

HORSE IMPORT PERMIT BALLOTS 4 JUNE 2026

The Club will issue a total number of 460 Import Permits. There will be 120 Permits for previously raced horses (PP) and 340 Permits for unraced privately purchased griffins (PPG). A separate ballot for each type of Permit will be conducted. All PP Permit Holders will be allowed to import a PP between 1 July 2026 and 31 December 2027, while the successful applicants for PPG will be permitted to import a PPG from 1 June 2027 to 30 June 2028. The following timetable will be adopted.

Applications open - 9:00 a.m. Monday
2 March 2026

**Applications close - 5:00 p.m. Tuesday
14 April 2026
(Applications received after the
closing time will not be accepted)**

Ballot and announcement of
successful applications - Thursday 4 June 2026

Horse Ballot Website



PART 1 – GENERAL

1. CONDITIONS FOR APPLICATION

- 1.1 Applicants must complete the enclosed application form and submit it to any of the "Collection Boxes" at the Reception Counter at G/F, Jockey Club Headquarters, 1 Sports Road; the Happy Valley Clubhouse (both old & new), Sha Tin Clubhouse or Beas River Country Club. Applications must be lodged **no later than 5:00 p.m. on Tuesday 14 April 2026.** Applications received after the deadline will not be accepted. Applicants sending their forms by post should allow sufficient mailing time. An official receipt will be issued at time of submission. For applications received by post, the receipt will be sent to the applicants by post.
- 1.2 Two types of application forms are available: one for PP and one for PPG. Applicants must use the appropriate form corresponding to the type of Permit (PP or PPG) they wish to apply for. No change to the selected type Permit will be allowed after the close of applications. No Member may submit more than one application for a Permit, whether in his own name or as a part of a Partnership. However, a Syndicate Manager can submit separate applications in the same ballot in his capacity as Syndicate Manager and in his own capacity.
- 1.3 The name of every member of an applying Partnership and of every member of an applying Syndicate must be declared on the application form.

2. ELIGIBILITY TO ENTER THE BALLOT

- 2.1 The Ballot is restricted to eligible Members of The Hong Kong Jockey Club (the "Club").
- 2.2 Save for the restrictions as set out in paragraph 2.3 below :-
 - (a) All Full Members, Racing Members and Syndicates may apply for PP Permits.
 - (b) To apply for a PPG Permit, all applicants, including all partners in an applying Partnership, must have been admitted to Full Membership or Racing Membership for at least 12 calendar months as of 14 April 2026.
 - (c) Any Syndicate formed after 14 April 2025 is NOT eligible to apply for a PPG Permit.

2.3 The following Members, Partnerships or Syndicates are not eligible to enter the ballots:

- (a) Any Member, Partnership or Syndicate that had previously sold a horse, unless the Club consider the reason acceptable.
- (b) Any Member, Partnership or Syndicate which has been disqualified by the Club.
- (c) Any Owner who owns seven (7) horses at the application deadline, unless he undertakes to retire one of his horses before importing a new one under the allocated permit if he is successful in the ballot. Unless otherwise permitted by the Club, no Owner may own more than seven (7) horses at any time. For such purpose, only the Syndicate Manager is considered as an Owner. Ordinary membership of a Syndicate does not constitute Ownership.
- (d) Any Member who is a Club employee, or an immediate family member (including but not limited to parents, spouse and/or children) of a Club employee who holds a position that, in the Club's opinion, may lead to conflict of interest or shall otherwise be subject to restriction.
- (e) Any Member who is an immediate family member (including but not limited to parents, spouse, children, siblings, grandparents, grandchildren and/or in-laws, but except for any in-law who was an owner before that family relationship was created) of a Trainer, Assistant Trainer, Jockey and Apprentice Jockey licensed by the Club.

BANK REFERENCE LETTER

3. All applicants **except current Owners (including Managers of horse-owning Syndicates)** must submit with their application a bank reference letter or other relevant documents certifying sufficient financial resources to meet all horse-related charges. The financial benchmark is **HK\$1,250,000**. For Syndicate applications, this requirement applies to two of the three Managers, including the Treasurer. The reference letter (sample enclosed) should be issued by a licensed bank.

ADHERENCE TO THE RULES OF RACING AND INSTRUCTIONS & THE CLUB'S BYE-LAWS

4. By submitting the application, all applicants are deemed to agree to comply with the Rules of Racing and Instructions made by the Stewards of The Hong Kong Jockey Club, all related Bye-laws of the Club including the Ownership Bye-laws and the Horse Ballot Bye-laws, and all subsequent amendments or additional rules or Bye-laws approved or issued by the Club.

OWNERS' BADGES AND PARKING LABELS

5. Any Owner whose horse dies or is retired during the season may retain the Owner's badge(s) for the remainder of that season and the following season. However, car park label(s) may only be retained for the remainder of the season in which the horse is retired. Any Owner who sells his horse will lose his Owner's badge(s) and car park label(s) immediately upon the sale. The allocation of Owner's badge(s) and car park label(s) is at the absolute discretion of the Club.

PERIOD OF VALIDITY OF IMPORT PERMITS

6. All PP Permits issued in this Ballot are valid from 1 July 2026 to 31 December 2027, while the PPG Permits are valid from 1 June 2027 to 30 June 2028.

PART 2 - MISCELLANEOUS REQUIREMENTS

PAYMENT FOR RETIRED RACEHORSE PROGRAMME

7. All successful applicants in this ballot shall pay **an import fee of HK\$100,000** upon arrival of their new imports as a contribution towards the funding of the retired racehorse programme. While the fee collected is significantly less than the full cost of the programme, the level of the fee is set to assist Owners. Owners replacing a horse imported under this policy will receive a travel subsidy from the Club up to the amount of the import fee or the actual shipping costs (whichever is lower) when exporting the retired horse.

SYNDICATE POLICIES

8. For all successful Syndicate applicants in this ballot, at least 80% of the members registered at time of ballot application must remain in the Syndicate until the conclusion of the first year of the local racing career of the horse imported under the permit.
9. Each syndicate member must own a minimum share of 2%. No Syndicate member may own more than 30% share.

By Order of the
Stewards of the Jockey Club
Head of Racing Product

2 March 2026

PP PERMIT APPLICATION FORM
(For a PP Permit - valid between 1 July 2026 and 31 December 2027)

PP63

1. I/We agree that, if my/our application is successful, I/we shall abide by and be bound by:
 - a. The Horse Ballot Bye-laws *;
 - b. The Ownership Bye-laws *;
 - c. The Rules of Racing and Instructions made by the Stewards of The Hong Kong Jockey Club (the “Club”), as may be in force from time to time (the “Rules of Racing”); and
 - d. any other Bye-laws or rules as shall from time to time be issued by the Club.
(collectively the “Applicable Rules”)

* The Bye-laws can be downloaded from the Club’s website: <https://member.hkjc.com/horse-ballot/en-US/index.html>. The Rules of Racing can be downloaded from the Club’s website: <https://racing.hkjc.com/en-us/local/page/racing-rules-instr>.
2. I/We hereby declare that the member(s) listed in paragraph 13 is/are the only person(s) interested in this application and that I am/we are qualified in all respects to take part in this draw in accordance with the Horse Ballot Bye-laws.
3. I/We accept full personal responsibility for the horse applied for under the terms of the Horse Ballot Bye-laws, and confirm that I/we am/are fully able to meet the financial commitments involved.
4. I/We agree that if successful in the ballot,
 - a. I/We accept my/our responsibilities as an Owner under the Applicable Rules;
 - b. I/We WILL PERSONALLY MANAGE the horse and will appoint the Trainer as my/our authorised agent to enter, scratch or declare the horse to start in races and to engage Jockeys as necessary;
 - c. I/We will ensure that the horse imported under the permit, upon arrival in Hong Kong, shall be under my/our exclusive ownership, fully paid for by me/us or otherwise acquired as a gift only in circumstances as permitted under the Rules of Racing. I/We will, on the request of the Club, produce documentary proof of exclusive ownership of the horse, including but not limited to the sale and purchase documentation and proof of full payment;
 - d. I/We will declare to the Club whether or not the horse is sold to me/us with contingencies, acquired by way of gift, or subject to any other arrangements or otherwise or any third party interest as required under Rule 40 of the Rules of Racing. I understand that the Club may refuse to register the sale notwithstanding the provision of the information;
 - e. I/We agree to provide, prior to the arrival of my/our horse in Hong Kong, the name of the person/company who/which has acted as my/our agent in connection with the purchase of my/our horse as required under the Rules of Racing. I/We agree to provide further information requested by the Club and procure the agent to do the same;
 - f. I/We shall be solely responsible for all risks in connection with the horse, from the time the horse is purchased overseas or throughout its training or racing in Hong Kong or elsewhere, and will effect all appropriate insurance coverage. To the fullest extent as permitted by applicable laws, none of the Club, the Stewards of the Club, or any Executive, Official or employee of the Club shall in any way be liable for any mortality, loss, or injury to any horse howsoever caused (including any mortality, loss, or injury arising from any act or omission of the Club, its employees, agents or contractors), at any time whether prior to import, during transit, after arrival in Hong Kong, or while in the stables, training, racing or other facilities in Hong Kong or elsewhere, or in transit between such facilities;
 - g. The horse will remain in my/our exclusive ownership and control, and I/we will not sell, lease, lend or in any other way deal with or dispose of my/our horse either wholly or in part, except in accordance with the Applicable Rules or with prior written permission of the Club;
 - h. I/We agree that I/we will comply with all requirements and procedures in relation to the importation of the horse into Hong Kong, including but not limited to arranging a pre-import veterinary examination by a qualified veterinary surgeon in the exporting jurisdiction in accordance with the standards under the Club Veterinary Import Examination Protocol. I/We acknowledge and accept that:-
 - (i) any certification of the Club Nominated Veterinary Surgeon(s), and any approval for importation and any related comments made by Club veterinarian(s), are based on the information, reports and diagnostic images provided by the examining veterinary surgeon engaged by me/us. The Club shall in no circumstances be held liable for the act or omission of the examining veterinary surgeon who is engaged by me/us;
 - (ii) any information or opinion provided by any Club Nominated Veterinary Surgeon or Club veterinarians during the pre-import veterinary examination process is given solely for the purpose of considering approval for importation at the material time. Such information, opinion and/or any approval for importation does not constitute any warranty or guarantee by the relevant veterinary surgeon or by the Club regarding the horse's future health or racing suitability. It is provided to me/us for reference only, and any decision to purchase the horse shall be based solely on my or our own judgment;

