> and flip-flops</li> </ul>
<p>Owners Boxes Owners Pavilion Parade Ring / Winners' Corner Jockey Club Box</p>	<ul style="list-style-type: none"> <li>• Blazers or sports jackets over shirts, sports shirts with collars, or turtle necks; or shirt and tie</li> <li>• Zhong Shan suits, Cheongsam and Min Ou</li> <li>• Ladies are required to dress to a comparable standard</li> <li>• Children are required to dress to a comparable standard (For registered kids under 18 at OB1 Owners Box 1/F only)</li> </ul>	<ul style="list-style-type: none"> <li>• Blue denim jeans, T-shirts, tracksuits, singlets<sup>1</sup>, shorts<sup>2</sup>, overalls, shoes for sports activities<sup>3</sup> and flip-flops</li> <li>• Blue denim jeans, tracksuits, singlets and flip-flops (For registered kids under 18 at OB1 Owners Box 1/F only)</li> </ul>
<p>Y Box The Racing Club (Race Days) MB2 Private Boxes: 2/F, Grandstand I</p>	<ul style="list-style-type: none"> <li>• Blazers or sports jackets over shirts, sports shirts with collars, or turtle necks; or shirt and tie</li> <li>• Zhong Shan suits, Cheongsam and Min Ou</li> <li>• Ladies are required to dress to a comparable standard</li> </ul>	<ul style="list-style-type: none"> <li>• T-shirts, tracksuits, singlets<sup>1</sup>, shorts<sup>2</sup>, overalls, shoes for sports activities<sup>3</sup> and flip-flops</li> </ul>



Facilities	Minimum Dress Code	Unacceptable Attire
MB4 Members Box 4/F (Section 1 - Paddock) MB4 Members Box 4/F (Section 2 - Hong Kong Sixties) <i>Private Box D713</i> <i>Private Box D716</i>	<ul style="list-style-type: none"> <li>• Shirts, sports shirts with collars, or turtle necks</li> <li>• Ladies are required to dress to a comparable standard</li> </ul>	<ul style="list-style-type: none"> <li>• T-shirts<sup>1</sup>, tracksuits, singlets<sup>1</sup>, shorts<sup>2</sup>, overalls, shoes for sports activities<sup>3</sup> and flip-flops</li> </ul>
Cabin (Track side) Cabin (Parade Ring side) MB4 Members Box 4/F (Section 3 - Pak Hop) Members Coffee Shop 5/F MB2 Members Bar Loges Betting Halls in Members' Enclosures Cross-betting Members Facilities	<ul style="list-style-type: none"> <li>• Jeans, T-shirts, tracksuits, overalls and shoes for sports activities<sup>3</sup></li> </ul>	<ul style="list-style-type: none"> <li>• Singlets<sup>1</sup>, shorts<sup>2</sup> and flip-flops</li> </ul>
Centurion Restaurant	<ul style="list-style-type: none"> <li>• Jeans, shirts, sports shirts with collars, turtle necks and casual shoes</li> <li>• Ladies are required to dress in a comparable standard</li> </ul>	<ul style="list-style-type: none"> <li>• T-shirts, tracksuits, singlets<sup>1</sup>, shorts<sup>2</sup>, overalls, shoes for sports activities<sup>3</sup> and flip-flops</li> </ul>
Oi Suen The Ladies' Purse Double Haven Kat O Bar	<ul style="list-style-type: none"> <li>• Jeans, T-shirts, tracksuits, shorts and shoes for sports activities<sup>3</sup></li> </ul>	<ul style="list-style-type: none"> <li>• Singlets<sup>1</sup> and flip-flops</li> </ul>

1. Singlets include sleeveless T-shirts.

2. Shorts refer to pants or trousers that do not cover the knee-caps. Ladies may wear shorts of an appropriate length.

3. Examples of shoes for sports activities: trainers, tennis, basketball and badminton shoes.



## 25. Livery and Veterinary Charges

The current livery charges for keeping a horse in a racing stable at Sha Tin and Conghua is *\$33,000 plus an \$800 horse transportation fee* per month while the livery charges for keeping a horse in spelling stable at Beas River is *\$14,860*. In addition to either one of these charges, there can be veterinary charges if a horse becomes sick or injured and for any additional supplement or procedure that the trainer requests. There are also charges for bedding, horse feeds and miscellaneous items plus compulsory vaccinations, de-wormings, farriery and dental care. The Club is able to keep these charges relatively low since it employs its own veterinary surgeons.

