

RACING YOUR HORSE

6. Pre-Export Veterinary Examination



- a. Every racehorse is required to undergo a pre-export veterinary examination prior to export to the Hong Kong SAR to confirm that it is suitable for racing purposes.

- b. The pre-export veterinary examination must be carried out by an equine Veterinary Surgeon (whenever possible by a Veterinary Surgeon independent from the selling agent) during the 30 days prior to the horse entering pre-export quarantine and the full report and X-rays must be forwarded to the Club's nominated Veterinary Surgeon(s) in the exporting country for scrutiny prior to importation of the horse.

The examination includes:

- (i) 5-stage veterinary examination.
- (ii) Endoscopic examination of the upper respiratory tract.
- (iii) Radiographic examination of the four limbs (a minimum of 43 views required).
- (iv) Ultrasonographic examination of both fore limbs.
- (v) Testing for non-steroidal anti-inflammatory drugs (NSAIDs).



c. The Club's nominated Veterinary Surgeon will, based on the report completed by the initial examining Veterinary Surgeon, recommend to the Club whether or not the horse is suitable for importation into Hong Kong. The categories of suitability are as follows:

(i) Acceptable

(ii) Acceptable with findings of low/moderate potential significance for future suitability to race.

The Examining Veterinary Surgeon is in the best position to assess the potential significance of any detected abnormality and where he/she is of the opinion that a horse is suitable for use as a racehorse but abnormalities have been detected, he/she should provide an opinion as follows:

1. The detected abnormality is of low potential significance for the future suitability of the horse for racing and is noted for the sake of completeness.
2. The detected abnormality is of moderate potential significance for the future suitability of the horse for racing.

The prospective owner and trainer will be advised prior to the horse entering quarantine and they will be advised to consult with their HKJC clinical Veterinary Surgeon. If the prospective owner is happy to proceed with the importation after consultation and possibly further examinations, he/she will advise the Club accordingly and the importation will proceed.

(iii) Unacceptable

An “Unacceptable” classification means that a condition has been detected during the examination that has a high risk of having a significant negative impact on the horse’s racing career in Hong Kong.

d. Approval to import a horse:

It should be noted that the process by which horses are approved for importation is multi-layered.

First, the examining Veterinary Surgeon must certify that the horse has passed an examination performed according to the Hong Kong Jockey Club veterinary protocol.

Second, the documentation and radiographs must be scrutinised by a Club’s nominated Veterinary Surgeon to ensure that the examining Veterinary Surgeon has fully completed the certification, that the radiographs are of diagnostic quality and that no significant radiographic abnormalities have been omitted from the report.

Thirdly, the nominated Veterinary Surgeon’s report is forwarded to the Hong Kong Jockey Club’s Veterinary Departments for final review, classification and approval.

The owner should be aware that it is important to seek declaration from the vendor on the past history of all veterinary conditions related to the horse at the time of private purchase, including lameness, previous surgery, racing restrictions due to bleeding, heart irregularity, etc. It is also possible that a horse having passed by the examining veterinary surgeon may be



re-classified as unacceptable or acceptable with findings of low/moderate potential significance for future suitability to race by the Club's nominated veterinary surgeon, or by a Club Veterinary Officer.

Detailed procedures of the pre-export veterinary examination and other import requirements can be obtained from the Registry Office.



7. Arrival Procedures

Prior to arrival in the Hong Kong SAR, all horses must undergo a 21 day pre-export quarantine in their home countries. However, for horses from South Africa, they must undergo a 40 days quarantine in one of the African Horse Sickness free zone approved by the local Veterinary Authority followed by a 30 day residency period (including the 21 days pre-export quarantine) in UK . After arrival, all horses must complete a statutory 14 day post-arrival quarantine in the Hong Kong SAR before being placed with trainers at Sha Tin. These periods may be extended for veterinary reasons. Each owner should nominate the trainer of his choice prior to his horse's arrival in the Hong Kong SAR.