- (iii) the Club regularly conducts equine veterinary researches and studies, independently or in collaboration with external research institutions, and that diagnostic images, medical information and biological samples of horses collected during the pre-export veterinary examination process may be used for such purposes. I/we consent to such use and agree that any samples collected shall be owned by the Club absolutely upon submission. I/We also agree to use reasonable endeavours to procure the examining veterinary surgeon I/we engaged to provide the corresponding consent in accordance with the Club's Veterinary Import Examination Protocol and other Club policies.
- i. I/We agree to pay all fees, charges, expenses or fines relating to registration, livery, training and/or racing of the horse as set out in the Applicable Rules from time to time. I/We further agree the Club may deduct from any prize money won by my/our horse in Hong Kong or overseas any amounts required for distribution on my/our behalf to such person(s) as determined by the Club under the Applicable Rules from time to time;
- j. I/We consent to the boarding, spelling and/or training location of the horse being determined by the Club from time to time, in consultation with my/our Trainer, which may include facilities in Hong Kong or in the Chinese Mainland.
5. I/We hereby represent to and agree with the Club that:-
- a. The statements contained in this Application are complete, true and accurate in all respects.
- b. If it is established to the satisfaction of the Club that this application has been made for and on behalf of a third party other than the person(s) as named in it, or as a nominee or agent for an undisclosed Partnership or Syndicate, or if I/we subsequently dispose of or deal with any horse allocated to me/us in whole or in part, then I/we and other parties involved shall be in breach of the Applicable Rules and in particular, shall be deemed to have engaged in a corrupt or fraudulent practice contrary to Rule 150 of the Rules of Racing. In such case:-
- (i) I/We and such other persons involved shall be subject to the penalties applicable under the Rules of Racing, which may include fines, disqualification and warning off all premises owned or operated by the Club for such period as the Club may determine, and such penalties may be reciprocally enforced by overseas racing authorities without further enquiry.
- (ii) I/We and any other Club Members involved shall be liable to disciplinary action under the Articles of Association of the Club, which may result in suspension or expulsion from membership.
6. I/We agree to abide by the terms and conditions as set out in the enclosed circular concerning "Ownership Control, Betting and Tipping" and in the Ownership Handbook as applicable from time to time.
7. I/We accept that my/our application for the permit is made on the understanding and agreement that, once the horse acquired by myself or by our Partnership or Syndicate has been accepted by the Club, I/we shall have no claim against the Club for compensation of any kind if the horse fails to become a reasonable racing proposition for any reason whatsoever.
8. I/We acknowledge that any loss of or injury to a horse howsoever arising from training determined by my/our Trainer or from racing shall be my/our sole responsibility and not that of the Club.
9. I/We confirm that I/we have read and understand the Club's Privacy Policy Statement provided with this form and agree to its terms.
10. Without limiting the generality of the Club's Privacy Policy Statement, I/we understand and agree that the Club may disclose or transfer my/our personal data and/or any information relating to the horse or my/our ownership to any third party, including government or regulatory authorities, racing authorities, contractors or service providers, whether in Hong Kong, in the Chinese Mainland or overseas, for purposes of horse training or racing related matters. I/We also understand that if I/we withdraw or refuse to provide the necessary data privacy consent required by the Club, the Club may be unable to process with this application or provide any racing or horse ownership services.
11. I/We understand and agree that my/our names, my/our ownership of the horse, and the following information or data relating to the horse or its ownership as set out below may be collected, publicised, used and/or made available by the Club or any third party authorised by the Club, whether in Hong Kong or elsewhere, through various channels of the Club or such third party (including websites, social media platforms, publications, TV or radio programmes, and any other media now known or invented in the future):-
- a. Horse names, racing colours and other indicia relating to the horse;
- b. Horse related data and records including but not limited to form, rating, performance, trackwork, veterinary records and related data, biological data, movement records, pedigree, prize money earned etc.; and
- c. Any other information the Club considers as part of the racing information made available to the public and/or for the administration, promotion or benefit of racing or upholding racing integrity.

Such use may be for the purposes of racing administration, horse training, upholding racing integrity, product and merchandise development, or any other commercial exploitation for the benefit of racing and other business purposes.

CONFIDENTIAL

12. I/We hereby apply to import a Privately Purchased **previously raced** horse (PP).
13. I/We hereby declare that this application is made under the following category, and confirm that I/we understand and agree to be bound by all terms and conditions in the PP Permit Application Form and the Horse Import Permit Ballots invitation notice:

PP63

(please complete either (A) , (B) or (C))

INDIVIDUAL application

(A)

Name in full	Membership no.	Signature

SYNDICATE application

***** For new Syndicate, please submit the Syndicate Agreement together with this form. *****

Name of Syndicate	Club Account no.	Correspondence address
	BA	

Particulars of Syndicate Managers :

(B)

Name in full	Membership no.	Signature (to be signed by all managers)
1. Manager		
2. Manager		
3. Manager		

***** Please print the names and membership numbers of the Syndicate members on the next page. *****

PARTNERSHIP application

(C)

Name in full	Membership no.	Signature
Managing Partner (To whom stables accounts will be sent to)		
2nd Partner		
3rd Partner		
4th Partner		



REMINDER: Please submit your Bank Reference Letter(s) to the Club on or before Tuesday 14 April 2026.

Please tick the box reflecting the status of Bank Reference Letter(s): Current Owner Attached
 Will be submitted on/before 14/4/2026

OFFICIAL RECEIPT (APPLICATION FOR A PP PERMIT - VALID UNTIL 31 DECEMBER 2027)

<p align="center">Must be completed by applicant(s)</p> <p>Name of applicant(s) / Syndicate:</p> <p>1. _____ 3. _____</p> <p>2. _____ 4. _____</p>	<p>FOR OFFICE USE ONLY</p> <p align="right">(Valid only with official stamp)</p>
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CONFIDENTIAL

List of Syndicate members:

<u>Name of Members (Membership no.)</u>		<u>Name of Members (Membership no.)</u>	
4.	()	28.	()
5.	()	29.	()
6.	()	30.	()
7.	()	31.	()
8.	()	32.	()
9.	()	33.	()
10.	()	34.	()
11.	()	35.	()
12.	()	36.	()
13.	()	37.	()
14.	()	38.	()
15.	()	39.	()
16.	()	40.	()
17.	()	41.	()
18.	()	42.	()
19.	()	43.	()
20.	()	44.	()
21.	()	45.	()
22.	()	46.	()
23.	()	47.	()
24.	()	48.	()
25.	()	49.	()
26.	()	50.	()
27.	()		

2027/2028 PPG PERMIT APPLICATION FORM



1. I/We agree that, if my/our application is successful, I/we shall abide by and be bound by:
 - a. The Horse Ballot Bye-laws *;
 - b. The Ownership Bye-laws *;
 - c. The Rules of Racing and Instructions made by the Stewards of The Hong Kong Jockey Club (the "Club"), as may be in force from time to time (the "Rules of Racing"); and
 - d. any other Bye-laws or rules as shall from time to time be issued by the Club.
(collectively the "Applicable Rules")

* The Bye-laws can be downloaded from the Club's website: <https://member.hkjc.com/horse-ballot/en-US/index.html>. The Rules of Racing can be downloaded from the Club's website: <https://racing.hkjc.com/en-us/local/page/racing-rules-instr>.
2. I/We hereby declare that the member(s) listed in paragraph 13 is/are the only person(s) interested in this application and that I am/we are qualified in all respects to take part in this draw in accordance with the Horse Ballot Bye-laws.
3. I/We accept full personal responsibility for the horse applied for under the terms of the Horse Ballot Bye-laws, and confirm that I/we am/are fully able to meet the financial commitments involved.
4. I/We agree that if successful in the ballot,
 - a. I/We accept my/our responsibilities as an Owner under the Applicable Rules;
 - b. I/We WILL PERSONALLY MANAGE the horse and will appoint the Trainer as my/our authorised agent to enter, scratch or declare the horse to start in races and to engage Jockeys as necessary;
 - c. I/We will ensure that the horse imported under the permit, upon arrival in Hong Kong, shall be under my/our exclusive ownership, fully paid for by me/us or otherwise acquired as a gift only in circumstances as permitted under the Rules of Racing. I/We will, on the request of the Club, produce documentary proof of exclusive ownership of the horse, including but not limited to the sale and purchase documentation and proof of full payment;
 - d. I/We will declare to the Club whether or not the horse is sold to me/us with contingencies, acquired by way of gift, or subject to any other arrangements or otherwise or any third party interest as required under Rule 40 of the Rules of Racing. I understand that the Club may refuse to register the sale notwithstanding the provision of the information;
 - e. I/We agree to provide, prior to the arrival of my/our horse in Hong Kong, the name of the person/company who/which has acted as my/our agent in connection with the purchase of my/our horse as required under the Rules of Racing. I/We agree to provide further information requested by the Club and procure the agent to do the same;
 - f. I/We shall be solely responsible for all risks in connection with the horse, from the time the horse is purchased overseas or throughout its training or racing in Hong Kong or elsewhere, and will effect all appropriate insurance coverage. To the fullest extent as permitted by applicable laws, none of the Club, the Stewards of the Club, or any Executive, Official or employee of the Club shall in any way be liable for any mortality, loss, or injury to any horse howsoever caused (including any mortality, loss, or injury arising from any act or omission of the Club, its employees, agents or contractors), at any time whether prior to import, during transit, after arrival in Hong Kong, or while in the stables, training, racing or other facilities in Hong Kong or elsewhere, or in transit between such facilities;
 - g. The horse will remain in my/our exclusive ownership and control, and I/we will not sell, lease, lend or in any other way deal with or dispose of my/our horse either wholly or in part, except in accordance with the Applicable Rules or with prior written permission of the Club;
 - h. I/We agree that I/we will comply with all requirements and procedures in relation to the importation of the horse into Hong Kong, including but not limited to arranging a pre-import veterinary examination by a qualified veterinary surgeon in the exporting jurisdiction in accordance with the standards under the Club Veterinary Import Examination Protocol. I/We acknowledge and accept that:-
 - (i) any certification of the Club Nominated Veterinary Surgeon(s), and any approval for importation and any related comments made by Club veterinarian(s), are based on the information, reports and diagnostic images provided by the examining veterinary surgeon engaged by me/us. The Club shall in no circumstances be held liable for the act or omission of the examining veterinary surgeon who is engaged by me/us;
 - (ii) any information or opinion provided by any Club Nominated Veterinary Surgeon or Club veterinarians during the pre-import veterinary examination process is given solely for the purpose of considering approval for importation at the material time. Such information, opinion and/or any approval for importation does not constitute any warranty or guarantee by the relevant veterinary surgeon or by the Club regarding the horse's future health or racing suitability. It is provided to me/us for reference only, and any decision to purchase the horse shall be based solely on my or our own judgment;