The Club has entered into a General Liability Insurance policy on the Owners' behalf covering liability claims arising out of their race horses. It is to indemnify the Owners shall they become legally liable for injury to third parties or other race horses and damage to third parties' properties caused by their race horses. The indemnity is limited to HK\$10 million for any one occurrence arising out of each horse and the insurance cost, currently HK\$50 per horse per month, has been incorporated in the basic monthly livery charges.

## 26. Trainer's Fee

The trainer's fee for a Hong Kong based racehorse is \$1,000 per horse per month. The trainer's fee for a Conghua based racehorse is \$1,600 per horse per month.



## 27. Stable Visits

- a. With effect from the 2019/2020 season, all Owners are required to sign a stable visit disclaimer prior to their first visit to the stables.*



- b. Visiting horses subject to isolation/quarantine requirement is strictly prohibited. Owners are not permitted to enter the quarantine stables in Hong Kong.
- c. Owners can visit their horses at stables compound while their horses are in training but they are requested to wear their Owner badge and obtain visitor badges for accompanying guests from the security post at the stables entrance gate. They should only visit their own horse(s) and, may visit the stables of other trainers by invitation.

Opening hours of the Sha Tin stables compound for stable visits:

All Days (except race days)	Opening Hours
Mondays to Sundays	5:30am - 9:30am, and 1:30pm - 5:30pm

- d. Members who do not own horses or who have not been authorised by an Owner to look after their interests during periods of absence, may not visit the stables unless accompanied by an Owner or with the permission of the Head of Dual Site Stables Operations & Owners Services or his delegate.

#### Stable Biosecurity

Equine diseases can be easily carried on people's clothing, hands, shoes, hats and hair. Accordingly, all visitors must shower and change into clean clothes footwear prior to entering the stables and must not enter within 24 hours of visiting any Mainland China horse facility / horse facility outside of Hong Kong or coming into contact within any Mainland China horse.

#### Visit to Conghua

Prior registration is required at least one working day before an Owner visits the Conghua *Racecourse*. Please contact the Owners Relationship Team at 2966 8532 or [ownersrelations@hkjc.org.hk](mailto:ownersrelations@hkjc.org.hk).



Booking for cross border transportation and hotel accommodation can be arranged by the Owners Relationship Team.

*Opening hours of the Conghua stables compound for stable visits:*

<i>All Days (except race days)</i>	<i>Opening Hours</i>
<i>Mondays to Sundays</i>	<i>6:00am - 10:00am, and 2:00pm - 4:00pm</i>

*e. Safety Reminders for Stable Visitors*

- (i) You should always be accompanied by your Trainer or his representative during a visit.*
- (ii) You are required to acknowledge and agree to the terms and conditions for stable visit before entering the stables compound.*
- (iii) Visitors are strictly prohibited from taking any foodstuffs, including sweets or drinks into the Sha Tin Stables compound. If you wish to feed your horse a titbit / treat, in the interest of your horse's safety, please ask the stable to provide you with food that is safe for racehorse consumption. If you visit your horse on the day before racing, please refrain from feeding it anything at all.*
- (iv) You should always follow the safety rules below when feeding and handling your horse:*

Proper Way To Approach a Horse

Always speak to your horse with a gentle voice before approaching.

It is safest to approach your horse from the left side of its shoulder.

Always try to position yourself on the same side of the horse as the handler.



Never approach the horse from the back or stand right in front of it.

Never stand close to its flanks or hindquarters as the horse can cow-kick that may injure you.

Don't make any sudden movements or noise as the horse is sensitive to it and may become restless.

Try not to enter any horse stall to watch or touch your horse as it is not recommended.



### Feeding a Horse with Titbit

Put a titbit, i.e. piece of carrot or a lump of sugar etc., on the palm of your hand. The horse will pick up the titbit from your hands by moving its upper and lower lips.



Don't close your hand when the horse is nipping the food. This will minimise the risk of your fingers being bitten.