8. Declaration Form on Purchase of a Horse

Owners are required, under Rule 41(3), to declare to the Club whether or not their new horse is bought with contingencies, leased or is the subject of any other joint arrangements. If there

are such contingencies, leases or other arrangements, a document stating the names, addresses of all the parties interested and full details of the terms of such sale shall be signed and lodged with the Club on the horse's arrival in the Hong Kong SAR. However, such declaration will not be deemed as the Club's approval and the Stewards of the Jockey Club may refuse to register such sale.

9. Trackwork

Morning trackwork at Sha Tin starts every morning at 4:45 am *and 6:00 am on Sundays* and is normally completed by 8:30 am. Owners may watch their horses from the trainers' stand, situated on the edge of the main training track. Owners attending must wear their owner badges and obtain visitor badges for accompanying guests from the security post at the track entrance gate.

10. Barrier Trials and Stalls Tests

- a. In addition to morning trackwork, owners may also attend barrier trials. These trials are usually run on the outer training track at





Sha Tin on Tuesdays and Fridays and start at 8:30 am. Owners watching from the trainers' stand must wear their owner badges and obtain visitor badges for accompanying guests from the security post at the track entrance gate. Grass trials also take place and these are normally held on the first Tuesday of each month, starting at 7:00 am. Grass barrier trials for griffins are normally held on the third Tuesday of each month at Sha Tin. There are also periodic barrier trials at Happy Valley, notice of which is published well in advance.

- b. Stalls tests are held to show that a horse has been schooled by the trainer to load into, stand in and jump out of starting stalls and is therefore ready to race. These are held on each Mondays and Thursdays (except public holidays) at the 1800 metres chute at 7:30 am. Each griffin must pass one official barrier trial (stall test) and a second official barrier trial before it can be entered to run.
- c. Barrier trials are conducted both as an aid to training and as an official means of testing a horse's tractability, soundness and suitability to race or continue to race. For example, both griffins and privately purchased horses (those that have raced overseas before being imported) must pass one barrier trial before being declared to run in a race.

11. Authorised Representatives

- a. An owner may seek the approval of the Stewards of the Jockey Club to the appointment of an Authorised Representative to represent the owner when the owner is to be absent from the Hong Kong SAR and during any such absences the Authorised Representative may exercise all rights with respect to the owner's horse in all dealings with the owner's authorised agent.

- b. If an owner is unable to manage his horse, his Authorised Representative must be a member, or a body corporate which, having previously been approved by the Stewards of the Jockey Club.

12. Entries

- a. To facilitate entries and planning, the monthly programme will be published about two months in advance. It includes such information as prize money, distance, rating band, names of cups, etc. for each meeting. A prospectus for each meeting carrying the latest details will be issued normally two weeks in advance.

To participate in a race a horse must first be “entered”. Entries for Saturday/Sunday meetings normally close at 10:00 am on Monday. Entries for Wednesday meetings normally close at 10:00 am on Thursday in the preceding week. In the event that races are re-opened due to insufficient entries, entries for those races will close at 11:30 am on the same day. Handicaps are usually published by 4:00 pm on entries closing days.

- b. Horses are entered to run and those entered cannot be withdrawn except under the following circumstances:
- On veterinary grounds. (Veterinary Surgeon’s confirmation is required)
 - If the race entered is a terms race (except griffin races).
 - If they are set to carry 7 lbs or more out of the handicap before declarations.
 - If the race conditions specified in the Special Prospectus allow the withdrawal of entered horses.
 - Stable change.
 - With the permission of the Stewards.



- c. A trainer is allowed to indicate on the entry form an order of preference for his horses entered in the same race. He also has the option to indicate, in each race meeting, up to two of his first preference horses which should be given priority to run, i.e. trump cards.
- d. Priority to run (Protection) will be granted to horses ranked as 1st preference by the Trainers provided that in their last entry in *the* current season they were:
- Declared starters of an abandoned race; OR
 - Standby declared starters published at the time of declaration *which subsequently* failed to obtain a run; OR
 - Ranked as 1st preference but were balloted out and could not obtain a run.