- (iii) the Club regularly conducts equine veterinary researches and studies, independently or in collaboration with external research institutions, and that diagnostic images, medical information and biological samples of horses collected during the pre-export veterinary examination process may be used for such purposes. I/we consent to such use and agree that any samples collected shall be owned by the Club absolutely upon submission. I/We also agree to use reasonable endeavours to procure the examining veterinary surgeon I/we engaged to provide the corresponding consent in accordance with the Club's Veterinary Import Examination Protocol and other Club policies.
 - i. I/We agree to pay all fees, charges, expenses or fines relating to registration, livery, training and/or racing of the horse as set out in the Applicable Rules from time to time. I/We further agree the Club may deduct from any prize money won by my/our horse in Hong Kong or overseas any amounts required for distribution on my/our behalf to such person(s) as determined by the Club under the Applicable Rules from time to time;
 - j. I/We consent to the boarding, spelling and/or training location of the horse being determined by the Club from time to time, in consultation with my/our Trainer, which may include facilities in Hong Kong or in the Chinese Mainland.
5. I/We hereby represent to and agree with the Club that:-
- a. The statements contained in this Application are complete, true and accurate in all respects.
 - b. If it is established to the satisfaction of the Club that this application has been made for and on behalf of a third party other than the person(s) as named in it, or as a nominee or agent for an undisclosed Partnership or Syndicate, or if I/we subsequently dispose of or deal with any horse allocated to me/us in whole or in part, then I/we and other parties involved shall be in breach of the Applicable Rules and in particular, shall be deemed to have engaged in a corrupt or fraudulent practice contrary to Rule 150 of the Rules of Racing. In such case:-
 - (i) I/We and such other persons involved shall be subject to the penalties applicable under the Rules of Racing, which may include fines, disqualification and warning off all premises owned or operated by the Club for such period as the Club may determine, and such penalties may be reciprocally enforced by overseas racing authorities without further enquiry.
 - (ii) I/We and any other Club Members involved shall be liable to disciplinary action under the Articles of Association of the Club, which may result in suspension or expulsion from membership.
6. I/We agree to abide by the terms and conditions as set out in the enclosed circular concerning "Ownership Control, Betting and Tipping" and in the Ownership Handbook as applicable from time to time.
7. I/We accept that my/our application for the permit is made on the understanding and agreement that, once the horse acquired by myself or by our Partnership or Syndicate has been accepted by the Club, I/we shall have no claim against the Club for compensation of any kind if the horse fails to become a reasonable racing proposition for any reason whatsoever.
8. I/We acknowledge that any loss of or injury to a horse howsoever arising from training determined by my/our Trainer or from racing shall be my/our sole responsibility and not that of the Club.
9. I/We confirm that I/we have read and understand the Club's Privacy Policy Statement provided with this form and agree to its terms.
10. Without limiting the generality of the Club's Privacy Policy Statement, I/we understand and agree that the Club may disclose or transfer my/our personal data and/or any information relating to the horse or my/our ownership to any third party, including government or regulatory authorities, racing authorities, contractors or service providers, whether in Hong Kong, in the Chinese Mainland or overseas, for purposes of horse training or racing related matters. I/We also understand that if I/we withdraw or refuse to provide the necessary data privacy consent required by the Club, the Club may be unable to process with this application or provide any racing or horse ownership services.
11. I/We understand and agree that my/our names, my/our ownership of the horse, and the following information or data relating to the horse or its ownership as set out below may be collected, publicised, used and/or made available by the Club or any third party authorised by the Club, whether in Hong Kong or elsewhere, through various channels of the Club or such third party (including websites, social media platforms, publications, TV or radio programmes, and any other media now known or invented in the future):-
- a. Horse names, racing colours and other indicia relating to the horse;
 - b. Horse related data and records including but not limited to form, rating, performance, trackwork, veterinary records and related data, biological data, movement records, pedigree, prize money earned etc.; and
 - c. Any other information the Club considers as part of the racing information made available to the public and/or for the administration, promotion or benefit of racing or upholding racing integrity.

Such use may be for the purposes of racing administration, horse training, upholding racing integrity, product and merchandise development, or any other commercial exploitation for the benefit of racing and other business purposes.

CONFIDENTIAL



12. I/We hereby apply to import an **unraced** Privately Purchased Griffin (PPG).
13. I/We hereby declare that this application is made under the following category, and confirm that I/we understand and agree to be bound by all terms and conditions in the PPG Permit Application Form and the Horse Import Permit Ballots invitation notice:

(please complete either (A) , (B) or (C))

INDIVIDUAL application

(A)

Name in full	Membership no.	Signature

SYNDICATE application

******* Syndicates formed after 14 April 2025 are NOT eligible to apply for this year's PPG Permits. *******

Name of Syndicate	Club Account no.	Correspondence address
	BA	

Particulars of Syndicate Managers :

(B)

Name in full	Membership no.	Signature (to be signed by all managers)
1. Manager		
2. Manager		
3. Manager		

******* Please print the names and membership numbers of the Syndicate members on the next page. *******

PARTNERSHIP application

(C)

Name in full	Membership no.	Signature
Managing Partner (To whom stables accounts will be sent to)		
2nd Partner		
3rd Partner		
4th Partner		



REMINDER: Please submit your Bank Reference Letter(s) to the Club on or before Tuesday 14 April 2026.

Please tick the box reflecting the status of Bank Reference Letter(s): Current Owner Attached
 Will be submitted on/before 14/4/2026

OFFICIAL RECEIPT (APPLICATION FOR A 2027/2028 PPG PERMIT)

<p align="center">Must be completed by applicant(s)</p> <p>Name of applicant(s) / Syndicate:</p> <p>1. _____ 3. _____</p> <p>2. _____ 4. _____</p>	<p>FOR OFFICE USE ONLY</p> <p align="right">(Valid only with official stamp)</p>
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CONFIDENTIAL

List of Syndicate members :

<u>Name of Members (Membership no.)</u>		<u>Name of Members (Membership no.)</u>	
4.	()	28.	()
5.	()	29.	()
6.	()	30.	()
7.	()	31.	()
8.	()	32.	()
9.	()	33.	()
10.	()	34.	()
11.	()	35.	()
12.	()	36.	()
13.	()	37.	()
14.	()	38.	()
15.	()	39.	()
16.	()	40.	()
17.	()	41.	()
18.	()	42.	()
19.	()	43.	()
20.	()	44.	()
21.	()	45.	()
22.	()	46.	()
23.	()	47.	()
24.	()	48.	()
25.	()	49.	()
26.	()	50.	()
27.	()		

HORSE BALLOT BYE-LAWS

1. AUTHORITY FOR INTRODUCTION AND CITATION

1.1 These Bye-laws are made by the Stewards of the Jockey Club pursuant to the provisions of Article 65 of the Articles of Association of the Club and, accordingly, are Rules of the Club. They are to be read in conjunction with the Articles of Association of the Club.

1.2 These Bye-laws shall be referred to as the Horse Ballot Bye-laws.

2. APPLICATION OF THESE BYE-LAWS

These Bye-laws regulate allocation of Subscription Griffins and Import Permits for Privately Purchased Horses and Privately Purchased Griffins and the eligibility of Members to enter Horse Ballots.

3. INTERPRETATION

3.1 In these Bye-laws the following expressions shall have the following meanings:

Expression	Meaning
Permit	A permit to import a horse issued by the Club, which may cover a PP or PPG.
Permit Holder	A person or an entity to whom or which a Permit is issued by the Club.
PP	A Privately Purchased Horse, namely a previously raced horse imported into Hong Kong by a Member, Partnership or Syndicate pursuant to a Permit issued by the Club.
PPG	A Privately Purchased Griffin, namely an unraced horse imported into Hong Kong by a Member, Partnership or Syndicate pursuant to a Permit issued by the Club.
Racing Stewards	As defined under the Rules of Racing.
Rule(s)	A rule or rules under the Rules of Racing.
Rules of Racing	The Rules of Racing of The Hong Kong Jockey Club and the Instructions made by the Stewards of the Jockey Club.
SG	A Subscription Griffin, namely an unraced horse offered for sale by the Club to Members, Partnerships and Syndicates by subscription or otherwise allocated by ballot pursuant to these Bye-laws.

3.2 Unless otherwise expressly stated, the defined terms in the Rules of Racing and other Bye-laws of the Club, including the General Bye-laws and the Ownership Bye-laws, shall apply.

4. PERMITS TO IMPORT A PP

4.1 The country or countries from which PPs may be imported shall be determined in the absolute discretion of the Stewards of the Jockey Club.

4.2 The number of Permits for the importation of PPs for each racing season of the Club shall be determined in the absolute discretion of the Stewards of the Jockey Club. Such Permits will be allocated by such ways as determined by the Stewards of the Jockey Club in their absolute discretion, including by way of ballot pursuant to these Bye-laws.

4.3 In or about February of each year the Stewards of the Jockey Club will invite applications for the allocation of a Permit for a PP by those Members eligible as is provided by Bye-law 7.

4.4 The terms and conditions to be attached to applications for a Permit for a PP shall be determined by the Stewards of the Jockey Club from time to time and set out in the application form, including but not limited to categories of Members eligible to apply for a Permit for a PP, the racing results or rating of horses to be eligible to be imported as a PP, the validity period of the Permit and conditions of import etc.

4.5 If more applications are received than the number of Permits for PP available for allocation, such Permits will be allocated by a ballot conducted in accordance with these Bye-laws.

- 4.6 If the Club and any agent appointed by it, at the request and on behalf of a Permit Holder, agrees to make arrangements for the purchase of a PP then, in addition to the specific terms and conditions of such agreement, the Permit Holder will be deemed to have agreed that neither the Club nor any agent appointed by it shall be deemed to have given any guarantee, assurance or warranty that any PP so purchased will be or will become a reasonable racing proposition.

5. PERMITS TO IMPORT A PPG

- 5.1 The country or countries in which PPGs may be purchased or bred shall be determined in the absolute discretion of the Stewards of the Jockey Club.
- 5.2 The number of Permits for the importation of PPGs for each racing season of the Club shall be determined in the absolute discretion of the Stewards of the Jockey Club. Such Permits will be allocated by such ways as determined by the Stewards of the Jockey Club in their absolute discretion, including by way of ballot pursuant to these Bye-laws.
- 5.3 In or about February of each year the Stewards of the Jockey Club will invite applications for the allocation of a Permit for a PPG by those Members eligible as is provided by Bye-law 7.
- 5.4 The terms and conditions to be attached to applications shall be determined in the absolute discretion of the Stewards of the Jockey Club from time to time and set out in the application form, including but not limited to categories of Members eligible to apply for a Permit for a PPG, validity period of the Permit and conditions of import etc.
- 5.5 If more applications are received than the number of Permits for PPG available for allocation, such Permits will be allocated by a ballot conducted in accordance with these Bye-laws.
- 5.6 If the Club and any agent appointed by it, at the request and on behalf of a Permit Holder, agrees to make arrangements for the purchase of a PPG then, in addition to the specific terms and conditions of such agreement, the Permit Holder will be deemed to have agreed that neither the Club nor any agent appointed by it shall be deemed to have given any guarantee, assurance or warranty that any PPG so purchased will be or will become a reasonable racing proposition.

6. SG

- 6.1 The country or countries from which SGs are purchased shall be determined in the absolute discretion of the Stewards of the Jockey Club.
- 6.2 Whether any SG will be offered for sale and if so, the number of SG offered for sale by the Club shall be determined in the absolute discretion of the Stewards of the Jockey Club. Such SGs will be allocated in such ways as determined by the Stewards of the Jockey Club in their absolute discretion, including by way of ballot pursuant to these Bye-laws.
- 6.3 The Stewards of the Jockey Club may from time to time invite applications for the allocation of a SG by those Members eligible as is provided by Bye-law 7.
- 6.4 The terms and conditions for the sale and allocation of SGs shall be determined in the absolute discretion of the Stewards of the Jockey Club from time to time and set out in the application form, including but not limited to the respective price of the SGs and deposit and payment schedule of the purchase price. Deposit shall in general be non-refundable unless as otherwise specified in the conditions of sale.
- 6.5 If more applications are received than the number of SGs available for allocation, such SGs may be allocated by a ballot conducted in accordance with these Bye-laws.
- 6.6 Without prejudice to and in addition to the specific terms and conditions of sale, a Permit Holder is deemed to have agreed that neither the Club nor any agent appointed by it shall be deemed to have given any guarantee, assurance or warranty that any SG so purchased will be or will become a reasonable racing proposition.

7. ELIGIBILITY TO ENTER INTO BALLOTS

- 7.1 Members, Partnerships or Syndicates who are eligible to be registered as an Owner pursuant to Rule 39(1) and Bye-law 4 of the Ownership Bye-laws may enter into ballot for a Permit or allocation of a SG except in the following circumstances:
- (a) Any Member who or Partnership or Syndicate which in the past has sold a horse, unless for a reason acceptable by the Stewards of the Jockey Club;

- (b) Any Member who or Partnership or Syndicate which has been directed to be ineligible by the Stewards of the Jockey Club;
- (c) Any Member who at the date of closing of applications for ballot owns the maximum number of horses as permitted under Bye-law 7 of the Ownership Bye-laws, unless he undertakes to retire, or subject to approval of the Stewards of the Jockey Club, transfer or sell one of his horses if he is successful in the ballot and the retirement, transfer or sale shall take place before he imports a new horse pursuant to the Permit allocated to him; or
- (d) Any Member, Partnership or Syndicate who fails to or refuses to comply with the terms and conditions as set out in the relevant ballot application form.