## 28. Gratuities

There is no requirement for Owners to give gratuities to Trainers, Jockeys or any members of stable staff and the Club does not encourage the same. Solicitation of gratuities is strictly forbidden.





The Club is a public body under the Prevention of Bribery Ordinance and has an obligation to impose a clear policy on acceptance of gratuities and advantages by its employees. If an Owner wishes to give gratuity to the stable staff, who are employees of the Club, the suitable occasions shall be restricted to Chinese New Year or if the horse has won a race. In such circumstances, the relevant Owner is requested to submit a payment record form to the Head of Dual Site Stables Operations & Owners Services or his delegate setting out the recipient(s) and details of the gratuities given to the stable staff, regardless of whether such gratuities were paid directly to the relevant stable staff or to the relevant Trainer for distribution to stable staff. Owners are also strongly advised to notify the Head of Dual Site Stables Operations & Owners Services or his delegate of any solicitation of gratuities by stable staff.

The Declaration for Gratuities to Stable Staff form can be obtained from Dual Site Stables Operations and Owners Services Department at 2966 5088 or, the Trainer's Office and can be downloaded from the Club's website:

<http://member.hkjc.com/member/english/horse-owner/forms/declaration-for-gratuities-to-stable-staff.pdf>

## 29. Allocation of Rating

Allocation of ratings for the 2019/2020 imports will be as follows:

### Privately Purchased Previously Raced Imports (PPs)

Ratings will be allocated based on the Hong Kong Jockey Club Handicappers' assessment of overseas performance.

### Privately Purchased Griffins (PPG) and International Sale Griffins (ISG)

a. PPG and ISG which are 3 years old on 31st December will be



allocated a rating of 57 (Northern Hemisphere-bred) or 52 (Southern Hemisphere-bred) on arrival.

- b. Southern Hemisphere-bred PPG and ISG which are 2 years old on 31st December will race in Griffin races.
- c. Northern Hemisphere-bred PPG and ISG which are 2 years old on 31st December will also be eligible to race in Griffin races. In addition, from 1st January, they will be eligible to race in standard class handicaps. A Northern Hemisphere PPG or ISG which is entered for a handicap will be allocated a handicap rating at the time of entry. The initial rating will be 57 if the horse has not raced, but may be amended if the horse has raced. Once allocated a rating, these Northern Hemisphere-bred horses are not eligible for Griffin races, unless otherwise specified in the conditions of the race.
- d. A winner of two Griffin races will be allocated a rating immediately.
- e. After 1st June, a trainer has the option to request that a rating be allocated to a horse that has won a Griffin race.
- f. No horse allocated a rating is eligible for Griffin races unless otherwise specified in the conditions of the race.
- g. The initial minimum rating allocated to a Griffin winner will be 60 for Northern Hemisphere 3-year-olds and 55 for Southern Hemisphere 2-year-olds .
- h. The maximum initial rating for a maiden Griffin that has been placed second, third or fourth will be 60, and that for a raced but unplaced Griffin will be 57.
- i. All unrated Griffins will be allocated a rating at the end of the season.
- j. Griffins which are unraced at the end of the season will be allocated an initial rating of 57 (Northern Hemisphere-bred) or 52 (Southern Hemisphere-bred).



### 30. Movement of Horses between Trainers

- a. Owners are strongly discouraged from moving their horses to another trainer unless they have good and proper grounds for doing so. It has been suggested to the Stewards that some Owners may have removed horses from a trainer for reasons connected entirely with betting losses on those particular horses. The Stewards deplore such action which is totally contrary to the interests of horse racing. The Stewards may therefore require Owners to explain and justify their reasons for wishing to change their trainers.
- b. An explanation of the system contained in Stewards' Instruction 33 "Transfers" is shown below:
  - (i) The transfer of a horse will not be allowed without the written permission of, and following an examination by, the Veterinary Surgeon. A sample of a horse's urine will be collected immediately prior to its transfer.
  - (ii) Unless it is under any other restriction, a horse is entitled to resume racing after the expiration of 14 days of the date of the official notification of its transfer.
  - (iii) No horse may be transferred without the prior written approval of the Stewards at which time any existing entry to race, other than an early closing entry, will be cancelled and the horse will not be permitted to run until new Authority-to-Act forms are completed.
  - (iv) A horse that is subject to a request for stables transfer will be barred from using any training facility until written approval to the change is issued.
  - (v) A fee to be determined by the Stewards of the Jockey Club shall be payable upon stables transfer.
- c. An Owner who wishes to have his/her horse transferred to



another stable needs to fill in a “Request for Stables Transfer” form, which is obtainable from the Dual Site Stables Operations and Owners Services Department. Under normal conditions, approval for transfer will be granted within two working days after submitting the duly completed form. A re-registration of Authority-to-Act following a change of stable will be charged at \$3,535 per horse for the 2019/2020.