In the event of a horse failing to obtain a start or being a standby declared starter in a race in which priority to run is determined by special conditions, this race entry will not be taken into account in respect of the horse's priority to run status at its subsequent race entry. Rather, its priority to run status shall be determined as that which it held following its race entry which immediately preceded the special conditions race entry.

- e. In general, priority to run is determined in the following order:
- 1st: horses with protection and *which* balloted out in their previous entry with protection (double protection)
 - 2nd: horses with protection and trump card
 - 3rd: horses with protection
 - 4th: horses with trump card
 - 5th: horses indicated as trainers' 1st preference
 - 6th: horses indicated as trainers' 2nd preference
 - 7th: horses indicated as trainers' 3rd preference and so on

Balloting will take place, if necessary, for horses of equal status. However, for horses of equal status entering for Class 1, 2, 3, 4 and 5 races, priority will be given to horses which have:

- (i) won their last start in the current season.
- (ii) placed 2nd, 3rd or 4th in the last start in the current season and within 45 days of the race meeting for which the horse is entered.

In respect of a previously abandoned race(s), for horses with same protection status, priority to run will be given to the declared starters first and then the two standby declared starters of such abandoned race(s).

- f. Protection, trump cards and preferences will not be applicable to races in which priority to run is determined by special conditions published in a relevant prospectus or notice.

In the event that a race is reopened for a further period, priority to run will always be given to *entries received prior to the original advertised time of close of entry.*

- g. An entry fee is levied on all entries. The entry fee for a race is calculated on a percentage basis of prize money; the current rate is 0.3%. Subject to the race conditions, entries on the standby reserve list not getting a run will get a refund. Further, entries in a subsequently abandoned race will also get a refund. Entry fee is exempted for races open to overseas runners but a declaration fee of 1% of total prize money will be levied.



h. The details of every race are contained in a race meeting prospectus which is normally produced two weeks in advance of the race by the Registry Office. All trainers receive copies of the prospectus for each meeting and owners, who may want their own copies, may obtain them free of charge from the Registry Office.

13. Handicaps

a. About 97 per cent of all races in the Hong Kong SAR are handicaps. A handicap is one in which the weights are adjusted by the Handicapper in order to equalise horses' chances of winning.

b. Handicap races are currently divided into five race classes from Class 1 down to Class 5. In addition, all Hong Kong Group 3 *and some Hong Kong Group 2 races are handicaps* races programmed for the season are handicaps. In the Hong Kong SAR each horse is allocated a handicap rating *as soon as practicable*. This is simply the expression in lbs (weight) of the Handicapper's assessment of a horse's relative ability. Horses are continually reassessed during the season.



c. In all handicaps, the maximum allocated weight shall be 133 lbs and the minimum allocated weight shall be not less than 113 lbs.

d. Northern Hemisphere and Southern Hemisphere 2-year-olds (as at 31 December) will receive a 5 lbs weight-for-age allowance in all handicaps of that season.

14. Terms Races

- a. A Terms Race is one in which weights are allocated according to a horse's age and sex, and/or according to his racing record. Group 1 and *some* Group 2 races *are set-weights* (both international and domestic) programmed for the season are terms races. Terms Races for the *2011/2012* season are:

Group One



Cathay Pacific Hong Kong Cup



Cathay Pacific Hong Kong Mile



Cathay Pacific Hong Kong Sprint



Cathay Pacific Hong Kong Vase



Audemars Piguët QE II Cup



BMW Champions Mile



Hong Kong Group One

Mercedes-Benz Hong Kong Derby

Stewards' Cup

Citibank Hong Kong Gold Cup

Standard Chartered Champions & Chater Cup

Mercedes-Benz Hong Kong Classic Cup

Mercedes-Benz Hong Kong Classic Mile

Queen's Silver Jubilee Cup

Kent & Curwen Centenary Sprint Cup

Chairman's Sprint Prize

Group Two

Cathay Pacific Jockey Club Cup

Cathay Pacific Jockey Club Mile

Cathay Pacific Jockey Club Sprint

Hong Kong Group Two

Chairman's Trophy

Sprint Cup

Other Races

Griffin Trophy

Griffins race

- b. A chart showing the Hong Kong Scale of Weight-for-Age is contained in the Rules of Racing.

15. Jockeys and Apprentices

- a. There are five different categories of jockey: freelance jockeys, retained jockeys, Club retained jockeys, visiting jockeys and apprentices. A trainer will normally advise an owner and make arrangements for his horse to be ridden by a particular jockey.

- b. Some Trainers, with larger number of horses, may have a retained jockey who is contracted to ride for that stable; 85% owners with those trainers are required to support the retained jockey. A retained jockey may not normally be declared to ride outside his retaining stable if his retaining stable has a runner ridden by another stable's retained jockey. The Stewards may, however, give such permission in exceptional circumstances. No freelance jockeys are currently retained; all of them ride on a freelance basis. For more information, please refer to Stewards' Instruction 41.
- c. The Club may employ Club retained jockeys. These jockeys are primarily available to trainers and owners without a retained jockey.
- d. An apprentice jockey, normally referred to as an apprentice, is a young "learner jockey" indentured to the Club and allocated to a trainer.
- e. Freelance jockeys and apprentices can claim weight allowances to compensate for their lack of experience on a downwards sliding scale dependent upon the number of wins they achieve. The current allowances applicable in the Hong Kong SAR are shown below:-

If he has not ridden 20 winners	- 10 lbs
If he has ridden 20 winners but has not ridden 45 winners	- 7 lbs
If he has ridden 45 winners but has not ridden 70 winners	- 5 lbs
If he has ridden 70 winners but has not ridden 95 winners	- 3 lbs
If he has ridden 95 winners	- 2 lbs



16. Official Pre-Race Veterinary Inspection

a. All horses, which are entered for a race, have to pass an official veterinary inspection before they race. The purpose of this inspection is to ensure that horses which are not sufficiently sound to compete are prevented from running in a race. A Veterinary Officer from the Department of Veterinary Regulation and International Liaison performs this inspection on the morning before race day and his decision is final.



b. The inspection requires the horse to be trotted in-hand free of any bandages, rugs, etc. on a firm flat surface away from and back towards the Veterinary Officer. The Veterinary Officer may then perform any other procedures at his discretion, which are required to assist him in making a decision on the horse's veterinary suitability to race.

17. Declarations

Declarations normally take place two or three days before a race. *Declarations normally does not incur any fee or charge except otherwise specified in the Prospectus.* Once the horse has been declared it may not be withdrawn unless under exceptional circumstances, or for veterinary reasons only. For example, a horse may become lame or suffer an illness or injury in the period between close of declarations and the running of the race. If this were to happen, the trainer would inform one of the Club's Veterinary Surgeons who would then examine the horse and if found to be not suitable to race, would advise the Stewards to withdraw it.

18. Anti-doping Measures

- a. On the day a horse races, its urine (or blood if it does not produce a urine sample in time) will be examined for prohibited substances before it races, by the Club's Racing Laboratory. Samples may be taken at any time up to race time. Horses that do not provide a urine sample pre-race will be required to provide one after the race. This procedure has proved to be a highly effective deterrent against doping. A horse placed either first or second in its race will have further urine and blood samples taken for analysis immediately after the race. Should a horse's performance be unusual in comparison with previous outings, then further urine and blood samples will be taken for analysis immediately after the race. At the same time a Veterinary Officer will inspect the horse again the following day. Other horses may also be selected at random. Owners have no say in this process; it is mandatory. However, should a sample prove positive post race, normally no action will be taken until the finding has been confirmed by an independent official racing laboratory.
- b. Any samples may be taken for analysis at any time from any horse registered in training. This is to ensure that the horses are only receiving authorised medication as prescribed by the Club's Veterinary Surgeons.