8. GENERAL CONDITIONS FOR APPLICATIONS

- 8.1 Unless otherwise specified in the application form, no Member is permitted to submit more than one application for a Permit or for a SG either in his own name or as a member of a Partnership.
- 8.2 By submitting an application for a Permit or a SG, an applicant shall be deemed to have agreed with all terms and conditions as set out in the application, the Rules of Racing and the relevant Bye-laws.
- 8.3 The name of every member of an applying Partnership and of every member of an applying Syndicate must be declared on or attached to the application form for a Permit or a SG. On registration of the horse imported under the Permit or purchased as a SG by a Partnership or Syndicate, the membership of such Partnership or Syndicate and the shareholding of each member of such Syndicate shall be the same as the information set out in the application form. No transfer or disposal of the whole or any part of such Partnership or Syndicate, or the whole or any part of the interest in any horse owned by the Partnership or Syndicate, is permitted unless with the prior written approval of the Club.

9. ALLOCATION AND CANCELLATION OF PERMITS OR SGs

- 9.1 The ballots for Permits and for SGs will be conducted in such manner as the Stewards of the Jockey Club, in their absolute discretion, shall decide.
- 9.2 If the number of applications for Permits exceeds the number available and/or if the number of applications for SGs exceeds the number of SGs available, the Stewards of the Jockey Club shall have the right to allocate a proportion of the number of Permits and/or SGs available to such applicants as they may consider deserving of special consideration and to decide who of the remaining applicants shall participate in the respective ballots.
- 9.3 A list of successful applicants for each type of Permit will be published by the Club.
- 9.4 The Stewards of the Jockey Club shall have the right to cancel the allocation of a Permit or a SG to a Member, Partnership or Syndicate if, prior to the arrival of the horse in Hong Kong or registration of the horse with the Club (as the case may be), the Member or any member of the Partnership or Manager of a Syndicate shall cease to be eligible to be registered as an Owner.
- 9.5 The Stewards of the Jockey Club shall have the right to cancel the allocation of a Permit or a SG at any time prior to the arrival of the horse in Hong Kong or registration of the horse with the Club (as the case may be) if, in their sole and unfettered discretion and without obligation to justify their decision to the successful applicant concerned, such cancellation is in their view to be in the interests of racing in Hong Kong. Upon the cancellation of allocation of SG as mentioned above, the Club will return any monies paid for the SG without interest to the successful applicant concerned, which shall be his or their sole remedy.
- 9.6 No person shall have any claim against the Club, the Stewards of the Jockey Club or any Executive, Official or employee of the Club for any losses howsoever arising from or consequential upon any action by the Stewards of the Jockey Club under this Bye-law 9.
- 9.7 The reallocation of any Permit or any SG which has been cancelled in accordance with the provisions of these Bye-laws, shall be determined by the Stewards of the Jockey Club in their absolute discretion.

10. RESERVE AND TENDER / AUCTION LIST

- 10.1 At the time a ballot takes place to determine which applicants shall be issued a Permit for PP or PPG or which applicants shall be allocated a SG, a number (to be decided at the time of the ballot by the Stewards of the Jockey Club) of unsuccessful applications shall be drawn and the names of those unsuccessful applicants will be placed on a list to be referred to as the "Reserve and Tender / Auction List".

- 10.2 The Reserve and Tender / Auction List will be published at the same time as the lists of successful applicants, and shall include the category of horse applied for and the numerical order in which the applicants were drawn.
- 10.3 On each occasion a Member, Partnership or Syndicate whose name is on the Reserve and Tender / Auction List is allocated or declines to accept the allocation of a Permit or a SG, as the case may be, his name will be deleted from the Reserve and Tender / Auction List.
- 10.4 On each occasion a Member, Partnership or Syndicate whose name is on the Reserve and Tender / Auction List purchases a horse at a sale by sealed tender or auction conducted by the Club his name will be deleted from the Reserve and Tender / Auction List.
- 10.5 If at any time more than one (1) horse is offered for sale and the respective periods during which tenders are to be submitted overlap, a Member, Partnership or Syndicate whose name is on the Reserve and Tender / Auction List may submit a tender for some or all of the said horses but upon the acceptance of one of his tenders any remaining tenders will be invalid.

11. ALLOCATION OF UNFULFILLED PERMITS

- 11.1 If a Permit Holder refuses or fails to fulfil the conditions attached to the Permit allocated to him, or if he shall die, is no longer eligible to be registered as an Owner in accordance with the Rules and the Ownership Bye-laws before a horse is imported under the relevant Permit, the Stewards of the Jockey Club shall cancel the allocation of the relevant Permit and may, but shall not be obliged to, invite the applicant whose name is first in numerical order in the relevant Reserve and Tender / Auction List, to take up the allocation which has become available.
- 11.2 No person shall be entitled to any redress consequential upon a decision of the Stewards of the Jockey Club to otherwise allocate such Permit, including but not limited to allocating the same to an applicant whose name is lower numerically on the relevant Reserve and Tender / Auction List.

12. REALLOCATION OF SGs IF AN OWNER WITHDRAWS

- 12.1 If the successful applicant for a SG shall advise the Stewards of the Jockey Club that he will not take up the SG allocated to him or if he shall die, is no longer eligible to be registered as an Owner in accordance with the Rules and the Ownership Bye-laws before the SG is purchased by him, the Stewards of the Jockey Club shall cancel the allocation of the SG and may, but shall not be obliged to, invite the applicant whose name is first in numerical order of unsuccessful applicants for a SG on the Reserve and Tender / Auction List, to take up the allocation which has become available.
- 12.2 No person shall be entitled to any redress consequential upon a decision of the Stewards of the Jockey Club to otherwise allocate the SG, including but not limited to allocating the same to an applicant whose name is lower numerically on the Reserve and Tender / Auction List.

13. REPLACEMENT PERMIT

- 13.1 Owners, following the retirement of their horse(s), may apply for a Permit to import a replacement horse to race in Hong Kong. Granting of a Permit to import a replacement horse is in the sole discretion of the Stewards of the Jockey Club based on the merits of each application and the prevailing policies of the Club, and may be subject to such terms and conditions imposed by the Stewards of the Jockey Club as they consider expedient. The right to apply for a Permit to import a replacement horse is normally limited to the original Owner(s) of the original horse only and the Permit granted should normally be in the same category as the original Permit.
- 13.2 No Permit to import a replacement horse will necessarily be allocated nor will compensation be paid by the Club in the event a PP, PPG or SG fails, howsoever, to become a reasonable racing proposition.

14. VIOLATION OF THESE BYE-LAWS

Violation of these Bye-laws may constitute an offence under the Rules of Racing and/or result in disciplinary proceedings under the Articles of Association of the Club.

Effective on 8 June 2024

By Order of the Stewards of the Jockey Club
The Secretary

OWNERSHIP BYE-LAWS

1. AUTHORITY FOR INTRODUCTION AND CITATION

- 1.1 These Bye-laws are made by the Stewards of the Jockey Club pursuant to the provisions of Article 65 of the Articles of Association of the Club and, accordingly, are Rules of the Club. They are to be read in conjunction with the Articles of Association of the Club.
- 1.2 These Bye-laws shall be referred to as the Ownership Bye-laws.

2. APPLICATION OF THESE BYE-LAWS

- 2.1 These Bye-laws set out matters in relation to ownership of horses, which cover the eligibility of Members and Registered Nominees of Corporate Members to be registered as Owners under the Rules of Racing and the sale, retirement and disposal of horses. These Bye-laws shall be read in conjunction with the Rules of Racing and the Horse Ballot Bye-laws.
- 2.2 The Stewards of the Jockey Club may exempt any person or horse from any of these Bye-laws on conditions they think fit for the purposes of upholding or promoting the interests, integrity, conduct and/or reputation of horse racing.

3. INTERPRETATION

In these Bye-laws the following expressions shall have the following meanings:

Expression	Meaning
Owner	Each person, whether an individual or a member of a Partnership or a Manager of a Syndicate, or an approved body corporate, registered in accordance with Rule 39. Where the context permits, the term "Owner" shall also include his / her Authorised Representative appointed under the Rules of Racing.
Member	As defined in the General Bye-laws.
Recognised Racing Authority	Any Racing Authority whose jurisdiction is from time to time recognised by the Club and which gives effect to penalties and disabilities imposed by the Club.
Rule(s)	A rule or rules under the Rules of Racing.
Rules of Racing	The Rules of Racing of The Hong Kong Jockey Club and the Instructions made by the Stewards of the Jockey Club.

Unless otherwise expressly stated, the defined terms in the Rules of Racing and other Bye-laws of the Club, including the General Bye-laws and the Horse Ballot Bye-laws, shall apply.

4. ELIGIBILITY TO BE REGISTERED AS AN OWNER

- 4.1 Only the following persons or entities may be registered as Owners under Rule 39(1):-
- Eligible Members of the Club, and their spouses or children in accordance with Rules 39(4) and 39(5) and Bye-law 5.1;
 - A Partnership formed in accordance with Rule 41 and Bye-law 5.2;
 - A Syndicate formed in accordance with Rule 43 and Bye-law 5.3;
 - A body corporate approved by the Stewards of the Jockey Club;
 - Persons, partnerships, syndicates or corporations or their members registered as owners with any Recognised Racing Authority and invited to register as Visiting Owners by the Stewards of the Jockey Club.
- 4.2 The eligibility of each category of Members or other persons or corporations for registration as a Sole Owner and/or a member in a Partnership and/or a member in a Syndicate shall be determined and notified by the Stewards of the Jockey Club from time to time.

5. CATEGORIES OF OWNERSHIP

The categories of ownership are:

5.1 Sole Owner:

5.1.1 A Member (other than a Racing Club Member or a Registered Nominee of a Corporate Member or who is otherwise ineligible as directed by the Stewards of the Jockey Club) or a body corporate as approved by the Stewards of the Jockey Club who or which has registered pursuant to Rule 39(1)(i) and whose name is entered in the Register of the names of Owners pursuant to Rule 39(2).

5.1.2 The Sole Owner may register the name(s) of and race a horse with his / her spouse or child / children in accordance with Rules 39(4) and (5).

5.2 Partnership:

A Partnership comprising two (2), three (3) or four (4) eligible Members which has registered under Rule 39(1)(ii) having complied with Rule 41(2) and whose names are entered in the Register of the names of Owners pursuant to Rule 39(2). Racing Club Members (other than in circumstances under Rule 40(5)), Registered Nominee(s) of a Corporate Member or Members who are otherwise ineligible as directed by the Stewards of the Jockey Club are not eligible to be a member of a Partnership.

5.3 Syndicate:

A Syndicate comprising not less than five (5) and not more than fifty (50) members, all of whom must be eligible Members qualified to be registered either as an Owner or as an ordinary member of a Syndicate as set out in this Bye-law 5.3, eligible Registered Nominees of Corporate Members qualified to be registered as an ordinary member of a Syndicate as set out in this Bye-law 5.3 or body corporate as approved by the Stewards of the Jockey Club, which having complied with Rule 43 has registered under Rule 39(1)(ii) and whose names are entered in the Register of the names of Owners pursuant to Rule 39(2). The formation and operation of the Syndicate shall be in accordance with the requirements of these Bye-laws and Rule 43, including but not limited to:-

5.3.1 A Racing Club Member or a Registered Nominee of a Corporate Member who is not otherwise directed to be ineligible by the Stewards of the Jockey Club can be registered as an ordinary member of a Syndicate but shall not be eligible to be a Manager of the Syndicate.