### 31. Rehabilitation Station

*Rehabilitation therapies are available for horses at Conghua Racecourse as an extension of services offered by the DVCS. Trainers can request ad hoc therapies (e.g. chilled salt water spa, aqua treadmill, laser or ultrasound therapy) for horses that remain in training or they can request that horses are referred for more intensive therapy on a “holistic plan”, where a programme will be devised specifically for each horse by the Rehabilitation Manager. Horses that are enrolled on a holistic plan will be officially declared as “undergoing Rehabilitation”. No horse shall be declared to run within 14 days of its return from the rehabilitation stables.*

### 32. Spelling Station

The spelling station at Beas River is capable of accommodating up to 12 horses at any one time and the normal maximum period of stay is three weeks. The spelling station is intended to provide horses with a short break from the Sha Tin routine. In Conghua, there are up to 20 spelling paddocks available for the purpose. No horse shall be entitled to resume racing within 14 days of its return from the spelling stables.





### 33. Retirement of Horses

a. Compulsory retirement criteria for horses are determined by the Stewards of the Club. Current criteria include:

(i) All horses which have reached the age of 11 years

(ii) All horses rated 20 or below at the end of each racing season

(iii) Horses whose veterinary histories indicate that, in the opinion of the Veterinary Officer, they are no longer sound and/or safe racing propositions. This includes, horses with one eye or blind of an eye, horses that have been reported to have bled from one or both nostrils on three occasions, and horses that have been reported on three occasions to have had an irregular heart rhythm. Please note, if a horse bleeds severely, even for the first time, the *Head of Veterinary Regulation, Welfare and Biosecurity Policy*, or Veterinary Officer may recommend compulsory retirement on welfare and safety grounds. *In the event that a horse is assessed to be no longer a sound and/or safe racing proposition, an Owner may request via the trainer that a review of the horse's suitability to continue in training and racing be conducted by the Club's Equine Soundness and Welfare Assessment Panel (ESWAP).*



b. Horses may be voluntarily retired by their Owners regardless of their age and rating.

c. On retirement, all horses are inspected by the Club's Veterinary Surgeons and those horses considered suitable for retraining as pleasure or equestrian horses will enter the Club's retired



racehorse programme. Owners can also export their retired horses to overseas. The Club will inform the Owners if their horses are not suitable for export or for riding schools.

- d. Some retired racehorses may be selected for export to Jockey Club approved establishments overseas.

### 34. Replacement Permit

#### a. Replacement of the Original Permit

Any Owner whose horse is retired from racing in its first eighteen months and has not raced more than once in Hong Kong may apply for a replacement of the original permit under which such horse was imported.

#### b. Normal Replacement Permit (for a Normal Privately Purchased Horse)

An Owner may apply for a Normal Replacement Permit provided that the retired racehorse to be replaced fulfils the following conditions:

- It was NOT imported under a Normal or Special Replacement Permit;
- It was retired within twelve months upon arrival in Hong Kong; and
- It did not have more than five starts in Hong Kong.

If approved, the Owner is allowed to import a Privately Purchased Horse (“PP”) which must have a confirmed Hong Kong rating of 68 or higher at time of import.

#### (i) Age and Starts Conditions

- Horses must be imported into Hong Kong before they turn five years old.



- Restrictions on the maximum number of starts for different age groups are detailed in the following table:

Age(at time of arrival)	2-year-olds	3-year-olds		4-year-olds		
Maximum No. of Starts	10	As a 2yo 10	As a 3yo 15	As a 2yo 10	As a 3yo 15	As a 4yo 15
Maximum Career Starts	10	17		17		

(Note: Horses aged five (5) or above will not be permitted to be imported into HK.)