19. The Race and Paddock Procedures

- a. All runners are brought, already saddled, into the parade ring prior to each race. This provides an opportunity for Owners to meet their trainers and jockeys, to assess prospects for the race, to judge a horse's condition and, importantly, to discuss the riding instructions and anticipated tactics. Stewards' Instruction 29 covers these points in detail and it is reproduced below, in full.



An owner should be familiar with its contents even though he will have signed an Authority-to-Act form instructing his trainer to comply with the Instruction's provisions (See Item 3).



- b. Each trainer is required to instruct the rider of any horse for which he is responsible to ride that horse in such a manner as to ensure that the best possible placing is obtained. Each owner who wishes to give additional tactical instructions to his jockey should do so through the trainer. Should an inquiry be held involving the running and/or riding of a horse the trainer and the owner will be asked to repeat their respective riding instructions.

20. Winning

The crowning moment of every owner's hopes and dreams comes when his horse wins a race. The winner is taken to a reserved spot in the parade ring to allow the owner to lead in his horse and to pose for photographs with the trainer and jockey. Should an owner be lucky enough to win a trophy, then this is usually presented in the unsaddling enclosure immediately after the jockey has weighed in. To enhance the ownership experience, the Club will provide each winning owner with a photo album capturing the winning moments, two DVDs (both unedited and edited) of the race and a bottle of exclusive premium champagne.



21. Owners Presence at Objection Hearings

In the event that an objection is being lodged, owners of the concerned horses are allowed, if they so wish, to be present at the objection hearing. If the horses in question have more than one owner, only one of them is allowed to be present at this hearing. When owners wish to exercise the above right of attendance, it is their sole responsibility to ensure that they present themselves in time at the inquiry room for the hearing in order not to cause delay in the conduct of the race meeting.

22. Prize Money

a. The distribution of prize money is as follows:

PRIZE MONEY

CLASS 1 (upper rating limit above 105).....	<i>\$1,800,000</i>
CLASS 1 (upper rating limit of 105).....	<i>\$1,400,000</i>
CLASS 2.....	<i>\$1,200,000</i>
CLASS 3.....	<i>\$900,000</i>
CLASS 4.....	<i>\$675,000</i>
CLASS 5.....	<i>\$525,000</i>
GRIFFINS	<i>\$750,000</i>

GROUP RACES

Group One

Cathay Pacific Hong Kong Cup.....	<i>\$20,000,000</i>
Cathay Pacific Hong Kong Mile.....	<i>\$20,000,000</i>
Cathay Pacific Hong Kong Sprint	<i>\$14,000,000</i>
Cathay Pacific Hong Kong Vase.....	<i>\$14,000,000</i>
Audemars Piguet QE II Cup.....	<i>\$14,000,000</i>
<i>BMW</i> Champions Mile.....	<i>\$12,000,000</i>



Hong Kong Group One

Mercedes-Benz Hong Kong Derby.....	\$16,000,000
* Stewards' Cup	\$8,000,000
* Citibank Hong Kong Gold Cup	\$8,000,000
* Standard Chartered Champions & Chater Cup	\$8,000,000
Mercedes-Benz Hong Kong Classic Cup	\$6,000,000
Mercedes-Benz Hong Kong Classic Mile.....	\$6,000,000
@ Queen's Silver Jubilee Cup	\$6,000,000
@ Kent & Curwen Centenary Sprint Cup	\$4,500,000
@ Chairman's Sprint Prize.....	\$4,500,000