5.3.2 Every member of a Syndicate shall be bound by the provisions and conditions set out in the Rules of Racing and the relevant Syndicate Agreement.

5.3.3 Any change in the membership or composition of a Syndicate requires prior approval from the Stewards of the Jockey Club. An application for a change of composition of a Syndicate which has obtained a Permit in a horse ballot will only be considered if a minimum of 80% of the applying members remain in the Syndicate. Such restriction remains in effect until the expiry of twelve months from the date of registration of the horse imported under the Permit.

5.3.4 Each member or Manager of a Syndicate must have at least 2% but not more than 30% share interest in the Syndicate.

5.3.5 A Member or a Registered Nominee of a Corporate Member shall be permitted to join up to seven (7) approved Syndicates, subject to Bye-law 5.3.1, either as a Manager or an ordinary member of the relevant Syndicate.

6. CATEGORIES OF HORSES

Eligible Members may own horses that fall into any of the following five (5) categories:

6.1 An International Sale Griffin - hereinafter "ISG":

An ISG is a horse, previously unraced, offered for purchase by eligible Members at the Hong Kong International Sale organised by the Club from time to time.

6.2 A Privately Purchased Horse - hereinafter "PP":

A PP is a horse, previously raced, imported privately pursuant to a Permit issued by the Club in accordance with the Horse Ballot Bye-laws.

6.3 A Privately Purchased Griffin - hereinafter "PPG":

A PPG is a horse, previously unraced, imported privately pursuant to a Permit issued by the Club in accordance with the Horse Ballot Bye-laws.

6.4 A locally purchased horse:

A PP, PPG, ISG or SG purchased by tender at a sale or at an auction conducted by the Club.

6.5 A Subscription Griffin - hereinafter "SG":

A SG is an unraced horse purchased by the Club and offered for sale to eligible Members by way of subscription or ballot in accordance with the Horse Ballot Bye-laws.

7. RESTRICTIONS ON OWNERSHIP

No person shall at any one time own more than seven (7) horses, either as a Sole Owner, a member of a Partnership or a Manager of a Syndicate. Ordinary membership of a Syndicate does not constitute ownership for such purpose.

8. GENERAL UNDERTAKINGS AND RESPONSIBILITIES OF AN OWNER

8.1 Each Owner and each member of a Partnership or a Syndicate and his or her successors shall be deemed to have read and become conversant with and agree to observe and abide by the prevailing Rules of Racing and all racing-related Bye-laws. The Rules of Racing and the Bye-laws are available on the Club's website and copies of the same may be obtained from the Racing Registry.

8.2 Subject to the provisions of the Rules of Racing and other Bye-laws of the Club and/or the provisions in the application forms for a Permit or the relevant conditions of sale, each person, whether in his own right or as a member of a Partnership or Syndicate, who has imported a horse pursuant to a Permit or otherwise acquired and registered a horse with the Club, shall be deemed to have accepted and given consent to the Club, *inter alia*, on the following:-

8.2.1 the horse will be kept in training throughout its natural racing career;

8.2.2 the boarding, spelling and/or training location of the horse shall be determined from time to time by the Club, which may include stable, spelling and training facilities in Hong Kong or elsewhere;

8.2.3 he shall have no claim against the Club, the Stewards of the Jockey Club or any Executive, Official or employee of the Club in any form if the horse shall fail to become a reasonable racing proposition for whatever reason;

8.2.4 information, including the name(s), ownership of the horse, and/or any information or data of and related to the horse or ownership set out below, may be collected, publicised, made available, used and/or exploited by the Club in Hong Kong or elsewhere via various channels of the Club or any third party authorised by the Club (including websites, social media platforms, publications or other channels like TV or radio programme, as well as any other media now known or invented in the future):-

(a) horse name, racing colour, images and other indicia of the horse;

(b) horse related data and records including but not limited to form, rating, performance, trackwork records, veterinary records and related data, biological data, movement records, pedigree, prize money earned etc.;

(c) upon retirement of the horse, horse retirement and export information including but not limited to destination, future career, future ownership arrangement etc.; and

(d) other information the Club sees fit as part of the racing information available to the public and/or for the administration, promotion or otherwise for the benefit of racing, horse welfare and/or operations of the Club;

8.2.5 veterinary information of a horse, including but not limited to any records, images and samples taken under the authority of the Rules of Racing, may be used by the Club itself or in conjunction with any third party for research or education purpose. The Club (and/or such third party) shall own all right, title or interest in any research, paper or published material arising therefrom.

8.3 When applying for registration of a horse, an Owner must confirm his absolute and exclusive title to, ownership and control of the horse and declare in accordance with the provisions of the Rules of Racing:-

8.3.1 any contingency, gifting and other arrangements or otherwise any legal or beneficial interest in the horse by a third party; and

8.3.2 the identity of any agent involved in the purchase of the horse.

Such requirement of absolute and exclusive ownership and control and the obligation to report any contingency or third party interest are continuing obligations when the horse remains registered with the Club.

- 8.4 The registered Owner shall retain full control of and responsibility for the horse. Other than his / its Authorised Agent or Authorised Representative, no Owner shall allow a third party to assume control over the horse, including in particular giving instructions on the running or riding of a horse in a race or its training arrangements.
- 8.5 Each Owner is, and each member of a Partnership or Syndicate is jointly and severally, liable for meeting stabling, livery and all other fees or charges incurred by his horse. Such fees or charges are to be determined by the Stewards of the Jockey Club from time to time. Each Owner and each member of a Partnership or Syndicate is deemed to have consented to the Club that the Club may apply any money owed by the Club to each individual (including but not limited to any prize money and any credit balance in a betting account maintained with the Club) in settlement of any outstanding fees or charges in relation to the horse.
- 8.6 Each Owner acknowledges the intrinsic dangers of horse racing and training activities and the propensity of horses to behave in ways that may result in injury, harm or death whether to the horse itself, other horses or to persons on or around it. Each Owner is, and each member of a Partnership or Syndicate is jointly and severally, responsible for all risks in connection with the horse from the time the horse is purchased overseas including its participation in any training or racing activities in Hong Kong or elsewhere, and shall effect all appropriate insurance coverage in respect of such risks. To the fullest extent as permitted by law, none of the Club, the Stewards of the Jockey Club and any Executive, Official and employee of the Club shall in any way be liable for any mortality or loss of or injury to any horse howsoever caused (including any mortality, loss, or injury arising from any act or omission of the Club, its employees, agents or contractors) at any time, including without limitation, any time prior to import, during transit, after the horse's arrival in Hong Kong, in the stable, training, racing or other facilities of the Club or other entities in Hong Kong or elsewhere, or in transit between such facilities.
- 8.7 Each Owner shall, and each member of a Partnership or Syndicate shall jointly and severally, indemnify and hold the Club, the Stewards of the Jockey Club and any Executive, Official and employee of the Club harmless against all losses, damages, claims and suits, expenses including court fees and legal costs, arising of or in connection with the horse when the horse is in the custody or control of the Club.

9. ELIGIBILITY TO SUBMIT TENDERS FOR HORSES OFFERED FOR SALE

- 9.1 Any Member, Partnership or Syndicate whose name is included in the Reserve and Tender / Auction List which is in force at the time of posting of the notice of sale, shall be eligible to submit a tender for the horse offered for sale. If equal tenders are received then the highest on the List shall be awarded the horse.
- 9.2 Any tender submitted by any Member, Partnership or Syndicate (except the remaining partner(s) of the vending Partnership) whose name is not included in the Reserve and Tender / Auction List in force at the time of posting of the notice of sale, shall be invalid.

10. CHANGE OF OWNERSHIP

- 10.1 All transfer or sale or otherwise change in ownership of a horse must be approved by the Stewards of the Jockey Club. Any application for change in the ownership of a horse must be submitted in writing with supporting reasons. The determination of such application by the Stewards of the Jockey Club shall be final and binding on the applicant and the Stewards of the Jockey Club shall not be obliged to give any reason for refusing any such application.
- 10.2 Approval of the Stewards of the Jockey Club is deemed to be given for change in ownership of a horse in the following circumstances:
- 10.2.1 to effect change in the composition of a Syndicate which complies with the requirements under Bye-law 5.3 and Rule 43;
- 10.2.2 for inclusion of the name(s) of spouse or child or children in the Register of the names of Owners in accordance with Rules 39(4) and (5); or
- 10.2.3 for transfer of interest in a horse to a spouse or child or children under Rule 40(5).
- 10.3 The Stewards of the Jockey Club may permit a change of ownership of a horse without recourse to sale by tender:
- 10.3.1 to transfer the horse owned by a deceased Sole Owner in accordance with Bye-law 12.1;
- 10.3.2 to transfer the share of any deceased partner of a Partnership in accordance with Bye-law 13.2, provided that the proposed new partner meets the requirements of Bye-law 5.2 and there is at least one (1) original partner continuing as a member of the Partnership; or

- 10.3.3 in such exceptional circumstances as deemed expedient by the Stewards of the Jockey Club in their absolute discretion.
- 10.4 Save as permitted pursuant to Bye-law 10.3, no change of ownership of a horse will be permitted without recourse to sale. Such sale shall be:
- 10.4.1 subject to such conditions as the Stewards of the Jockey Club, in their unfettered discretion, shall consider appropriate to impose including, without limitation to the generality of the foregoing, a restriction on the amount of the net proceeds of sale which shall be payable to the selling Owner; and
- 10.4.2 conducted by way of sealed tender, which tender shall be subject to such conditions of tender as the Stewards of the Jockey Club, in their unfettered discretion, shall impose and either generally or specifically for a particular sale.
- 10.5 The disposal of horses through sale by tender will render the selling Member, Partnership or Syndicate ineligible to take part in further draws for Permits or SGs unless the Stewards of the Jockey Club in their absolute discretion shall determine otherwise. Any unfulfilled Permit held by the selling Member, Partnership or Syndicate will be cancelled and allocated to the first person on the Reserve and Tender / Auction List.
- 10.6 Changes in ownership of a horse acquired by sealed tender will not be permitted without recourse to a further sale by tender, save to permit the purchaser to create a Partnership with the purchaser's spouse and/or children.