## (ii) Import Countries

- PPs may be qualified and imported from the following countries:

Argentina	Great Britain	*Peru
Australia	*India	*Singapore
*Brazil	Ireland	#South Africa
Canada	Italy	United Arab Emirates
*Chile	Japan	United States of America
France	*Malaysia	
Germany	New Zealand	

- If a Permit Holder is considering obtaining a PP from one of the countries marked (\*), please consult the Club first regarding importation procedures, as there are no protocols to permit the direct importation of equines into Hong Kong.
- Please note that there may be restrictions on the importation of horses from certain countries for varying periods due to disease outbreaks and at the time of publication the country marked (#) is subject to such a restriction.
- Importation of horses via a country not listed in paragraph a) above requires prior approval of the Club, which may accept or reject such application or impose such conditions on approval at its sole discretion.

Hong Kong Ratings are provided by The Hong Kong Jockey Club's Handicapping, Race Planning and International Racing





(“HRPIR”) Department upon request by Permit Holders and/or Trainers. Ratings supplied and/or published by overseas racing jurisdictions do not determine qualification for import.

Permit Holders and/or Trainers must obtain prior written confirmation from the HRPIR Department that a horse is qualified for import in accordance with the Import Criteria. Any confirmation thus issued is subject to all circumstances remaining unchanged in respect of the horse and will be automatically revoked when circumstances do change (e.g. age change or the horse racing again.) In that case, re-confirmation of qualification for import is required. It is the sole responsibility of the Permit Holder to ensure that the horse satisfies the Import Criteria and all other requirements for import into Hong Kong.

c. Special Replacement Permit

In addition to the above policy on Normal Replacement Permit, current Owners are allowed, if they so wish, to retire one of their existing horses in any season and apply for a Special Replacement Permit. However, Owners are allowed to apply for a Special Replacement Permit only once in every season. If approved, the Owner is allowed to import a replacement horse that can fulfill the following import criteria:

(i) Age and Starts Conditions

- Horses must be imported into Hong Kong before they turn five years old.
- Restrictions on the maximum number of starts for different age groups are detailed in the table below:

Age(at time of arrival)	2-year-olds	3-year-olds		4-year-olds		
Maximum No. of Starts	10	As a 2yo 10	As a 3yo 15	As a 2yo 10	As a 3yo 15	As a 4yo 15
Maximum Career Starts	10	17		17		

(Note: Horses aged five (5) or above will not be permitted to be imported into HK.)



(ii) Performance Qualifications (Special Replacement Permit)

To be eligible, a previously raced horse (PP) aged 2, 3 or 4 (at time of arrival) must:

- have a confirmed Hong Kong rating of 85 or higher at the time of import;
- Or in the case of a three-year-old which has run no more than five times, have a confirmed Hong Kong rating of 80 or higher at the time of import, based on form shown as a three-year-old;
- Or in the case of an Owner who is issued with a Special Replacement Permit for the first time, have a confirmed Hong Kong rating of 80 or higher at the time of import;
- And have won a race or been placed 2nd or 3rd in a Group or Listed race;
- And be qualified and imported from one of the countries listed in paragraph 34.b.ii.

Hong Kong Ratings are provided by the HRPIR Department upon request by Permit Holders and/or Trainers. Ratings supplied and/or published by overseas racing jurisdictions do not determine qualification for import.

Permit Holders and/or Trainers must obtain prior written confirmation from the HRPIR Department that a horse is qualified for import in accordance with the Import Criteria. Any confirmation thus issued is subject to all circumstances remaining unchanged in respect of the horse and will be automatically revoked when circumstances do change (e.g. age change or the horse racing again). In that case, re-confirmation of qualification for import is required. It is the sole responsibility of the Permit Holder to ensure that the horse satisfies the Import Criteria and all other requirements for import into Hong Kong.



HRPIR Department representatives can be reached at [hrp.enquiry@hkjc.org.hk](mailto:hrp.enquiry@hkjc.org.hk) or +852 2966 8215

Please note that Special Replacement Permit holders may, subject to meeting the Purchaser Eligibility listed in the Sale Catalogue, bid at the Hong Kong International Sale and retain their purchase in Hong Kong to race.