Group Two

Cathay Pacific Jockey Club Cup	\$3,000,000
Cathay Pacific Jockey Club Mile	\$3,000,000
Cathay Pacific Jockey Club Sprint	\$3,000,000

Hong Kong Group Two

Chairman's Trophy	\$3,000,000
<i>Premier Bowl</i>	\$3,000,000
<i>Sha Tin Trophy</i>	\$3,000,000
Sprint Cup.....	\$3,000,000

Hong Kong Group Three

<i>Bauhinia Sprint Trophy</i>	\$2,400,000
<i>Centenary Vase</i>	\$2,400,000
Chinese Club Challenge Cup.....	\$2,400,000
Hong Kong Macau Trophy	\$2,400,000
<i>January Cup</i>	\$2,400,000
<i>Sa Sa Ladies' Purse</i>	\$2,400,000
National Day Cup	\$2,400,000
Queen Mother Memorial Cup	\$2,400,000
Prince Jewellery & Watch Premier Cup.....	\$2,400,000
Premier Plate.....	\$2,400,000

Sha Tin Sprint Trophy.....	\$2,400,000
Sha Tin Vase	\$2,400,000

SPECIAL BONUS

* Triple Crown	\$5,000,000
(The horse winning any of the two legs will receive 40 per cent of the total bonus)	

@ Hong Kong Speed Series.....	\$2,000,000
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Cathay Pacific Hong Kong International Races - Audemars Piguet QE II Cup	\$1,000,000
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1010 Million Challenge.....	\$1,000,000
Global Sprint Challenge.....	US\$1,000,000
<i>Hong Kong International Sale Bonus.....</i>	<i>\$1,000,000</i>

PPs First Win Bonus (The PP must have been allotted a Hong Kong rating of 80 or above on arrival)

For PPs winning their first local win in a Class 1 (upper rating limit above 105)/Group race within their first 12 months in Hong Kong.....	\$800,000
or PPs winning their first local win in a Class 1 (upper rating limit of 105) race within their first 12 months in Hong Kong.....	\$700,000
For PPs winning their first local win in a Class 2 race within their first 12 months in Hong Kong....	\$600,000



(For clarification purpose, a horse is only eligible for one First Win Bonus in its career and its first win in Hong Kong must be a Class 2 or above race)

ISG BONUS

For ISGs winning in Class 3 for the first time before the end of their first full season after year of purchase..... \$500,000

b. The normal distribution of prize money is:

1st	57%
2nd	22%
3rd	11.5%
4th	6%
5th	3.5%

Prize money for International Races is paid down to sixth place and the distribution is laid down in accordance with Hong Kong International Races prospectus.

c. From the prize money won by the first five placed horses (or six when applicable), the Club will deduct payments for trainers, jockeys and stable staff as shown below.

Trainer	9.2%
Assistant trainer & stable staff	10.8%
Jockey	10% for a winning horse, 5% for a placed horse (2nd-5th,6th when applicable)

In other words, the owner(s) will receive 70% of the prize money for a winning horse and 75% for a placed horse (2nd-5th, 6th when applicable)

d. Riding fees are as follows:

- Jockey (Except for stable retained jockey).....\$2,000 per ride
(of which \$1,000 is payable to the Club)
- Stable retained jockey riding for supporting owner & horses
trained by other trainers.....\$2,000 per ride
(of which \$1,000 as contribution towards the retainership of
the concerned Stable Jockey)
- Stable retained jockey riding for non-supporting owner in the
stable.....\$6,000 per ride
(of which \$5,000 as contribution towards the retainership of
the concerned Stable Jockey)
- Apprentice Jockey.....\$1,000 per ride
(of which \$500 is payable to the Club)

- e. The Club shall render, subject to a laboratory test clearance issued by the Head of Racing Laboratory, an account within three weeks after each meeting and pay over all prize and added money, after deduction of any overdue payments in the account, to the persons entitled unless there is a protest/objection pending.