11. INELIGIBLE OWNERS

- 11.1 Should any person howsoever become ineligible to continue as a Sole Owner or as a member of a Partnership or Syndicate (including but not limited to by resignation as a Member, ceasing to be a Member under Article 43 of the Articles, as a consequence of any disciplinary action taken against the Member under the Articles or by the action of any Recognised Racing Authority subject to Bye-law 7.2 of the Members' (Suspension of Privileges) Bye-laws), then, other than in the circumstances as set out in Bye-law 11.3 or unless the Stewards of the Jockey Club in their absolute discretion direct otherwise:
- 11.1.1 each horse registered in the name of that Sole Owner will be deemed to have vested absolutely in the Club and without payment on the part of the Club and without the requirement for any deed or document of transfer of ownership;
- 11.1.2 the share of each horse registered in each Partnership of which such person was a member shall within sixty (60) days be purchased by the remaining partners at a valuation to be agreed between the continuing partners and the ineligible Owner. In default of an agreement as to the valuation, the ownership of each horse owned by the Partnership shall be deemed to have vested absolutely in the Club and without payment on the part of the Club and without the requirement for any deed and document to transfer ownership;
- 11.1.3 the share of each horse registered in each Syndicate of which such person was a member shall within sixty (60) days be purchased by the remaining members of the Syndicate at a valuation to be agreed between the continuing members of the Syndicate and such person. In default of an agreement as to the valuation, the ownership of each horse owned by the Syndicate shall be deemed to have vested absolutely in the Club and without payment on the part of the Club and without the requirement for any deed and document to transfer ownership.
- 11.2 The disposal of a horse whose ownership has vested in the Club pursuant to Bye-law 11.1 shall be at the discretion of the Stewards of the Jockey Club who may retire the horse or may direct that the horse be offered for sale by tender, in which event the net proceeds of such sale (if any after deduction of such fees or cost imposed or incurred by the Club) shall be paid to the Sole Owner, Partnership or Syndicate as the case may be.
- 11.3 Should a Member howsoever become temporarily ineligible to continue as a Sole Owner or as a member of a Partnership or Syndicate for any period of time (including but not limited to as a consequence of any disciplinary action taken against the Member under the Articles or by the action of any Recognised Racing Authority), the Stewards of the Jockey Club or the Disciplinary Panel at the time when the penalty is imposed as set out in Bye-law 7.2 of the Members' (Suspension of Privileges) Bye-laws, as the case may be, may determine all matters relating to the horse(s) registered in the name of the Sole Owner or the relevant Partnership or Syndicate, including without limitation, the eligibility of ownership or pursuant to Rule 8(4) the eligibility of the horse(s) to enter for a race or races during the relevant period.
- 11.4 No person divested of the ownership of a horse by application of these Bye-laws or otherwise deprived of any right in relation to a horse pursuant to these Bye-laws, including but not limited to the estate of the Owner and each member of a Partnership or Syndicate and their respective estates, shall have any claim against the Club, the Stewards of the Jockey Club, or any Executive, Official or employee of the Club, for any loss sustained or otherwise howsoever arising or consequential upon the enforcement of Bye-laws 11.1, 11.2 and 11.3.

11.5 Bye-law 11 applies to all horses acquired by any means.

12. DEATH OF A SOLE OWNER

The following shall have application on the death of a Sole Owner:

12.1 Transfer of ownership:

12.1.1 If within twenty-four (24) calendar months of the death of an Owner or such extended period as the Stewards of the Jockey Club may in their absolute discretion permit, the executor or administrator of the estate of the deceased Owner registers the Grant of Probate or Letters of Administration extracted by them with the Club and;

- (a) makes an application in writing for the transfer of ownership of the horse to a person who is an Owner or who is eligible to be registered as an Owner in accordance with these Bye-laws;
- (b) encloses with such application such documents and forms as the Stewards of the Jockey Club shall require;
- (c) undertakes, in writing, to produce such documentation as the Stewards of the Jockey Club shall require to be satisfied as to the due and proper transfer by them of ownership to the nominated new Owner within the required period; and
- (d) discharges all sums owing by the deceased to the Club on any account, howsoever, together with all livery fees up to and including the last day in which the transfer of ownership is deemed effective under Bye-law 12.1.2;

the Stewards of the Jockey Club will give consideration to such application.

12.1.2 Should the Stewards of the Jockey Club give their consent to such transfer of ownership it shall be deemed effective immediately and the horse shall be eligible to race under the name of the new Owner.

12.2 Refusal of application for transfer of ownership:

If the Stewards of the Jockey Club decline to consent to a requested transfer of ownership of a horse or if within the twenty-four (24) calendar months following the death of the Owner, or such extended period as may be permitted by the Stewards of the Jockey Club, whichever shall first happen, a transferee acceptable to the Stewards of the Jockey Club cannot be found, the horse will be put up for sale by tender in accordance with such terms and conditions as the Stewards of the Jockey Club, in their absolute discretion, shall determine, and the proceeds of such sale, if any, shall be applied as prescribed by Bye-law 12.7.

12.3 Sale of the deceased Owner's horse:

Unless, in their absolute discretion, the Stewards of the Jockey Club determine otherwise, an advertisement of the sale by tender shall be issued upon the request of the executor or individual entitled to the grant of Letters of Administration or not later than the first twenty-four (24) calendar months of the death of the Owner, whichever shall first happen. The proceeds of sales, if any, shall be applied as prescribed by Bye-law 12.7.

12.4 Transitional provisions:

Pending any sale, transfer of ownership or the retirement of any horse affected by Bye-laws 12.1 to 12.3:

12.4.1 Except with the permission of the Stewards of the Jockey Club, the horse will remain with the Trainer with whom it was stabled at the time of the death of the Owner, regardless of receipt of any application for a stable transfer signed and dated prior to the Owner's death.

12.4.2 The horse will only be eligible to run in races pending a sale or transfer of ownership if:

- (a) the executor or the person entitled to the grant of Letters of Administration appoints an Authorised Representative, who is acceptable to the Stewards of the Jockey Club, and agrees to comply with such conditions as may be imposed by the Stewards of the Jockey Club; and
- (b) the Authorised Representative agrees in writing to be bound by the Rules and these Bye-laws and such conditions as may be imposed by the Stewards of the Jockey Club.

12.4.3 Unless, in their absolute discretion, the Stewards of the Jockey Club determine otherwise, the appointment of an Authorised Representative will not be permitted to exceed twenty-four (24) calendar months.

- 12.5 No person shall be entitled to call upon the Stewards of the Jockey Club for any reason or explanation for their refusal to approve a transfer to any proposed transferee, their rejection of an application for the appointment of an Authorised Representative, or their refusal to extend the time within which any appointment and/or application required under this Bye-law 12 must be made.
- 12.6 Neither the estate of the deceased Owner nor his executor nor administrator, as the case may be, nor any nominated transferee shall have any claim, howsoever, against the Club, the Stewards of the Jockey Club, or any Executive, Official or employee of the Club consequential upon any act performed in accordance with these Bye-laws, including but not limited to the refusal of the Stewards of the Jockey Club to consent to a transfer of ownership.
- 12.7 If a sale is effected and, after deducting from the price paid by the purchaser the costs incurred in the sale and all sums owed by the deceased to the Club at the date of his death together with all livery charges incurred pending the sale of the horse, there is a surplus, it shall be retained by the Club until production of the Grant of Probate or Letters of Administration to the estate of the deceased Owner, as the case may be, when it shall be paid to the executor or administrator of the estate together with any interest which may have accrued.
- 12.8 If no tenders for the horse are received or if none of the tenders received is, in the opinion of the Stewards of the Jockey Club, acceptable, the Stewards of the Jockey Club are deemed authorised to order the horse retired, in which event all of the livery fees incurred from the day on which the registered Owner died shall be borne by his estate unless the Stewards of the Jockey Club, in their absolute discretion, decide to waive that requirement.
- 12.9 If the Stewards of the Jockey Club decline to accept any of the tenders for the horse, or to waive outstanding livery fees, no person shall be entitled to be given any reason for their decision.

13. DEATH OF AN OWNER IN A PARTNERSHIP OR SYNDICATE

- 13.1 When death within a Syndicate occurs it will be the responsibility of the remaining Syndicate members to come to an agreement with the executor or the administrator of the deceased Syndicate member's estate as to the value of the deceased Syndicate member's share in the horse. The remaining Syndicate members undertake to have resolved the question of the disposal of the share of the deceased Syndicate member within one hundred and eighty (180) days of his death and to transfer the proceeds of the disposal of the share of the deceased Syndicate member to the executor or administrator of his estate without delay. Any dispute relating to the disposal of the deceased Syndicate member's share shall be referred to the Stewards of the Jockey Club whose decision shall be final.
- 13.2 In the event of the death of a partner, the horse(s) owned by the partnership shall be eligible to run in races pending a transfer of the deceased partner's share to a new partner provided that:
- 13.2.1 the executor or the administrator of the deceased partner's estate appoints an Authorised Representative who is acceptable to the Stewards of the Jockey Club and agrees to comply with such conditions as may be imposed by the Stewards of the Jockey Club;
- 13.2.2 the Authorised Representative agrees in writing to be bound by the Rules and these Bye-laws and such conditions as may be imposed by the Stewards of the Jockey Club.
- 13.3 If the conditions set out at Bye-law 13.2 cannot be met then the partners or any one of them shall forthwith report the same to the Stewards of the Jockey Club who as soon as practicable shall cause the horse(s) owned by the partnership to be sold pursuant to the Horse Ballot Bye-laws and the net proceeds of the sale shall be distributed amongst the surviving partners and the estate of the deceased partner according to their declared or deemed percentage interest in the horse(s).
- 13.4 Upon receipt of the Grant of Probate or Letters of Administration, the executor or the administrator of the deceased partner's estate shall:
- 13.4.1 make an application in writing for the transfer of the share of the deceased partner to a person who is eligible to be registered as an Owner in accordance with these Bye-laws;
- 13.4.2 enclose with such application such documents and forms as the Stewards of the Jockey Club shall require;
- 13.4.3 undertake, in writing, to produce such documentation as the Stewards of the Jockey Club shall require to be satisfied as to the due and proper transfer by them of the share of the deceased partner to the new partner within the required period;

- 13.5 The Stewards of the Jockey Club reserve the right to reject an application for transfer of the deceased partner's share to a person without giving any reason. Should the Stewards of the Jockey Club reject such application for transfer of share, the horse shall be offered for sale by tender and the net proceeds of the sale, if any, shall be paid to the remaining partner(s) and the executor or the administrator (as the case may be) of the deceased partner's estate.
- 13.6 Neither the estate of a deceased partner or the deceased member of a Syndicate, nor his executor nor administrator (as the case may be), nor any other member of the Syndicate or Partnership shall have any claim howsoever against the Club, the Stewards of the Jockey Club, or any Executive, Official or employee of the Club consequential upon any act performed in accordance with these Bye-laws, including but not limited to the refusal of the Stewards of the Jockey Club to consent to a transfer of ownership.

14. TRAINER SYNDICATE

- 14.1 The Trainer who initiates the Trainer Syndicate shall assist the Manager(s) of the Syndicate in the general administration of the Trainer Syndicate, whose responsibilities include but not limited to keeping the register of the members and accounts, making contribution calls, liaising with the Club and purchasing horse(s).
- 14.2 The composition and operation of a Trainer Syndicate and the eligibility to be registered as a member of the Trainer's Syndicate shall follow the requirements applicable to Syndicates under the Rules of Racing and these Bye-laws.
- 14.3 No transfer of stables is allowed until after the expiry of two (2) calendar years from the date of registration of the horse with the Club, except in exceptional circumstances as the Stewards of the Jockey Club consider expedient including but not limited to the relevant Trainer no longer holds a licence with the Club or is otherwise incapable to perform his duty.