- d. The successful applicant of all Replacement Permit is also required to export the original horse at his/her own costs, unless such horse is certified by the Club's Veterinary Surgeons being unsuitable for export.
- e. Granting of a Replacement Permit is at the sole discretion of the Stewards of the Jockey Club based on the merits of each application. All Replacement Permits will be valid for 12 months from the date of issue.
- f. A horse which was imported into Hong Kong under a Replacement Permit can, subject to fulfilling the prerequisite replacement requirements, be replaced for one more time only.

### 35. Contributions to Retired Racehorse Programme

An import fee of HK\$80,000 will be collected from Owners on the arrival of their new horses as a contribution towards the funding of the retired racehorse programme. The fee will also apply to Owners who successfully purchase a horse at the 2019/2020 Hong Kong International Sales and retain it to race in Hong Kong. Nevertheless, Owners can apply for a travel subsidy from the Club up to the amount contributed by them to the Retired Racehorse programme upon arrival of the concerned retired horse in Hong Kong, or the actual shipping costs, whichever is the lower, when they export the retired horse provided that the horse in question is imported under this scheme.



### 36. Travel Subsidy for Export of Retired Racehorse

An Owner may apply to the Stewards of the Jockey Club to export his/her retired racehorse. The standard procedure for such an application is available from the Racing Registry. Successful applicants may, subject to the approval of the Stewards of the Jockey Club, be granted a travel subsidy of up to the amount contributed by them to the Retired Racehorse programme upon arrival of the concerned retired horse in Hong Kong, or the actual shipping costs, whichever is the lower.

### 37. Rules and Instructions for Sale of Horses

a. The following extracts from the Ownership Bye-laws and the Horse Ballot Bye-laws are quoted for ease of reference:

(i) “Each Member, whether in his/her own right or as a member of partnership or syndicate, who has imported a PP or PPG pursuant to an Import Permit shall be deemed to have given an express undertaking to the Club to keep that horse in training throughout its natural racing career.”

(ii) “Any proposal for any change in the ownership of any category of horse must be submitted in writing, with reasons, for the approval of the Stewards of the Jockey Club, whose determination of such application shall be final and binding on the applicant. The Stewards of the Jockey Club shall not be obliged to give any reason for refusing any such application.”

(iii) “Application to sell will not normally be granted unless for one or more of the following reasons:

(1) the death of an owner; and

(2) by express permission of the Stewards of the Jockey Club which will only be granted in exceptional circumstances.”



(iv) “The disposal of horses through sale by tender will render the selling Member, partnership or syndicate ineligible to take part in further ballots for horses unless the Stewards of the Jockey Club, in their absolute discretion, shall determine otherwise.”

b. (i) All horses proposed for sale by tender must undergo a thorough veterinary examination which includes a testing gallop to assess their suitability for sale. As a result of this examination it may be determined that a horse whilst suitable for continued racing under current Ownership in Hong Kong is not fit for sale to another Owner.

(ii) Horses passed fit for sale may well have existing health problems; a prospective purchaser must take thorough note of these problems before submitting a tender.

### 38. Racing Publications

a. The following official racing publications are available on sale from the Racing Registry:

Racing Calendar (a quarterly summary of racing information)  
\$160

Annual Record (an annual summary of races in the season)  
\$460

List of Horses (for identifying horses in training at morning trackwork)  
\$100

Race Results Book (including comments on running and racing incidents)  
\$60



- b. The official programme (race card), which displays racing colours, costs \$15. It is on sale at selected Off-Course Betting Branches and at both racecourses during race meetings.

### 39. Trainer Syndicate

A Trainer Syndicate is administered by a Trainer who initiated the Trainer Syndicate. The Trainer's responsibilities include but not limited to keeping the register of the members and accounts, making contribution calls, liaising with the Club, horse(s) purchase and related affairs and taking minutes.

The Trainer's Syndicate scheme was discontinued at the end of the 2010/2011 season. However, the Club will offer the Owners of the horses that are still racing under the discontinued scheme the opportunity to apply for a "one-time replacement permit", subject to the usual replacement criteria but on the condition that a minimum of 80% of the current Syndicate members remain in the Syndicate. The 3-year ban on stable transfer will still apply to the new replacement horses.

*30 August 2019*

**Enquiries for Racing Matters and Horse Ownership,  
please dial 2966 8476**