15. RETIREMENT AND DISPOSAL OF HORSES

- 15.1 The Stewards of the Jockey Club shall have the power to order the humane destruction of any horse which is considered unfit for further work on veterinary advice. Such power may be delegated to the Stipendiary Stewards, Veterinary Officer or Veterinary Surgeon.
- 15.2 The criteria for both voluntary and compulsory retirement shall be determined in the absolute discretion of the Stewards of the Jockey Club from time to time. Such criteria will be published in the Instructions of the Stewards of the Jockey Club. No person shall have any claim against the Club, the Stewards of the Jockey Club or any Executive, Official or employee of the Club arising out of or consequential upon the retirement of a horse pursuant to such criteria or any change in such requirement.
- 15.3 An Owner wishing to retire a horse voluntarily is required to fill in a prescribed form indicating, *inter alia*, the way he or it intends to dispose of the horse. If the horse is intended to be disposed abroad or to a facility not owned or controlled by the Club, the Owner shall apply in writing to the Club. Such application shall be determined by the Stewards of the Jockey Club in their absolute discretion and permission may be made subject to such terms and conditions as the Stewards of the Jockey Club consider expedient.
- 15.4 On the retirement of a horse from racing, whether compulsorily or voluntarily, except in the situation referred to in Bye-law 15.3 or as otherwise directed by the Stewards of the Jockey Club, the ownership of the retired horse shall vest in the Club absolutely without payment and without further act on the part of either the registered Owner or the Club.
- 15.5 Owners may apply for a Permit to import a replacement horse following the retirement of their horse(s) in accordance with the Horse Ballot Bye-laws.

16. VIOLATION OF THESE BYE-LAWS

Violation of these Bye-laws may constitute an offence under the Rules of Racing and/or result in disciplinary proceedings under the Articles of Association of the Club.

Effective on 4 September 2025

By Order of the Stewards of the Jockey Club
The Secretary

OWNERSHIP CONTROL, BETTING AND TIPPING

1. Ownership Control

- a. Owning a racehorse in Hong Kong is a privilege as there are many more aspiring Owners than there are horses available. It is incumbent upon all Owners to understand and abide by the Club's rules regarding ownership, and to exercise proper control over their own horses.
- b. When a Member submits an application to import a horse or applies for a Subscription Griffin he undertakes to be responsible for the management of that horse during its racing life. The Owner is required to delegate certain responsibilities to his Trainer as the "Authorised Agent" under the "Authority to Act Rule" (Rule 163 and the relevant definitions under Rule 7(2) of the Rules of Racing and Instructions (the "**Rules of Racing**")) so that the Trainer can enter the horse for races and engage a Jockey. The Owner may not wish to be consulted in these processes, but he would be breaking the Rules of Racing if he allowed any person other than the Trainer to make or control entries or engage a Jockey unless he has received approval for the appointment of that person as his "Authorised Representative", under Rule 44. It is the Owner's responsibility, under the Rules of Racing, to ensure that his Trainer instructs the Jockey to ride the horse in such a manner as to obtain the best possible placing.
- c. Owners are responsible for meeting all livery and training charges themselves; to permit another individual to pay these charges would indicate that control had been passed to that party.
- d. It is perfectly permissible for an Owner to seek the advice and assistance of his Trainer, his Jockey or his friends in the purchase and import of his horse. Thereafter, the responsibility for the horse's general control and management rests squarely with the individual Owner himself. In the case of a partnership or a syndicate, the Managing Partner or Syndicate Manager is held to be responsible.

2. Race Tips and Inside Information

- a. By a Trainer
 - (1) The Rules of Racing do not prohibit an Owner from seeking tips from his own or, indeed, from any Trainer in respect of any runners in any race. However, an Owner has no entitlement to "inside information" about any horse, except his own. Whilst Trainers may tip horses to Owners, when doing so the Trainers must not disclose any inside information about a horse to a person who is not the Owner of such horse.
 - (2) A Trainer has to maintain confidentiality of inside information of all the horses in his stables. "Inside information" means:
 - any condition, abnormality, injury, or sickness or other matter affecting a horse's ability to train or race; or
 - the likely performance of a horse in a race,
 - which is not publicly available. Information is publicly available if it consists of a matter that is either observable or known by the public, or has been disclosed or made known or made available in a manner that would bring it to the attention of the public.
 - (3) Trainers shall not give, disclose or otherwise make available any inside information in relation to a horse except to the Owner of the horse, to a person engaged to perform services in a racing stable by the Club, the Trainer himself or the Owner on a strictly need-to-know basis, or to Club officials or Stipendiary Stewards or any other persons authorised by the Club.
 - (4) Trainers shall not offer or give any advantage to any person for inside information or otherwise improperly obtain inside information. Solicitation or acceptance of any advantage for any inside information is also strictly prohibited. Such acts may also constitute criminal offence.
 - (5) When dealing with media, Trainers are reminded that no inside information should be disclosed.

- (6) The Stewards of the Jockey Club consider it improper for an Owner to try to obtain inside information by means of any threat, such as the removal of a horse from the stable.
 - (7) Trainers shall refer to the Trainers' Code of Conduct and Rules of Racing on details of their obligations in relation to inside information. Trainers shall report to the Stipendiary Stewards or the Security, Integrity and Information Security Division if anybody is trying to obtain inside information from them improperly.
- b. By a Jockey
- (1) The giving of tips by Jockeys is a very different matter.
 - (2) Naturally, each Owner will be interested in the state of fitness of his own horse as well as its chances in any race in which it is to run. The Owner of a horse is fully entitled to ask the Jockey who has been riding the horse in work about its state of fitness and about its race chances; if a different Jockey is to ride the horse in the race the Owner is of course also entitled to ask the race Jockey.
 - (3) It is equally permissible for a Jockey, like any other individual, to express his general views and opinions on the chances of any horse in any race. It must be appreciated that a Jockey will frequently be in possession of inside information about horses, and he is explicitly forbidden by the Rules of Racing to give, disclose or otherwise make available inside information in relation to a horse to anybody except to the Owner or Trainer of such horse or other persons permitted by the Club. This means that no person, including an Owner or a Trainer, should ask a Jockey to provide inside information of a horse that he has ridden or was to ride except for the Owner or Trainer of the relevant horse. If a Jockey provides such inside information in breach of the Rules of Racing, he is liable to disciplinary action and so is the person who has tried to obtain inside information from the Jockey, whether for reward or otherwise. All Jockeys have been warned about this and have been told that they should refer any such requests to the Trainer.

3. Betting

a. By a Trainer

A Trainer is allowed to bet and to have an interest in a bet, provided that the bet is placed with licensed operators and such interest is not by way of inducement. However, the placing of a bet on a horse to lose a race or to be beaten by any other horse or horses in a race is strictly prohibited.

b. By a Jockey

- (1) Jockeys are not allowed to bet nor are they allowed to have any interest in a bet under any circumstances. All Jockeys are fully aware of such prohibition and that they will be subject to disciplinary action, which may lead to disqualification, if they breach this restriction under Rule 59(3). Any person who places a bet on behalf of a Jockey also commits an offence under the Rules of Racing.
- (2) The reason why Jockeys are more tightly controlled than Trainers is very simple. If a Jockey bets in a race or passes on inside information, he is more likely to be tempted or pressurised into riding according to his bets or tips rather than on the merits of his horse and the risk of the Jockey engaging in a dishonest and corrupt manner is higher.



香港賽馬會
The Hong Kong Jockey Club

Privacy Policy Statement

The Club's Commitment to Protect Your Privacy

The Hong Kong Jockey Club and where applicable, each of its subsidiaries (together "the Club"; each a "Club Entity"), are committed to ensuring the privacy and security of the Personal Data (as defined below) they hold. The Club aims to meet this commitment by implementing the principles and requirements of the Personal Data (Privacy) Ordinance ("the Ordinance") of the Hong Kong Special Administrative Region of the People's Republic of China ("Hong Kong"). This Privacy Policy Statement is intended to explain the Club's privacy practices.

For the purpose of this Privacy Policy Statement, "subsidiaries" of The Hong Kong Jockey Club refer to the "subsidiaries" of The Hong Kong Jockey Club as set out in the annual report of The Hong Kong Jockey Club.

Collection of Personal Data

From time to time and for the purpose of carrying on the Club's businesses and operations, including the provision of facilities, goods and services to you, you may be requested to supply data that may directly or indirectly identify you or other person(s) as an individual ("Personal Data") such as, but not limited to, the following:

1. Information about you such as your full name, date of birth, gender, nationality, marital status, educational background, professional qualifications, employment history, public appointments, awards and recognitions and community services;
2. Photographs and other images of yours and biometric data, such as your voice, voice ID, thumb ID and facial recognition data;
3. Contact details such as email address, mailing and residential address, telephone/mobile number, and fax number;
4. Unique identifiers or personal identifiers for the membership and other programmes managed and/or operated by the Club, including but not limited to the membership number, betting account number, and identifiers/account numbers for horse ownership programme and any other loyalty / reward programmes and initiatives managed and/or operated by the Club (as applicable) and the relevant login credentials;
5. Your usage, transactional and activity records of the facilities, goods and services and provided and/or operated by the Club, including but not limited to those under your membership, horse ownership programme, betting account, loyalty / reward programmes and/or other initiatives (as applicable);
6. Information collected in your application for the Club's membership ("Membership"), Membership number and details of use of product and services under your membership account;
7. Information provided in your application for horse ballot and registration as an Owner and details of your use of services in relation to horse ownership;
8. Information collected in your application for a betting account, betting account number and details of transactions conducted under your betting account;
9. Information for verification of identity, including identification type and identification number (such as your Hong Kong Identity Card and passport number) and other related information;
10. Payment information such as card holder name, your credit or debit card number and expiry date, information about your bank account or other payment services, billing address and other information;
11. Your interests, preferences and opinions and your responses to market surveys and contests conducted by the Club or on its behalf;
12. Details of other transactions or purchase or use of product or services with the Club or a Club Entity or their respective business partners;
13. Information the Club collects about you when you use the Club's websites ("Websites"), mobile applications ("Apps"), web pages (including social media pages) ("Web Pages") and systems, e.g. general diagnostic and usage data, geographic location of your device, details about your device, technical information (e.g. IP address, your log-in information, browser type and version, any unique device identifiers etc.), information about your visit (e.g. the full URL, clickstream to, through and from the Club's Websites, length of visits to certain pages, page interaction information (such as scrolling, clicks, and mouse-overs) and browsing history, etc.);
14. Any other Personal Data and/or information provided by you, acquired and/or came into existence during the establishment or maintenance of business relationship for the performance of contracts or for compliance with laws, regulations, regulatory requirements and/or any Club's rules and bye-laws.

Supply of Personal Data to the Club is non-obligatory, but failure to do so may result in the Club being unable to process your application(s) or to provide facilities, goods and services to you.

Occasionally, you may need to provide Personal Data about other individuals to the Club (e.g. spouse, children or guests). In that event, to comply with the Ordinance and any other applicable laws, regulations and requirements, you are required to have first obtained the authorisation of such individuals before using, disclosing and transferring their Personal Data, including giving consent on their behalf to the Club's possible use, disclosure and transfer of their Personal Data. You

agree to, upon the Club's request, provide any supporting documents which may be necessary to prove such authorisation. You also agree to procure and ensure that they have made access to this Privacy Policy Statement and advise them that the Club can be contacted for further information.

Use of Personal Data

Your Personal Data may be used for the following purposes:-

1. Providing facilities, goods, services and support or performing transactions and administration work related to the following operations of the Club, including but not limited to:
 - a. the Club's core operations of racing, betting and membership;
 - b. racecourses, clubhouses, catering, hospitality and entertainment;
 - c. charitable or non-profit making causes including art, community services, conservation, culture, education, environmental protection, healthcare, music, recreation and sports ("Charitable or Non-profit Making Causes"); and/or
 - d. facilities, services, programmes and/or initiatives in relation to the above, such as loyalty / reward programmes and customer support services (whether provided and/or offered online or offline).
2. Operating and managing any membership and loyalty / reward programmes, including but not limited to:
 - a. the enrolment and management of memberships and accounts;
 - b. the identification, verification and determination of eligibility for earning, accumulating and redeeming the privileges and benefits;
 - c. the processing, distribution and usage of privileges (whether through the Club, Club Entities and/or any other third party partners under the relevant programme);
 - d. the handling of the associated transfer, migration and synchronisation of data (including Personal Data) across accounts in the membership programmes, loyalty / reward programmes and the like operated by the Club, any Club Entities and/or business partners; and/or
 - e. the operation, maintenance, administration, customer data analysis thereof and all incidental matters.
3. Processing your application, subscription, order, request and/or enquires for, or in relation to the Club's facilities, goods and services as set out in paragraph 1;
4. Contacting you for the purpose of and/or in relation to the provision of the Club's goods, services and facilities as set out in paragraph 1, and addressing any enquiries and complaints related thereto;
5. Conducting assessments and checks regarding eligibility for membership, horse ownership, facilities, goods, or services;
6. Verifying your identity;
7. Monitoring, managing and controlling the use and access to the Club's premises which are not open to public;
8. Matching (as defined in the Ordinance) your Personal Data with other data collected (by the Club or third parties) for other purposes and automated decision processing (including profiling), in relation to, the provision of facilities, goods and services to you, the performance of any transactions and administration work related to the Club's operations and tailoring and personalising product and services and offers as set out below;
9. Conducting data analysis (e.g. analysing trends, usages and other user behaviours) and commercial exploration of big data applications;
10. Marketing and advertising of any facilities, goods and services to you by the Club and its subsidiaries, such as sending you offers and promotions (please see further details in "Direct Marketing" below);
11. Conducting marketing services and events, and tailoring and personalising the Club's products and services and offers that the Club and its business partners provide to you. The Club may also tailor its communications to you and tailor what the Club presents to you to better match your preferences and interests;
12. For the purposes of improving or designing new products, services and facilities, including to ensure that the Club's Websites, Apps and Web Pages function correctly and in accordance with your preferences and circumstances;
13. Maintaining and developing the Club's business systems and infrastructure, including testing and upgrading of these systems;
14. Meeting any obligations, requirements or arrangements, whether compulsory or voluntary, or establishing, exercising or defending any legal rights of the Club, in connection with:
 - a. any law, regulation, judgment, court order, sanctions regime, within or outside Hong Kong existing currently and in the future;
 - b. any guidelines, guidance, demand or requests given or issued by any legal, regulatory, governmental, tax, law enforcement or other authorities within or outside Hong Kong;
 - c. any of the Club's rules or bye-laws relating to the Club's core operations; or
 - d. any other agreements between you and the Club.
15. Complying with any obligations, requirements, policies, procedures, measures or arrangements for sharing data and information of the Club and/or any other use of data and information in accordance with any programmes for compliance with client due diligence, sanctions or prevention or detection of money laundering, terrorist financing or other unlawful activities;
16. Prevention, detection or investigation of anomalies and preventing and/or remediating fraud or other potentially criminal, prohibited or illegal activities or otherwise protecting the integrity of the Club and its subsidiaries;
17. Facilitating communications between you and the Club;
18. Responding or taking part in legal proceedings, including seeking professional advice; and
19. All other legitimate business purposes and purposes directly related to or incidental to the above.

The Club may also use from time to time aggregate non-identifying information about its customers to better design and improve the Club's facilities, goods and services that it offers. This information will not identify any individual in particular.

Direct Marketing

Subject to the Club having obtained the relevant consent from you, we may use Personal Data for direct marketing carried out by the Club or a Club Entity for marketing and promotion of the following classes of facilities, goods, services, support and related events and activities offered or arranged by the Club or a Club Entity or their respective business partners:-

- a. racing, betting and membership;
- b. racecourses or clubhouse operations, catering, restaurants, hospitality, events and entertainment;
- c. affinity cards, smart or stored value cards issued by or co-branded with the Club;
- d. loyalty / reward programmes; and/or
- e. Charitable or Non-Profit Making causes or events.

For such purposes, your Personal Data held by a Club Entity may be provided to other Club Entities and/or their respective business partners for use for direct marketing subject to your consent. The Club or a Club Entity or their respective business partners may also conduct such direct marketing via or jointly with third party services providers including social medial platforms, which may involve sharing Personal Data the Club or a Club Entity or their respective business partners hold about you with them.

You can always opt-out free-of-charge by following the opt-out instructions contained in the relevant communications or contacting the Club's Data Privacy Compliance Officer if you no longer wish any of your Personal Data to be used in and/or be transferred for any of the described direct marketing purposes.

Please note that if you choose to opt-out of direct marketing, the Club may still send you communications which are of administrative nature. For example, where you are a Member, betting account holder or member of a loyalty / reward programme operated by the Club, the Club may send you communications on administrative matters in relation thereto, such as account summaries and statements, annual reports.

The Club may create indirect group profiling by way of analysing your preference characteristics manifested in the information the Club has about you (e.g. your preferences, details of transactions conducted using your account, etc.).

Disclosure of Personal Data

Personal Data supplied to the Club will be kept confidential. However, the Club may, where such disclosure is necessary to satisfy the purpose, or a directly related purpose, for which the Personal Data was collected, provide such Personal Data to the following parties:

1. any Club Entities for fulfilling the purposes for which it was collected (subject to any consent requirement relating to "Direct Marketing" above), and to the Club's business partners for direct marketing use as described in "Direct Marketing" above;
2. any person or company who is acting for or on behalf of the Club, or jointly with the Club, in respect of the purpose or a directly related purpose for which the data was provided;
3. any other person or company who is under a duty of confidentiality to the Club and has undertaken to keep such information confidential, provided such person or company has a legitimate right to such information;
4. the Club's agents, contractors, suppliers and any third party service provider who provides administrative, marketing and research, distribution, data processing and analytics, telemarketing, telecommunications, computer, payment or other services to the Club in connection with the operations of its business;
5. the Club's insurers and their reinsurers, brokers and agents;
6. government and regulatory authorities, investigative bodies, courts, law enforcement agencies and other organisations, as required or authorised by law, orders or requests;
7. any financial institutions or payment services providers necessary to establish and support the payment of any facilities, goods and services provided to you; and
8. your authorised representatives or your legal advisers when requested by you to do so.

The Club may also disclose your Personal Data to third parties: (i) when required by law, by court order or in response to a search warrant or other legally valid inquiry; (ii) pursuant to the Club's good faith belief that disclosure is required by law or otherwise necessary to the establishment of legal claims or defences, to obtain legal advice, to exercise and defend the Club's legal rights or to protect the life, body or property of any individual; or (iii) for enforcement of Club's rules and bye-laws or prevent unauthorised access to Club premises. This also applies when the Club has reason to believe that disclosing the Personal Data is necessary to identify, contact or bring legal action against someone who may be causing interference with the Club's rights or properties, whether intentionally or otherwise, or when anyone else could be harmed by activities causing such interference.

The Club may also transfer any information it holds about you as an asset in connection with a merger or sale (including transfers made as part of insolvency or bankruptcy proceedings) involving all or part of the Club or as part of a corporate reorganisation or other change in corporate control.

The Personal Data that the Club collects or obtains may be transferred to jurisdictions that offer lesser protection of personal data than that provided in your jurisdiction. By submitting Personal Data to the Club or using any of the Club's facilities, goods and services, you understand and consent to such transfer.

Links to Third Party Websites

The Club's Website, Apps and Web Pages may contain links to other sites and pages which are operated by third parties. You understand and acknowledge that the Club has no control over and does not endorse the content of the linked websites or the way in which the operators of those websites deal with your Personal Data. You should review the privacy policy for those third party websites to understand the ways in which your Personal Data may be used by those third parties.

Use of Cookies

By using any of the Websites, Apps and/or Web Pages, you agree that the Club can store and access cookies, IP addresses, domain names, URLs and use other methods in order to collect your usage data on the Websites, Apps and/or Web Pages and improve your on-line experience.

Cookies are small files that are placed on your computer, mobile phones or other devices by websites or mobile applications that you visit/use or certain emails you open. They are widely used in order to make functions on the websites, mobile applications and/or emails properly, as well as to provide business and marketing information to the owners of the websites, mobile applications and/or emails.

The Club uses cookies on its Websites, Apps and/or Web Pages to track visitor preferences. These cookies allow the Websites, Apps and/or Web Pages to remember information that changes the way the Websites, Apps and/or Web Pages behave or look, such as your preferred language. These cookies can also assist you in changing text size, font and other parts of the Websites, Apps and/or Web Pages that you can personalise. You may refuse to accept cookies by altering the settings on your internet browser, mobile phone or device but it should be noted that if you choose not to permit cookies, some areas of the Websites, Apps and/or Web Pages may not function properly.

Data Retention

All Personal Data that has been collected from you will only be stored for a duration that is reasonably necessary to fulfil the purposes for which it was collected as outlined under this Privacy Policy Statement. In some circumstances, the Club may retain your Personal Data for longer periods of time, for instance, where the Club is required to do so to meet legal, statutory, regulatory, tax or accounting requirements.

Commitment to Data Security

The Club is committed to protecting the security of your Personal Data. It uses a variety of physical, technological and organisational measures to help protect your Personal Data from unauthorised or accidental access, processing, erasure or other use.

The transmission of information via the Internet or mobile network is not completely secure. The security measures described above do not preclude the Club from the possibility of fraud, cyber-attacks, such as hacking, spyware and viruses, and the Club does not warrant that its servers or network will be immune from such attacks.

Although the Club will implement security measures to protect your data, the Club cannot guarantee the security of the data transmitted via Internet or mobile network. You agree that the Club shall not be liable for any loss or damage arising from risks relating to any transmission.

Changes to this Privacy Policy Statement

The Club may update this Privacy Policy Statement from time to time which will be posted on its website at www.hkjc.com, so as to inform you what information the Club gathers, how it might use that information and whether it discloses such information to anyone. You are also advised to check the latest Privacy Policy Statement posted on the Club's website at www.hkjc.com on a regular basis. Where there are significant changes, we will notify you and obtain your acceptance and/or consents (as necessary or applicable). If you do not agree with this Privacy Policy Statement (as revised), please do not use or cease to use the facilities, goods or services of the Club and do not give us any of your information. By remaining as a member or continuing to use the facilities, goods or services of the Club after the changes come into effect, you agree to the revised Privacy Policy Statement.

Access to and Correction of Personal Data

You are entitled to request access to Personal Data held by the Club about you and to correct such data. The Club may charge a reasonable fee for the processing of such data access request.

You may direct your request in writing to:

Data Privacy Compliance Officer
Jockey Club Headquarters
1 Sports Road
Happy Valley Hong Kong

Interpretation

This notice is written in English language and may be translated into Chinese and other languages. In the event of any inconsistency between the English version and the translated version of this notice, the English version shall prevail.

BANK REFERENCE LETTER

SAMPLE

[DATE]

Executive Manager, Racing Registry
The Hong Kong Jockey Club
12/F, Jockey Club Headquarters
1 Sports Road
Happy Valley
Hong Kong

Dear Sir,

[Name of the Applicant]

We understand that Mr XXX has submitted an application to the Hong Kong Jockey Club for this year's Horse Import Permits Ballot.

In this connection, we hereby certify that Mr XXX has maintained an active and well-conducted account with us since XXXX.

To the best of our knowledge, we believe that Mr XXX is capable of meeting all the horse related charges up to HKD 1,250,000 per year.

This information is given in strict confidence and without any responsibility howsoever arising on the part of the Bank or any of its officers.

Yours faithfully,
For and on behalf of
XXX Bank Limited

XXXX
[Title]

(Not applicable to current Owners)